FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: MORGAN STANLEY PRIVATE EQUITY ASIA, INC. **CRD Number: 134366** Rev. 10/2021 Other-Than-Annual Amendment - All Sections 5/15/2023 10:16:02 PM WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4. Item 1 Identifying Information Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an umbrella registration, the information in Item 1 should be provided for the filing adviser only. General Instruction 5 provides information to assist you with filing an umbrella registration. Your full legal name (if you are a sole proprietor, your last, first, and middle names): MORGAN STANLEY PRIVATE EQUITY ASIA, INC. B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A. MORGAN STANLEY PRIVATE EQUITY ASIA, INC. List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business. (2) If you are using this Form ADV to register more than one investment adviser under an umbrella registration, check this box \Box If you check this box, complete a Schedule R for each relying adviser. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of \square your legal name or \square your primary business name: D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-63987 (2) If you report to the SEC as an exempt reporting adviser, your SEC file number: (3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed E. (1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 134366 If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates. (2) If you have additional CRD Numbers, your additional CRD numbers: No Information Filed F. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Number and Street 1: Number and Street 2: 1585 BROADWAY 39TH FLOOR ZIP+4/Postal Code: City: State: Country: **NEW YORK United States** 10036 New York If this address is a private residence, check this box: \Box List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year. (2) Days of week that you normally conduct business at your principal office and place of business: Monday - Friday ○ Other: Normal business hours at this location: 9:00 A.M. TO 6:00 P.M. (3) Telephone number at this location:

(5) What is the total number of offices, other than your principal office and place of business, at which you conduct investment advisory business as of the end of your most recently completed fiscal

year? 7

1 212 761-7160

212 507-8474

(4) Facsimile number at this location, if any:

3.	Mailing address, if different from yo	our principal office and place of business addres	S:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	If this address is a private residence	ce check this hov:			
	ii tiiis addiess is a private resident	ce, check this box.			
┨.	If you are a sole proprietor, state y	your full residence address, if different from yo	our principal office and place of	business address in Item 1.F.:	
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
					Yes No
	Do you have one or more websites	or accounts on publicly available social media	a platforms (including, but not	limited to, Twitter, Facebook and LinkedIn)?	⊙ ೧
	which to access other information yo provide the addresses of websites or	ou have published on the web, you may list the	portal without listing addresses	nedia platforms on Section 1.I. of Schedule D. If a website address serve of for all of the other information. You may need to list more than one po I the content. Do not provide the individual electronic mail (e-mail) addre	rtal address. Do not
	Chief Compliance Officer				
	(1) Provide the name and contact in have one. If not, you must complete	·	f you are an <i>exempt reporting</i>	adviser, you must provide the contact information for your Chief Com	oliance Officer, if you
	Name:		Other titles, if any:		
	Telephone number:		Facsimile number, if any:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	Electronic mail (e-mail) address, if	Chief Compliance Officer has one:			
ζ.	Name: IRS Employer Identification Number Additional Regulatory Contact Person			ceive information and respond to questions about this Form ADV, you	ı may provide that
	information here.				
	Name: Telephone number:		Titles: Facsimile number, if any:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
			·		
	Electronic mail (e-mail) address, if	contact person has one:			
	Do you maintain come or all of the	hooks and records you are required to keep	under Coation 204 of the Advi	sors Act, or similar state law, compulars other than your principal of	Yes No
	place of business?	books and records you are required to keep	under Section 204 of the Advi	sers Act, or similar state law, somewhere other than your <i>principal of</i>	fice and o
	If "yes," complete Section 1.L. of Sch	hedule D.			Yes No
Λ.	Are you registered with a foreign fir	nancial regulatory authority?			res No
	A		'C		
	Schedule D.	u with a foreign financial regulatory authority, e	ven II you nave an amilate that	is registered with a foreign financial regulatory authority. If "yes," comp	nete Section 1.M. of
					Yes No
۱.	Are you a public reporting company	y under Sections 12 or 15(d) of the Securities	Exchange Act of 1934?		0 0
					Yes No
).	Did you have \$1 billion or more in a If yes, what is the approximate am	assets on the last day of your most recent fisc rount of your assets:	cal year?		0 0
	\$1 billion to less than \$10 billion	·			
	© \$10 billion to less than \$50 bill				
	o \$50 billion or more				

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for

Р.	Provide your <i>Legal Entity Identifier</i> if you have one 5493006YBH9Z1VHYNT79	9:				
	A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.					
SEC	TION 1.B. Other Business Names					
		No Inform	nation Filed			
SEC	TION 1.F. Other Offices					
Se	implete the following information for each office, ot ction 1.F. for each location. If you are applying for mbers of <i>employees</i>).					
	ımber and Street 1: TERNATIONAL COMMERCE CENTRE		Number and Street 2: 1 AUSTIN ROAD WEST			
Cit KC	ry: DWLOON	State:	Country: Hong Kong	ZIP+4/Postal Code:		
lf t	this address is a private residence, check this box:					
	lephone Number: 0-000-0000	Facsimile Number, if	any:			
	this office location is also required to be registered gistration Form (Form BR), please provide the <i>CRD</i>	-	ranch office location for a broke	er-dealer or investment adviser on the Uniform B	ranch Office	
Ho 22	ow many <i>employees</i> perform investment advisory fu	inctions from this office location?				
	e other business activities conducted at this office I (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable depart (3) Insurance broker or agent (4) Commodity pool operator or commodity trading (5) Registered municipal advisor	ment or division of a bank)	gistration)			
	(6) Accountant or accounting firm(7) Lawyer or law firm					
De	escribe any other <i>investment-related</i> business activi	ties conducted from this office location:				
Se	emplete the following information for each office, ot ection 1.F. for each location. If you are applying for mbers of <i>employees</i>).			-		
	ımber and Street 1: F, TOWER 2, ONE WORLD CENTER		Number and Street 2 841, SENAPATI BAPA			
Cit ML	ту: ЈМВАІ	State:	Country: India	ZIP+4/Postal Code: 400013		
lf t	this address is a private residence, check this box:					
	lephone Number: 0-000-0000	Facsimile Number	, if any:			
	this office location is also required to be registered gistration Form (Form BR), please provide the <i>CRD</i>		ranch office location for a broke	er-dealer or investment adviser on the Uniform B	ranch Office	

your most recent fiscal year end.

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Southor 15 for each baseline. If you are applying for SEC registration, if you are registrated only with the SEC, or if you are an accompany reporting advisor. Not only the largest teamsy-fine affects understanding and street 1: Number and Street 1: Number and Street 2: HONGKIK LIEE INSURANCE BLDG 226 SHINMAN-RO 1-0A 226 SHINMAN-RO 1-0A 227 SHINMAN-RO 1-0A 228 SHINMAN-RO 1-0A 228 SHINMAN-RO 1-0A 228 SHINMAN-RO 1-0A 229 SHINMAN-RO 1-0A 220 SHINMAN-RO 1-0A	Describe any other <i>investment-related</i> business activities	conducted from this office locati	ion:			
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HUNDKIC LIFE INSURANCE BLDC CITY COUNTRY CHONORO-KU SEOUL 110-061 If this address is a private residence, check this box: Telephone Number: COO 000 0000 If this address is a private residence, check this box: Telephone Number: COO 0000 0000 If this address is a private residence, check this box: Telephone Number: COO 0000 0000 If this redices location is also required to be registered with LINAN or a state securities authority as a branch office location for a broken dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRO Branch Number here: ***Are other business activities conducted at this office location?** ***Are other business activities conducted at this office location for a broken dealer or investment adviser on the Uniform Branch Office Policy (Policy BR), please provide the CRO Branch Number here: ***Are other business activities conducted at this office location?** ***Are other business activities conducted at this office location for a broken dealer or investment adviser on the Uniform Branch Office Policy BR) please dealer (registered or unregistrated) ***(C) Broken-dealer (registered or unregistrated) **(C) Broken-dealer (register		registration, if you are registere	ed only with the SEC, or if y	ou are an exempt repo	orting adviser, list only the larg	est twenty-five offices (in terms of
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CHONGRO-KU SECUL 110-061 Required to the Address is a private residence, check this box: Integration Number (consistence) check this box: If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office location for private registered or investment adviser on the Uniform Branch Office incurred in the CPD Branch Number here: ***How many employees perform investment advisory functions from this office location?** ***Are other business activities conducted at this office location? (check all that apply) [1] times desire (registered or unnegistered) [2] tank (including a separately identifiable department or advision of a bank) [3] substance broken or agent [4] (4] Commodity producing advisor (whether registered or exempt from registration) [4] (5) Exegistered municipal advisor [6] Accountant or accounting firm [7] Larger or law firm [7] Lar		Sta			7IP+4/Postal Code	
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If this office location is also required to be registered with EINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office location Form (Form BR), please provide the CRO Branch Number here: How many amployees perform investment advisory functions from this office location? Are other business activities conducted at this office location? (check all that apply) [1] Broker-dealer (registered or unregistered) [2] Bank (including a separately identifiable department or division of a bank) [3] Insurance broker or agent [4] Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) [5] Registered municipal advisor [6] Accountant or accounting firm [7] Lawyer or law firm Describe any other Investment-related business activities conducted from this office location: Complete the following information for each office, either than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Staction in Figure 2 and Street 2: Number and Street 1: Number and Street 1: Number and Street 2: Number and Street 2: SHANCHAI CRITER 100 CENTURY AVE, PUDONG NEW AREA City. State: Country: ZIP-4/Postal Code: China 200120	Telephone Number:	Fac	csimile Number, if any:			
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□ (2) Bank (including a separately identifiable department or division of a bank) □ (3) Insurance broker or agent □ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm □ Describe any other <i>investment-related</i> business activities conducted from this office location: □ (7) Lawyer or law firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (9) Expression of the investment-related business activities conducted from this office location: □ (8) Accountant or accounting firm □ (9) Lawyer or law firm □ (10) Lawyer or law firm □ (11) Lawyer or law firm □ (12) Lawyer or law firm □ (13) Insurance broker or agent □ (14) Cammodity pool operator or commodity trading advisor (whether registered only insurance in the condition of		ion? (check all that apply)				
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□ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm □ (7) Lawyer or law firm □ (8) Describe any other <i>investment-related</i> business activities conducted from this office location: □ (8) Accountant or accounting firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (7) Lawyer or law firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (8) Accountant or accounting firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (9) Accountant or accountant or accountant or accountant or accountant or account or accountant or		t or division of a bank)				
□ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm □ (7) Lawyer or law firm □ (8) Accountant or accounting firm □ (9) Lawyer or law firm □ (9) Lawyer or law firm □ (10) Lawyer or law firm □ (11) Lawyer or law firm □ (12) Lawyer or law firm □ (13) Lawyer or law firm □ (13) Lawyer or law firm □ (14) Lawyer or law firm □ (15) Registered municipal advisor □ (15) Lawyer or law firm □ (17) Lawyer or law firm □ (18) L						
□ (6) Accountant or accounting firm □ (7) Lawyer or law firm Describe any other <i>investment-related</i> business activities conducted from this office location: Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Strection 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices numbers of <i>employees</i>). Number and Street 1: SHANGHAI WORLD FINANCIAL CENTER City: SHANGHAI State: Country: China ZIP-4/Postal Code: China 200120	(4) Commodity pool operator or commodity trading adv	visor (whether registered or exe	empt from registration)			
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Sc Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices numbers of <i>employees</i>). Number and Street 1: SHANGHAI WORLD FINANCIAL CENTER Number and Street 2: SHANGHAI WORLD FINANCIAL CENTER 100 CENTURY AVE., PUDONG NEW AREA City: SHANGHAI City: SHANGHAI Country: C	(5) Registered municipal advisor					
Describe any other <i>investment-related</i> business activities conducted from this office location: Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Sc Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices numbers of <i>employees</i>). Number and Street 1: SHANGHAI WORLD FINANCIAL CENTER City: State: Country: ZIP+4/Postal Code: China Z00120	(6) Accountant or accounting firm					
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Scetion 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices numbers of <i>employees</i>). Number and Street 1: SHANGHAI WORLD FINANCIAL CENTER Number and Street 2: 100 CENTURY AVE., PUDONG NEW AREA City: State: Country: China 200120	(7) Lawyer or law firm					
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SHANGHAI WORLD FINANCIAL CENTER City: SHANGHAI China 100 CENTURY AVE., PUDONG NEW AREA Country: ZIP+4/Postal Code: China 200120	Section 1.F. for each location. If you are applying for SEC		•		•	•
City: State: Country: ZIP+4/Postal Code: SHANGHAI China 200120						
SHANGHAI China 200120						
If this address is a private residence, check this box:		State:	•			
	If this address is a private residence, check this box: $\ \square$					
Telephone Number: Facsimile Number, if any: 86 21 20336800	·	Facsimile Num	nber, if any:			

If this office location is also required to Registration Form (Form BR), please p	•		s authority as a branch o	ffice location for a broker-dealer or investment adviser on the Uniform Branch Office	
How many <i>employees</i> perform investr	ment advisory functions fro	om this office location	?		
Are other business activities conducted	ed at this office location? ((check all that apply)			
☐ (1) Broker-dealer (registered or un		, 1137			
(2) Bank (including a separately ide		livision of a bank)			
(3) Insurance broker or agent	,	,			
(4) Commodity pool operator or co	mmodity trading advisor	(whether registered or	r exempt from registrati	on)	
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(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other investment-related	d business activities condu	ucted from this office l	ocation:		
			·	nich you conduct investment advisory business. You must complete a separate Schedic, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in	
Number and Street 1:			Number and S	Street 2:	
BHIRAJ TOWER			689 SUKHUMV	IT ROAD, VADHANA	
City: BANGKOK		State:	Country: Thailand	ZIP+4/Postal Code: 10110	
If this address is a private residence,	check this box:				
Telephone Number:		Facsimile Num	nber, if any:		
000-000-0000			ý.		
If this office location is also required to Registration Form (Form BR), please p	•		s authority as a branch o	ffice location for a broker-dealer or investment adviser on the Uniform Branch Office	
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Are other business activities conducted	ed at this office location? ((check all that apply)			
(1) Broker-dealer (registered or un					
(2) Bank (including a separately ide	entifiable department or d	livision of a bank)			
(3) Insurance broker or agent					
(4) Commodity pool operator or co	mmodity trading advisor	(whether registered or	r exempt from registrati	on)	
(5) Registered municipal advisor					
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other investment-related	d business activities condu	ucted from this office l	ocation:		
				nich you conduct investment advisory business. You must complete a separate Schedi C, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in	
Number and Street 1: KERRY PLAZA		Number and Stre UNIT 03B 22/F TO	eet 2: OWER 3, 1, ZHONGXIN F	OURTH ROAD, FU	
City:	State:	Country:		ZIP+4/Postal Code:	
SHENZEN		China		44518048	
If this address is a private residence,	check this box:				

Telephone Number:

Facsimile Number, if any:

862120336831			
If this office location is also required to be reg Registration Form (Form BR), please provide to			y as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office
How many <i>employees</i> perform investment adv 3	isory functions from	this office location?	
Are other business activities conducted at this (1) Broker-dealer (registered or unregistered)		neck all that apply)	
☐ (2) Bank (including a separately identifiable		sion of a bank)	
(3) Insurance broker or agent	•	,	
\square (4) Commodity pool operator or commodity	trading advisor (wh	hether registered or exempt	from registration)
\square (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> busines	s activities conducto	ed from this office location:	
			f business, at which you conduct investment advisory business. You must complete a separate Schedule D nly with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of
Number and Street 1: 13/F TOWER A		Number and Street 2: 208 ANDINGMENWALA	AVENUE, DONGCHENG DISTRICT
City:	State:	Country:	ZIP+4/Postal Code:
BEIJING		China	11100010
If this address is a private residence, check th	is box: 🗖		
Telephone Number: 862120352162	Facsimile Nu	umber, if any:	
If this office location is also required to be reg Registration Form (Form BR), please provide the		· · · · · · · · · · · · · · · · · · ·	y as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office
How many <i>employees</i> perform investment adv 5	isory functions from	this office location?	
Are other business activities conducted at this	office location? (ch	eck all that apply)	
(1) Broker-dealer (registered or unregistered		rook an that apply)	
☐ (2) Bank (including a separately identifiable		sion of a bank)	
(3) Insurance broker or agent			
\square (4) Commodity pool operator or commodity	trading advisor (wh	hether registered or exempt	from registration)
\square (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> busines	s activities conducto	ed from this office location:	
SECTION 1.I. Website Addresses			

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.1. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: http://www.morganstanley.com/im/en-us/institutional-investor/strategies/private-credit-and-equity/asia-pacific-private-equity.ht

Complete the following information for each location a 1.L. for each location.	t which you keep you	ur books and records, of	ther than your	principal office and place	e of business	s. You must complete a separate Schedule D, Section
Name of entity where books and records are kept: OSG RUSSIA - HEAD OFFICE						
Number and Street 1: UL. 8 MARTA, 14			Number and	Street 2:		
City:	S	State:	Country:	ZII	P+4/Postal	Code:
MOSCOW			Russia	12	27083	
If this address is a private residence, check this box:						
Telephone Number: +7(495) 363605	F	acsimile number, if any:				
This is (check one): One of your branch offices or affiliates.						
o a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at this local CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		O SECTION 204 OF THE	ADVISERS AC	Т		
Name of entity where books and records are kept: IRON MOUNTAIN INDIA PVT LTD						
Number and Street 1: SURVEY NO 87/1, 87/2,88,89/1,122		Number and Street 2: BYRANAHALLI VILLAGE	E,KASABA HOB	LI,NELAMAGALA TALUKA		
City: BANGALORE	State:	Country: India		ZIP+4/Postal Code	e:	
DANGALURE		muia				
If this address is a private residence, check this box:						
Telephone Number: 000-000-0000	Facsimile number,	if any:				
This is (check one): O one of your branch offices or affiliates.						
o a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at this local CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		O SECTION 204 OF THE	ADVISERS AC	Т		
Name of entity where books and records are kept: IRON MOUNTAIN KOREA LTD						
Number and Street 1:				Number and Street 2:		
956-28 JUAGYANG-DAERO, BAEGAM-MYEON				CHEOIN-GU, YONGIN-SI		
City: GYEONGGI-DO		State:		Country: Korea, South		ZIP+4/Postal Code:
If this address is a private residence, check this box:						
Telephone Number:		Facsimile numbe	er, if any:			
This is (check one): one of your branch offices or affiliates.						

a third-party unaffiliated recordkeeper.

O other.						
Briefly describe the books and records kept at this loc CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIR		T TO SECTION 204 OF THE	ADVISERS ACT			
Name of entity where books and records are kept: IRON MOUNTAIN HONG KONG LTD						
Number and Street 1: YUEN LONG LOGISTICS CENTRE		Number and Street 2: 19 TAK YIP STREET, TUN	G TAU INDUSTRIAI	L ESTATE, YUE		
City: HONG KONG	State:	Country: China		ZIP+4/Postal Code	e:	
If this address is a private residence, check this box:						
Telephone Number: 000-000-0000	Facsimile number	, if any:				
This is (check one): O one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at this loc CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAII		T TO SECTION 204 OF THE	ADVISERS ACT			
Name of entity where books and records are kept: IRON MOUNTAIN SINGAPORE PTE LTD						
Number and Street 1: 26 CHIN BEE DRIVE			Number and Stre	et 2:		
City: SINGAPORE		State:	Country: Singapore		ZIP+4/Postal Code: 619869	
If this address is a private residence, check this box:						
Telephone Number:		Facsimile number, if any:				
This is (check one): one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
C other.						
Briefly describe the books and records kept at this loc CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		T TO SECTION 204 OF THE	ADVISERS ACT			
Name of entity where books and records are kept: IRON MOUNTAIN RECORDS MANAGEMENT (SHANGHAI)	CO. LTD.					
Number and Street 1: #1 COURTYARD, BA JIE JIA		Number and Street 2: BEIJING AIRPORT LOGIS	TICS PARK, SHUNY	'I DISTRICT		
City:	State:	Country:		ZIP+4/Postal Code	ə :	
BEIJING		China				
If this address is a private residence, check this box:						

Facsimile number, if any:

Telephone Number:

000-000-0000

This is (check one):				
one of your branch offices or affiliates.a third-party unaffiliated recordkeeper.				
other.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	RSUANT TO SECTION 204 OF	F THE ADVISERS ACT		
Name of entity where books and records are kept: SANNE GROUP				
Number and Street 1: 1333 BROADWAY		Number and Street 2: SUITE 510		
City:	State:	Country:	ZIP+4/Posta	al Code:
NEW YORK	New York	United States	10018	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: (212) 607-5900	Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at this location. FINANCIAL RECORDS.				
Name of entity where books and records are kept: MORGAN STANLEY				
Number and Street 1:			Number and Street 2:	
12TH FLOOR HEUNGKUK LIFE INSURANCE BUILDING			68 SAEMUNAN-RO, JONGNO-GU	
City:	Sta	ate:	Country:	ZIP+4/Postal Code:
SEOUL			Korea, South	110-786
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 000-000-0000	Fac	esimile number, if any:		
This is (check one): one of your branch offices or affiliates.				
O a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	RSUANT TO SECTION 204 OF	F THE ADVISERS ACT		
Name of entity where books and records are kept: MORGAN STANLEY				
Number and Street 1: INTERNATIONAL COMMERCE CENTRE		Number and S 1 AUSTIN ROA	Street 2: AD WEST, KOWLOON	

Country:

China

State:

ZIP+4/Postal Code:

City:

HONG KONG

Felephone Number:	Facsimile number, if any:			
+852 2848 5200				
This is (check one):				
one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF THE ADVISERS A	ACT		
Name of entity where books and records are kept: MORGAN STANLEY INDIA SERVICES PRIVATE LTD.				
Number and Street 1: 18TH FLOOR, TOWER 2, ONE WORLD CENTER		Number and Str 841, SENAPATI E		
City:	State:	Country:	ZIP+4/Postal Code:	
MUMBAI		India	400013	
f this address is a private residence, check this box: $\ \square$				
Telephone Number: 000-000-0000	Facsimile number, if	any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
o other.				
O smarr				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF THE ADVISERS A	ACT		
Name of entity where books and records are kept: MORGAN STANLEY CAPITAL K.K.				
Number and Street 1: DTEMACHI FINANCIAL CITY SOUTH TOWER		Number and Street 1-9-7 OTEMACHI, C		
City:	State:	Country:	ZIP+4/Postal Code:	
ΓΟΚΥΟ		Japan	100-8109	
f this address is a private residence, check this box: $\ \square$				
Telephone Number: 03-6836-5200	Facsimile number, if any	<i>f</i> :		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF THE ADVISERS A	A CT		
Name of ontity where books and records are kent:				

If this address is a private residence, check this box: $\ \square$

IRON MOUNTAIN

70 TALMAN COURT			
City: CONCORD	State:	Country: Canada	ZIP+4/Postal Code: L4K4L5
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 1 905-695-0564	Facsimile number, i	f any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF	THE ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN LLC			
Number and Street 1: 650 DISTRIBUTION DRIVE SW		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
ATLANTA	Georgia	United States	30336
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		
This is (check one): one of your branch offices or affiliates.			
o a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF	THE ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN LLC			
Number and Street 1: 2500 HENDERSON DRIVE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SHARON HILL	Pennsylvania	United States	19079
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF	THE ADVISERS ACT	

Number and Street 2:

Number and Street 1:

Name of entity where books and records are kept: IRON MOUNTAIN RECORDS MANAGEMENT (SHANGHAI) CO. LTD.			
Number and Street 1: NO. 1399 YINGBIN MIDDLE ROAD		Number and Street 2: KUNSHAN	
City:	State:	Country:	ZIP+4/Postal Code:
SHANGHAI		China	
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 000-000-0000	Facsimile number, if any	<i>/</i> :	
This is (check one): one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT	TO SECTION 204 OF THE AD	OVISERS ACT	
Name of entity where books and records are kept: MORGAN STANLEY			
Number and Street 1:		Number and Street 2:	
LEVEL 29, THE OFFICES AT CENTRALWORLD		999/9 RAMA I ROAD, KWAENG I	PATHUMWAN
City: BANGKOK	State:	Country: Thailand	ZIP+4/Postal Code: TH-10 10330
BANGRUK		mananu	IH-10 10330
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 000-000-0000	Facsimile number, if any:		
This is (check one): one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT	TO SECTION 204 OF THE AC	OVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN			
Number and Street 1: INGENIERO TORRES QUEVEDO, 16		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
MADRID		Spain	28022
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 000-000-0000	Facsimile number, if ar	ny:	
This is (check one): one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			

O other.

Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO SECTION 204 OF THE ADVISERS ACT Name of entity where books and records are kept: PLURIMA S.P.A.C. Number and Street 1: Number and Street 2: VIA TRINO, 62 DESANA Country: ZIP+4/Postal Code: City: State: MILANO 13034 Italy If this address is a private residence, check this box: \Box Facsimile number, if any: Telephone Number: This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO SECTION 204 OF THE ADVISERS ACT Name of entity where books and records are kept: IRON MOUNTAIN SINGAPORE PTE LTD Number and Street 1: Number and Street 2: 2 YUNG HO ROAD State: Country: ZIP+4/Postal Code: SINGAPORE Singapore 618594 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any: 0 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO SECTION 204 OF THE ADVISERS ACT Name of entity where books and records are kept: PARIS STORAGE CENTER Number and Street 1: Number and Street 2: 6-12 RUE DESCARTES MORANGIS City: State: Country: ZIP+4/Postal Code: **PARIS** France 91420 If this address is a private residence, check this box: \Box Telephone Number: Facsimile number, if any:

0

one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this location.			
CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	RSUANT TO SECTION 204 (OF THE ADVISERS ACT	
Name of entity where books and records are kept:			
IRON MOUNTAIN LLC			
Number and Street 1:		Number and Street 2:	
13700 NW 2ND STREET			710 4/0 1 1 0 1
City: SUNRISE	State: Florida	Country: United States	ZIP+4/Postal Code: 33325
CONTROL	Tioriad	Simou States	00020
If this address is a private residence, check this box:			
Telephone Number:	Facsimile number, if ar	ny:	
0			
This is (check one):			
one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
other.			
Briefly describe the books and records kept at this location.			
CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	RSUANT TO SECTION 204 (OF THE ADVISERS ACT	
Name of entity where books and records are kept:			
IRON MOUNTAIN LLC			
Number and Street 1:		Number and Street 2:	
50 CRISP AVE			715 4/5 4 10 4
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94124
3,444,144,461366	Camorna	omited States	77 127
If this address is a private residence, check this box:			
Telephone Number:	Facsimile number, if any:		
0			
This is (check one):			
one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
other.			
Briefly describe the books and records kept at this location.			
CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	RSUANT TO SECTION 204 (OF THE ADVISERS ACT	
Name of entity where books and records are kept:			
IRON MOUNTAIN LLC			
Number and Street 1:		Number and Street 2:	
1800 COLUMBIAN CLUB DRIVE	C1 '	Country	71D 4/D4-1 0 1
City: CARROLLTON	State: Texas	Country: United States	ZIP+4/Postal Code: 75006
O, MANOLETOIN	ICAUS	Sinted States	, 5555
If this address is a private residence, check this box:			
I I I I I I I I I I I I I I I I I			

This is (check one):

Telephone Number: 0	Facsimile number, if any	:		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF	THE ADVISERS ACT		
Name of entity where books and records are kept: OSG LOBNYA				
Number and Street 1: KRASNOPOLYANSKIY PROEZD D. 4		Number and Street 2: LOBNYA		
City:	State:	Country:	ZIP+4/Postal Code:	
MOSKOVSKAYA OBLAST	etate.	Russia	141732	
If this address is a private residence, check this box: $\ \Box$				
Telephone Number: +7(495)3636050	Facsimile num	ber, if any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 OF	THE ADVISERS ACT		
Name of entity where books and records are kept: MORGAN STANLEY				
Number and Street 1: 1633 BROADWAY		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
NEW YORK	New York	United States	10019	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 212 537-2473	Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at this location. ADV/SEC REGULATORY FILINGS.				
Name of entity where books and records are kept:				

Name of entity where books and records are kept: IRON MOUNTAIN INFORMATION MANAGEMENT INC.

Number and Street 1: 100 HARBOR DRIVE

JERSEY CITY	New Jersey	United States	0/305	
If this address is a private residence, check this box:				
Telephone Number: (201) 761-0086	Facsimile number, if a	any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at this loca CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		204 OF THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN LLC				
Number and Street 1: 19826 RUSSELL ROAD		Number and Street 2:		
City: KENT	State: Washington	Country: United States	ZIP+4/Postal Code: 98032	
If this address is a private residence, check this box:				
Telephone Number:	Facsimile number, if a	ny:		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this loca CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		204 OF THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN LLC				
Number and Street 1: 4105 N LAMB BLVD		Number and Street 2:		
City: LAS VEGAS	State: Nevada	Country: United States	ZIP+4/Postal Code: 89115	
If this address is a private residence, check this box:				
Telephone Number:	Facsimile number,	if any:		
This is (check one): O one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept at this loca CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAIN		204 OF THE ADVISERS ACT		

Country:

ZIP+4/Postal Code:

State:

City:

Name of entity where books and records are kept: IRON MOUNTAIN LLC				
Number and Street 1: 175 BEARFOOT ROAD		Number and Street 2:		
City: NORTHBOROUGH	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 01532	
If this address is a private residence, check this box: \Box				
Telephone Number:	Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINE	D PURSUANT TO SECTION 204 OF THE	E ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN AUSTRALIA GROUP PTY LTD				
Number and Street 1: ACACIA LINK ESTATE, 126 PARADISE RD		Number and Stre	eet 2:	
City:	State:	Country:	ZIP+4/Postal Code:	
BRISBANE		Australia	4110	
If this address is a private residence, check this box: \Box				
Telephone Number:	Facsimile nun	nber, if any:		
This is (check one):				
one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINE	ED PURSUANT TO SECTION 204 OF THE	E ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN AUSTRALIA GROUP PTY LTD				
Number and Street 1:		Number and Street 2:		
34 JONAL DRIVE City:	State:	CAVAN SA Country:	ZIP+4/Postal Code:	
ADELAIDE	State.	Australia	5094	
If this address is a private residence, check this box: \Box				
Telephone Number: 0	Facsimile number, if any	<i>r</i> :		
This is (check one): o one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
o other.				

CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUAN	T TO SECTION 204 OF THE A	ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN AUSTRALIA GROUP PTY LTD			
Number and Street 1: 451-465 PLUMMER ST		Number and Street 2: PORT MELBOURNE VIC	
City: MELBOURNE	State:	Country: Australia	ZIP+4/Postal Code: 3207
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT	Γ TO SECTION 204 OF THE A	ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN AUSTRALIA GROUP PTY LTD			
Number and Street 1: 449 VICTORIA RD		Number and Street 2: MALAGA	
City: PERTH	State:	Country: Australia	ZIP+4/Postal Code: 6090
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		
This is (check one): one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT	T TO SECTION 204 OF THE A	ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN AUSTRALIA GROUP PTY LTD			
Number and Street 1: 5 ARISTIDA CLOSE		Number and Street 2: KEMPS CREEK NSW	
City: SYDNEY	State:	Country: Australia	ZIP+4/Postal Code: 2178
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		

Briefly describe the books and records kept at this location.

This is (check one):

one of your branch offices or affiliates.

a third-party unaffiliated recordkeeper.							
O other.							
-	riefly describe the books and records kept at this location. ERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO SECTION 204 OF THE ADVISERS ACT						
Name of entity where books and records are kelling in the IRON MOUNTAIN INDIA PVT LTD	ept:						
Number and Street 1: APM TRANSPORT TERMINAL			IIVALI, OLD PANVEL-KHOPOLI EXPRESS				
City: RAIGAD	State:	Country: India	ZIP+4/Postal C	Code:			
If this address is a private residence, check this	s box:						
Telephone Number:	Facsimile nur	mber, if any:					
This is (check one): one of your branch offices or affiliates.							
a third-party unaffiliated recordkeeper.							
O other.							
Briefly describe the books and records kept at CERTAIN BOOKS AND RECORDS REQUIRED TO E		PURSUANT TO SECTION 204	4 OF THE ADVISERS ACT				
Name of entity where books and records are keeping MITSUI-SOKO CO LTD	ept:						
Number and Street 1: 2-2-3 OYAMAGAOKA MACHIDA			Number and Street 2: MACHIDA-SHI				
City: TOKYO		State:	Country: Japan	ZIP+4/Postal Code: 194-0215			
If this address is a private residence, check this	s box:						
Telephone Number: +81(0)42-798-4		Facsimile numbe	er, if any:				
This is (check one): one of your branch offices or affiliates.							
a third-party unaffiliated recordkeeper.							
O other.							
Briefly describe the books and records kept at CERTAIN BOOKS AND RECORDS REQUIRED TO E		PURSUANT TO SECTION 204	4 OF THE ADVISERS ACT				
Name of entity where books and records are kelling in the IRON MOUNTAIN INDIA PVT LTD	ept:						
Number and Street 1: LOGITECH PARK, POST AJIVALI			Number and Street 2: PANVEL				
City:		State:	Country:	ZIP+4/Postal Code:			
MAHARASHTRA			India	410206			
If this address is a private residence, check this	s box:						

022 - 24129066					
This is (check one): O one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 C	OF THE ADVISERS ACT			
Name of entity where books and records are kept: IRON MOUNTAIN RECORDS MANAGEMENT (SHANGHAI) CO. LTD.					
Number and Street 1:			Number and Stree		
NO. 1, INTERSECTION OF DONGPING AVENUE AND XIAXING City:		State:	CHANGPING TOWN Country:	N, DONGGUAN CITY ZIP+4/Postal Code:	
GUANGDONG PROVINCE		State.	China	Zii +4/i Ostai Code.	
If this address is a private residence, check this box: $\ \square$					
Telephone Number: 000-000-0000		Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
o other.					
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED Name of entity where books and records are kept: IRON MOUNTAIN LLC	PURSUANT TO SECTION 204 C	OF THE ADVISERS ACT			
Number and Street 1: 8700 MERCURY LANE		Number and Street 2:			
City:	State:	Country:		P+4/Postal Code:	
PICO RIVERA	California	United States	90	660	
If this address is a private residence, check this box: $\ \square$					
Telephone Number:	Facsimile number, if any:				
This is (check one): O one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED	PURSUANT TO SECTION 204 C	OF THE ADVISERS ACT			
Name of entity where books and records are kept: IRON MOUNTAIN LLC					
Number and Street 1: 1301 S. ROCKWELL		Number and Street 2:			

Country:

ZIP+4/Postal Code:

State:

Facsimile number, if any:

Telephone Number:

City:

If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURS	UANT TO SECTION 204 OF T	THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN INDIA PVT LTD				
Number and Street 1: SURVEY NO. 34/22 B, WAREHOUSE NO. 7		Number and Street 2: K- SQUARE INDUSTRIAL	& LOGISTIC PARK	
City: MUMBAI	State:	Country: India	ZIP+4/Postal Cod 421302	de:
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 000-000-0000	Facsimile number, if	any:		
This is (check one): O one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURS	UANT TO SECTION 204 OF T	THE ADVISERS ACT		
Name of entity where books and records are kept: OSG LOBNYA				
Number and Street 1: GABOVSKOYE, VILLAGE BABAIHA, VILLAGE GLAZOVO			Number and Street 2: DMITROVSKY DISTRICT	
City: MOSKOVSKAYA OBLAST		State:	Country: Russia	ZIP+4/Postal Code: 141895
If this address is a private residence, check this box: $\ \square$				
Telephone Number: +7(800)2001010		Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURS	UANT TO SECTION 204 OF T	THE ADVISERS ACT		

United States

60608

Illinois

Name of entity where books and records are kept: IRON MOUNTAIN LLC

CHICAGO

Number and Street 1:		Number and Street 2:			
575 GREAT S.W. PARKWAY			710 4/0		
City: ATLANTA	State: Georgia	Country: United States	ZIP+4/Pos 30336	stal Code:	
f this address is a private residence, check this box: $\ \square$					
Felephone Number:	Facsimile number, if any:				
This is (check one): one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
other.					
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PUI	RSUANT TO SECTION 204 OI	F THE ADVISERS ACT			
Name of entity where books and records are kept: RON MOUNTAIN LLC					
Number and Street 1: 3200 PRESTON COURT		Number and Street 2:			
Dity:	State:	Country:	ZIP+4/Po	ostal Code:	
JESSUP	Maryland	United States	20794		
f this address is a private residence, check this box: $\ \square$					
Telephone Number:	Facsimile number, if any:				
This is (check one): one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PUI	RSUANT TO SECTION 204 OI	F THE ADVISERS ACT			
Name of entity where books and records are kept: MORGAN STANLEY					
Number and Street 1: 13/F, TOWER A, NO. 208 ANDINGMENWAI AVENUE			Number and Street 2: DONGCHENG DISTRICT		
City: BEIJING		State:	Country: China	ZIP+4/Postal Code: 100011	
f this address is a private residence, check this box: $\ \square$					
Telephone Number: 36 10 83563800		Facsimile number, if any:			
This is (check one): one of your branch offices or affiliates.					
o a third-party unaffiliated recordkeeper.					
a other.					

Briefly describe the books and records kept at this location.

CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO SECTION 204 OF THE ADVISERS ACT

Name of entity where books and records are kept: REISSWOLF GMBH			
Number and Street 1: AUGUST SCHANZ-STR. 33-35		Number and Street 2:	
City: FRANKFURT	State:	Country: Germany	ZIP+4/Postal Code:
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 0	Facsimile number, if an	y:	
This is (check one): one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PUR	SUANT TO SECTION 204 OF TH	IE ADVISERS ACT	
Name of entity where books and records are kept: MORGAN STANLEY			
Number and Street 1: 522 FIFTH AVENUE		Number and Street 2: 19TH FLOOR	
City:	State:	Country:	ZIP+4/Postal Code:
	New York	United States	10036
If this address is a private residence, check this box: $\ \square$			
Telephone Number: 212-761-4000	Facsimile number, if any:		
This is (check one): one of your branch offices or affiliates.			
$_{ m C}$ a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. FINANCIAL RECORDS			
Name of entity where books and records are kept: OSG DOMODEDOVO			
Number and Street 1: 2/2, BELYE STOLBY, DOMODEDOVO		Number and Street 2: SOUTH GATE PARK	
City:	State:	Country:	ZIP+4/Postal Code:
MOSCOW OBLAST		Russia	142050
If this address is a private residence, check this box: $\ \square$			
Telephone Number: +7(800)2001010	Facsimile number	, if any:	
This is (check one): one of your branch offices or affiliates.			

• a third-party unaffiliated recordkeeper.

Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUA	ANT TO SECTION 204 C	DF THE ADVISERS ACT		
Name of entity where books and records are kept: LABGROUP				
Number and Street 1: 2-4 RUE EDMOND REUTER		Number and Street 2:		
City: CONTERN	State:	Country: Luxembourg	ZIP+4/Posta 5326	al Code:
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile number, if a	any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUA	ANT TO SECTION 204 C	DF THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN (THAILAND) LTD				
Number and Street 1: NO.69 MOO2 SOI.WATNAMDANG, SRINAKARIN RD.			Number and Street 2: BANGKAEW, BANGPLEE	
City: SAMUTPRAKARN		State:	Country: Thailand	ZIP+4/Postal Code: 10540
If this address is a private residence, check this box: $\ \square$				
Telephone Number:		Facsimile number, if any:		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUA	ANT TO SECTION 204 C	OF THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN SINGAPORE PTE LTD				
Number and Street 1: 28 QUALITY ROAD		Number and Street 2:		
City: SINGAPORE	State:	Country: Singapore	ZIP+4/Postal (618828	Code:
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile number,	if any:		

O other.

0

O one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAIN		ON 204 OF THE ADVISERS ACT		
Name of entity where books and records are kept: MORGAN STANLEY INVESTMENT MANAGEMENT CONSULTING	(SHANGHAI) LIMITED			
Number and Street 1: SHANGHAI WORLD FINANCIAL CENTER		Number and Street 2: 100 CENTURY AVE., PUDO	NG NEW AREA	
City: SHANGHAI	State:	Country: China	ZIP+4/Postal Code: 200120	
If this address is a private residence, check this box: $\ \square$				
Telephone Number: 000-000-0000	Facsimile	number, if any:		
This is (check one): one of your branch offices or affiliates.				
O a tima party anatimatea recorate oper.				
O other.				
Name of entity where books and records are kept: LONDON STORAGE CENTER	ED PURSUANT TO SECTION	ON 204 OF THE ADVISERS ACT		
Number and Street 1: NORMAN ROAD		Number and Street 2: BELVEDERE		
City: KENT	State:	Country: United Kingdom	ZIP+4/Postal Code: DA17 6JY	
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile numbe	er, if any:		
This is (check one): one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAIN		ON 204 OF THE ADVISERS ACT		
Name of entity where books and records are kept: IRON MOUNTAIN TAIWAN LTD				
Number and Street 1: NO. 110, LN. 288, SEC. 2, NANKAN ROAD		Number and Street 2: LUZHU DISTRICT		

City: State: Country: TAOYUAN CITY Taiwan, F

This is (check one):

Country: ZIP+4/Postal Code: Taiwan, Republic of China 338

Telephone Number:	Facsimile number, if any	y:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSO	JANT TO SECTION 204 OI	F THE ADVISERS ACT	
Name of entity where books and records are kept: PT IRON MOUNTAIN INDONESIA			
Number and Street 1: JI. KARANGGAN NO. 59		Number and Street 2: GUNUNG PUTRI, KAB. BOGOR, 16960	
City: JAWA BARAT		Country: Z Indonesia	ZIP+4/Postal Code:
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSO	JANT TO SECTION 204 OI	F THE ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN PHILIPPINES			
Number and Street 1: SOUTHWOODS INDUSTRIAL PARK		Number and Street 2: GOVERNORS DRIVE, CARMO	DNA
City:	State:	Country:	ZIP+4/Postal Code:
CAVITE		Philippines	
If this address is a private residence, check this box: $\ \square$			
Telephone Number:	Facsimile numbe	er, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSE	JANT TO SECTION 204 OI	F THE ADVISERS ACT	
Name of entity where books and records are kept: IRON MOUNTAIN TAIWAN LTD			

If this address is a private residence, check this box: $\ \square$

City: TAOYUAN CITY	State:	Country: Taiwan, Republic of C	China	ZIP+4/Postal Code: 338
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile number, i	f any:		
This is (check one): o one of your branch offices or affiliates. a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	IRSUANT TO SECTION	N 204 OF THE ADVISERS AC	СТ	
Name of entity where books and records are kept: IRON MOUNTAIN PHILIPPINES				
Number and Street 1: WAREHOUSE 2 AND 8B SOUTHER LUZON COMPLEX			Number and Street 2: BARANGAY BATINO 4072, CALAMB.	A CITY
City: LAGUNA	S	itate:	Country: ZI Philippines	P+4/Postal Code:
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	F	acsimile number, if any:		
This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	IRSUANT TO SECTION	N 204 OF THE ADVISERS AC	CT	
Name of entity where books and records are kept: IRON MOUNTAIN TAIWAN LTD				
Number and Street 1: NO.101, LN. 228, SEC. 2, NANKAN ROAD City: TAOYUAN CITY	State:	Number and Stree LUZHU DISTRICT Country: Taiwan, Republic o		ZIP+4/Postal Code: 338
If this address is a private residence, check this box: $\ \square$				
Telephone Number:	Facsimile numbe	r, if any:		
This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper.				
o other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PU	IRSUANT TO SECTION	I 204 OF THE ADVISERS AC	СТ	

Number and Street 2: LUZHU DISTRICT

Number and Street 1:

NO.2, LN. 142, SEC. 2, NANKAN ROAD

Name of entity where books and records are kept: OSG DOMODEDOVO				
Number and Street 1: ULITSA LOGISTICHESKAYA, 1 DOMODEDOVO		Number and Stree	t 2:	
City:	State:	Country:	ZIP+4/Postal Code:	
MOSKOVSKAYA OBLAST	otate.	Russia	142030	
If this address is a private residence, check this box:				
Telephone Number: +7 (800) 200 1	Facsimile number, i	f any:		
This is (check one): one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO S	SECTION 204 OF THE ADVISERS	ACT		
Name of entity where books and records are kept: IRON MOUNTAIN INDIA PVT LTD				
Number and Street 1: PLOT NO 282/2, KIADB INDUSTRIAL AREA,		Number and Street 2: BOMMASANDRA JIGANI LII	NK ROAD	
City: BANGALORE	State:	Country: India	ZIP+4/Postal Code:	
If this address is a private residence, check this box:				
Telephone Number: 0	Facsimile number, if any:			
This is (check one): O one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location. CERTAIN BOOKS AND RECORDS REQUIRED TO BE MAINTAINED PURSUANT TO S	SECTION 204 OF THE ADVISERS	ACT		
ECTION 1.M. Registration with Foreign Financial Regulatory Authorities				
	No Information Fi	led		
em 2 SEC Registration/Reporting				

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an annual updating amendment to your SEC registration. If you are filing an umbrella registration, the information in Item 2 should be provided for the filing adviser only.

A. To register (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an annual updating amendment to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these

You (the adviser):

- (1) are a large advisory firm that either:
 - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
 - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
 - are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:

	Click HERE for a list of states in which an investm		
(3) R	Reserved		
(4) h	have your principal office and place of business outsic	ide the United States;	
(5) a	are an investment adviser (or subadviser) to an i	investment company registered under the Investment Company A	Act of 1940;
	are an investment adviser to a company which ha withdrawn the election, and you have at least \$25 i	as elected to be a business development company pursuant to semillion of regulatory assets under management;	ection 54 of the Investment Company Act of 1940 and has not
(7) a	are a pension consultant with respect to assets of	plans having an aggregate value of at least \$200,000,000 that qu	ualifies for the exemption in rule 203A-2(a);
	are a related adviser under rule 203A-2(b) that <i>col</i> place of business is the same as the registered advi		nent adviser that is registered with the SEC, and your <i>principal office a</i>
I	If you check this box, complete Section 2.A.(8) of Sch	nedule D.	
(9) a	are an adviser relying on rule 203A-2(c) because yo	ou expect to be eligible for SEC registration within 120 days;	
1	If you check this box, complete Section 2.A.(9) of Sch	nedule D.	
(10) a	are a multi-state adviser that is required to registe	er in 15 or more states and is relying on rule 203A-2(d);	
1.	If you check this box, complete Section 2.A.(10) of Sc.	chedule D.	
(11) a	are an Internet adviser relying on rule 203A-2(e);		
(12) h	have received an SEC order exempting you from the	the prohibition against registration with the SEC;	
	If you check this box, complete Section 2.A.(12) of Sc.	chedule D.	
Li	Tryou check this box, complete decition 2.7 th (12) of con		
	are no longer eligible to remain registered with the	e SEC.	
(13) a e Securitie Under state In addition check the B	are no longer eligible to remain registered with the less Authority Notice Filings and State Reporting be tellaws, SEC-registered advisers may be required to proposed to the state (s) that you would like to	by Exempt Reporting Advisers to provide to state securities authorities a copy of the Form ADV and any idea state securities authorities with a copy of reports and any amendarities notice of this and all subsequent filings or reports you subsequent	and any amendments they file with the SEC. These are called <i>notice filing</i> endments they file with the SEC. If this is an initial application or report to the SEC. If this is an amendment to direct your <i>notice filings</i> or reports you submit to the SEC. If this is an amendment
e Securities Under state In addition check the bereports to a	es Authority Notice Filings and State Reporting be the laws, SEC-registered advisers may be required to provide to the state (s) that you would like to additional state(s), check the box(es) next to the state (s).	by Exempt Reporting Advisers to provide to state securities authorities a copy of the Form ADV and any idea state securities authorities with a copy of reports and any amendarities notice of this and all subsequent filings or reports you subsequent	endments they file with the SEC. If this is an initial application or report to the SEC. If this is an amendment to direct your <i>notice filings</i> or quent filings or reports you submit to the SEC. If this is an amendment
(13) a e Securitie Under state In addition check the bereports to a to your reg	es Authority Notice Filings and State Reporting be the laws, SEC-registered advisers may be required to provide to not to the state(s) that you would like to additional state(s), check the box(es) next to the state(s) advisers or reports from the state of the state	to provide to state securities authorities a copy of the Form ADV and ovide state securities authorities with a copy of reports and any amenorate notice of this and all subsequent filings or reports you substate(s) that you would like to receive notice of this and all subsequent going to state(s) that currently receive them, uncheck the box(es)	endments they file with the SEC. If this is an initial application or report of the SEC. If this is an amendment to direct your <i>notice filings</i> or quent filings or reports you submit to the SEC. If this is an amendment of the second of the
(13) a securities Inder state n addition theck the beginning to a your regularisdiction AL	es Authority Notice Filings and State Reporting be te laws, SEC-registered advisers may be required to probox(es) next to the state(s) that you would like to additional state(s), check the box(es) next to the significant of the state of th	by Exempt Reporting Advisers to provide to state securities authorities a copy of the Form ADV and ovide state securities authorities with a copy of reports and any amenoreceive notice of this and all subsequent filings or reports you substate(s) that you would like to receive notice of this and all subsect going to state(s) that currently receive them, uncheck the box(es)	endments they file with the SEC. If this is an initial application or report or to the SEC. If this is an amendment to direct your <i>notice filings</i> or quent filings or reports you submit to the SEC. If this is an amendment of the second or
Securities Inder state In addition heck the beeports to a po your regularisdiction AL AK	es Authority Notice Filings and State Reporting be te laws, SEC-registered advisers may be required to probox(es) next to the state(s) that you would like to additional state(s), check the box(es) next to the significant to stop your notice filings or reports from	to provide to state securities authorities a copy of the Form ADV and ovide state securities authorities with a copy of reports and any amendo receive notice of this and all subsequent filings or reports you substate(s) that you would like to receive notice of this and all subsequent going to state(s) that currently receive them, uncheck the box(es).	endments they file with the SEC. If this is an initial application or report of the SEC. If this is an amendment to direct your <i>notice filings</i> or quent filings or reports you submit to the SEC. If this is an amendment of the second of the
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(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or

(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

SECTION 2.A.(8) Related Adviser

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days
If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:
I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
SECTION 2.A. (10) Multi-State Adviser
If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.
If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:
I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
If you are submitting your annual updating amendment, you must make this representation:
Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register a an investment adviser with the <i>state securities authorities</i> in those states.
SECTION 2.4 (12) SEC Everyntive Order
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
Application Number: 803-
Date of order:
Item 3 Form of Organization
If you are filing an <i>umbrella registration</i> , the information in Item 3 should be provided for the <i>filing adviser</i> only. A. How are you organized?
© Corporation
Sole Proprietorship
C Limited Liability Partnership (LLP)
Partnership
Limited Liability Company (LLC)
C Limited Partnership (LP)
Other (specify):
If you are changing your response to this Item, see Part 1A Instruction 4.
B. In what month does your fiscal year end each year? DECEMBER
C. Under the laws of what state or country are you organized?
State Country Delaware United States
If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.
If you are changing your response to this Item, see Part 1A Instruction 4.

SEC Number of Registered Investment Adviser

		Ye	s No
Α.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organiza or state of incorporation)?	tion O	•
	If "yes", complete Item 4.B. and Section 4 of Schedule D.		
B.	Date of Succession: (MM/DD/YYYY)		
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.		
SEC	CTION 4 Successions		
	No Information Filed		
ten	em 5 Information About Your Advisory Business - Employees, Clients, and Compensation		
	esponses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction ovides additional guidance to newly formed advisers for completing this Item 5.	on 5.a.	
Em	mployees		
_	you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).	า, you sho	uld
Α.	Approximately how many employees do you have? Include full- and part-time employees but do not include any clerical workers. 81		
B.	(1) Approximately how many of the <i>employees</i> reported in 5.A. perform investment advisory functions (including research)?		
	(2) Approximately how many of the <i>employees</i> reported in 5.A. are registered representatives of a broker-dealer? 21		
	(3) Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state securities authorities</i> as <i>investment adviser representatives</i> ? 0		
	(4) Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state securities authorities</i> as <i>investment adviser representatives</i> for an investment adviser you?	er other t	:han
	0 (5) Approximately how many of the <i>employees</i> reported in 5.A. are licensed agents of an insurance company or agency? 0		
	(6) Approximately how many firms or other <i>persons</i> solicit advisory <i>clients</i> on your behalf?0		
	In your response to Item 5.B. (6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.		
Clie	lients		
In y	your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.		
C.	(1) To approximately how many clients for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently compleyear? 0	eted fiscal	
	(2) Approximately what percentage of your <i>clients</i> are non- <i>United States persons</i> ? 92%		
D.	For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized proprietorships. The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisor		
	The eategory business development companies consists of companies that have made an election pursuant to section 34 of the investment company Act of 1940. Offices you provide advisor	y sei vices	

pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

have fewer than 5 clients in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

Indicate the approximate number of your clients and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of client. If you

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

Item 4 Successions

If a <i>client</i> fits into more than one category, select one category that most accurately represents business development company, or pooled investment vehicle, report those assets in categories			s. If you advise a registered investment compa
Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than <i>high net worth individuals</i>)	onen(3)		\$
(b) High net worth individuals			\$
(c) Banking or thrift institutions			\$
(d) Investment companies			\$
(e) Business development companies		-	\$
(f) Pooled investment vehicles (other than investment companies and business development	12	-	\$ 3,767,336,337
companies) (g) Pension and profit sharing plans (but not the plan participants or government pension	12		\$ 3,707,330,337
plans)			.
(h) Charitable organizations			\$
(i) State or municipal government entities (including government pension plans)			\$
(j) Other investment advisers			\$
(k) Insurance companies			\$
(I) Sovereign wealth funds and foreign official institutions			\$
(m) Corporations or other businesses not listed above			\$
(n) Other:			\$
em 5 Information About Your Advisory Business - Regulatory Assets Under Management regulatory Assets Under Management (1) Do you provide continuous and regular supervisory or management services to securities por (2) If yes, what is the amount of your regulatory assets under management and total number of U.S. Dollar Amount Discretionary: (a) \$3,767,336,337		Total Number o	Yes ⊙ of Accounts
Non-Discretionary: (a) \$ 3,767,336,337		(e) 0	
Total: (c) \$ 3,767,336,337		(f) 12	
Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. (3) What is the approximate amount of your total regulatory assets under management (report \$ 3,754,162,107			
em 5 Information About Your Advisory Business - Advisory Activities			
dvisory Activities			
What type(s) of advisory services do you provide? Check all that apply.			
 ☐ (1) Financial planning services ☐ (2) Portfolio management for individuals and/or small businesses ☐ (3) Portfolio management for investment companies (as well as "business development of 1940) ☐ (4) Portfolio management for pooled investment vehicles (other than investment companies) 	nies)		
 (5) Portfolio management for businesses (other than small businesses) or institutional cl (6) Pension consulting services (7) Selection of other advisers (including private fund managers) (8) Publication of periodicals or newsletters (9) Security ratings or pricing services (10) Market timing services 	ients (other than registere	ed investment companies a	nd other pooled investment vehicles)

	(12) Other(specify):		
	Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, incl subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G.(3) of Schedule D.	'uding ¿	as a
H.	If you provide financial planning services, to how many <i>clients</i> did you provide these services during your last fiscal year? O 0 1 - 10 11 - 25 26 - 50 51 - 100 101 - 250 101 - 250 More than 500 If more than 500, how many? (round to the nearest 500)		
	In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.	V	
I.	(1) Do you participate in a wrap fee program?	Yes	No ©
	(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:		· ·
	(a) sponsor to a wrap fee program		
	\$ (b) portfolio manager for a wrap fee program?		
	\$ (c) sponsor to and portfolio manager for the same wrap fee program?		
	\$		
	If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D.		
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check Item 8 enter any amounts in response to Item 5.1.(2).	5.1.(1)	or
	(1) In response to Item 4.D. of Part 24 of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	Yes	
J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments? (2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?		⊙ ⊙
			•
K.	Separately Managed Account Clients	Yes	No
	(1) Do you have regulatory assets under management attributable to clients other than those listed in Item 5.D.(3)(d)-(f) (separately managed account clients)?		•
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account clients that you advise?	0	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	0	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount		_
	of regulatory assets under management?		0
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
L.	Marketing Activities		
	(1) Do any of your <i>advertisements</i> include:	Yes	No
	(a) Performance results?	•	0
	(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	•	0

(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	•
(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	•
(e) Third-party ratings?	•	0
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connecti endorsements, or third-party ratings?	ion with the use of testimonials,	0
(3) Do any of your advertisements include hypothetical performance?	•	0
(4) Do any of your advertisements include predecessor performance?	•	0

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

(a) A	sset Type	Mid-year	End of year
(i	Exchange-Traded Equity Securities	%	%
(i) Non Exchange-Traded Equity Securities	%	%
(i	i) U.S. Government/Agency Bonds	%	%
(i	v) U.S. State and Local Bonds	%	%
() Sovereign Bonds	%	%
(i) Investment Grade Corporate Bonds	%	%
(ii) Non-Investment Grade Corporate Bonds	%	%
(iii) Derivatives	%	%
(i	x) Securities Issued by Registered Investment Companies or Business Development Companies	%	%
()) Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
()	i) Cash and Cash Equivalents	%	%
()	ii) Other	%	%

Generally describe any assets included in "Other"

(b)	Asset Type	End of year
	(i) Exchange-Traded Equity Securities	%
	(ii) Non Exchange-Traded Equity Securities	%

(iii) U.S. Government/Agency Bonds	%
(iv) U.S. State and Local Bonds	%
(v) Sovereign Bonds	%
(vi) Investment Grade Corporate Bonds	%
(vii) Non-Investment Grade Corporate Bonds	%
(viii) Derivatives	%
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	%
(x) Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi) Cash and Cash Equivalents	%
(xii) Other	%

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

 \square No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any borrowings and (b) the gross notional value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate gross notional value of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which borrowings and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	
Less than 10%	\$	\$	
10-149%	\$	\$	
150% or more	\$	\$	

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which borrowings and derivatives are used in the management of the separately managed accounts that you advise.

SECTION 5.K.(3) Custodians for Separately Managed Accounts

Item 6 Other Business Activities

No Information Filed

In t	In this Item, we request information about your firm's other business activities.								
A.	You	are a	e actively engaged in business as a (check all that apply):						
		(1)	broker-dealer (registered or unregistered)						
	(2) registered representative of a broker-dealer								
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)								
		(4)	futures commission merchant						
		(5)	real estate broker, dealer, or agent						
		(6)	insurance broker or agent						
		(7)	bank (including a separately identifiable department or division of a bank)						
		(8)	trust company						
		(1) 191911111111111111111111111111111111							
		(10)	registered security-based swap dealer						
		(11)	major security-based swap participant						
		(12)	accountant or accounting firm						
		(13)	lawyer or law firm						
		(14)	other financial product salesperson (specify):						

If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.

(1) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?

(2) If yes, is this other business your primary business?

If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name.

(3) Do you sell products or provide services other than investment advice to your advisory *clients*?

If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.

SECTION 6.A. Names of Your Other Businesses

No Information Filed

Yes No

0 0

Yes No

SECTION 6.B.(2) Description of Primary Business

Describe your primary business (not your investment advisory business):

lf y	ou engage in that business under a different name, provide that name:
	TION 6.B.(3) Description of Other Products and Services cribe other products or services you sell to your client. You may omit products and services that you listed in Section 6.B.(2) above.
Des	cribe other products or services you sen to your <i>chemi.</i> You may office and services that you listed in Section 6.B.(2) above.
lf y	ou engage in that business under a different name, provide that name:
Ltor	n 7 Financial Industry Affiliations
	his Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your clients.
	This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates and any person that is under
	common control with you. You have a related person that is a (check all that apply): ✓ (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered) ✓ (2) other investment adviser (including financial planners) ✓ (3) registered municipal advisor ✓ (4) registered security-based swap dealer ✓ (5) major security-based swap participant
	 (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (7) futures commission merchant (8) banking or thrift institution (9) trust company
	 (10) accountant or accounting firm (11) lawyer or law firm (12) insurance company or agency (13) pension consultant (14) real estate broker or dealer (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
	(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles
	Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).
	Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.
	For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.
	You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.
	You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.
SEC	TION 7.A. Financial Industry Affiliations
Cor	nplete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.
1.	Legal Name of <i>Related Person</i> : MSREF VII GP L.L.C.
2.	Primary Business Name of <i>Related Person</i> : MSREF VII GP L.L.C.
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
	or Other
4.	Related Person's (a) CRD Number (if any):
	(b) CIK Number(s) (if any):
	No Information Filed

5.	Rela	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm lawyer or law firm		
	(k) (l)	insurance company or agency		
	(n) (m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(β)	sponsor, general partner, managing member (or equivalent) or pooled investment venicles	Yes	No
,).	Do v	ou control or are you controlled by the related person?	0	
	,			•
7 .	Are '	you and the related person under common control?	•	_
•	7.1.0	you and the rolated person and common control.	•	U
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
٠.			0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i>	0	0
		person?		
	(c)	' If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	(-)	Number and Street 1: Number 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	\odot	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
۱.	_	Name of Related Person:		
	MES.	A WEST REAL ESTATE INCOME FUND V GP, LLC		
	Б.			
<u>'</u> .		ary Business Name of <i>Related Person</i> : A WEST REAL ESTATE INCOME FUND V GP, LLC		
	IVIES	A WEST REAL ESTATE INCOME FUND V GP, LLC		
2	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
ο.	- -	ted Felson's SEC Tile Number (II arry) (e.g., 601-, 6-, 602-)		
	or			
	Othe			
1.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
=	Dol-	tod Parsan is: (chack all that apply)		
J.	Reia (a)	ted Person is: (check all that apply) Description of the properties of the properti		
		other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(U)			

	(d)	registered security-based swap dealer		
	(e) 🗖	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) 🗖	futures commission merchant		
	(h) 🗖	banking or thrift institution		
	(i)	trust company		
	(j) <u> </u>	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) <u> </u>	insurance company or agency		
	(m)	pension consultant		
	(n) 🗖	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🔽	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do you d	control or are you controlled by the related person?	0	\odot
7.	Are you	and the related person under common control?	•	0
8.	(a) Doe	es the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If y	ou are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
	(pu	rsuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i> are son?		
	•	you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		mber and Street 1: Number and Street 2:		
	City			
	-	this address is a private residence, check this box:		
			Yes	No
9.	(a) If th	he <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b) If the	he answer is yes, under what exemption?		
10	(a) Is t	the related person registered with a foreign financial regulatory authority?	0	•
	(b) If th	he answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	~	
	` ,	No Information Filed		
11	Do you a	and the related person share any supervised persons?	0	•
12	Do you a	and the <i>related person</i> share the same physical location?	•	0
1.	_	ame of Related Person:		
	MORGAN	I STANLEY VENTURE PARTNERS III, L.L.C.		
_	5.1			
2.	•	Business Name of <i>Related Person</i> : I STANLEY VENTURE PARTNERS III, L.L.C.		
	WORGAN	I STANLEY VENTURE PARTNERS III, L.L.C.		
2	Polatod I	Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
3.	neialeu f -	6/30/13 SEC THE NUMBER (II dity) (6.9., 001-, 0-, 000-, 002-)		
	or			
	Other			
4.	Related F	Person's		
		D Number (if any):		
	` /			
	(b) CIK	Number(s) (if any):		
		No Information Filed		
5.	Related F	Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e) 🗖	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(1.1)			

	 (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	t o	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: 🗖	Yes	Nic
9.	(a) If the related person is an investment adviser, is it exempt from registration?	O	
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	-
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		٠
	No Information Filed		
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	0	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA IV, INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA IV, INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)		

	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common contro?	•	0
8.	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	0 0	O
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	Yes	
11.	(a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed Do you and the related person share any supervised persons? Do you and the related person share the same physical location?	000	0
	Legal Name of <i>Related Person</i> : MESA WEST DSA, LLC Primary Business Name of <i>Related Person</i> : MESA WEST DSA, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - Or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (s) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common contro?	•	0

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	\odot
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	0	~
		If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		٠
11	. Do y	ou and the related person share any supervised persons?	0	•
12	. Do y	ou and the <i>related person</i> share the same physical location?	•	0
1.	_	Il Name of <i>Related Person</i> :		
	MES	A WEST CAPITAL, LLC		
2.		ary Business Name of <i>Related Person</i> : A WEST CAPITAL		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		- 72711		
	or Othe			
	Ottilo			
4.	<i>Rela</i> (a)	ted Person's CRD Number (if any):		
	(b)	158959 CIK Number(s) (if any):		
	()	No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant banking or thrift institution		
	(h) (i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do v	ou control or are you controlled by the related person?	Yes	
0.	⊅о у	ou control of the you controlled by the related persons	0	(•)
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
1.1		Number and Street 1: Number and Street 2:		

		City: State:	Country:	ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0	
	(b)	If the answer is yes, under what exemption?			~	
10		Is the related person registered with a foreign financial regulatory authority?			0	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign finar	<i>ancial regulatory authority</i> with wh No Information Filed	nich the <i>related person</i> is registered.		
11	Do v	you and the related person share any supervised persons?	No illioithation filed		_	_
' '	. Бо у	od dna tne related person share dny supervised persons:			0	•
12	. Do y	you and the related person share the same physical location?			•	0
					~	~
1	Lana	al Name of <i>Related Person</i> :				
1.	_	EF REAL ESTATE ADVISOR, INC.				
2.		ary Business Name of <i>Related Person</i> :				
	MSR	EF REAL ESTATE ADVISOR, INC.				
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
		- 67286				
	or					
	Othe	;F				
4.	Rela	ted Person's				
	(a)	CRD Number (if any):				
	(1.)	142047				
	(b)	CIK Number(s) (if any):	No Information Filed			
5.	Rela	ted Person is: (check all that apply)				
0.		broker-dealer, municipal securities dealer, or government securities broke	ker or dealer			
	(b)	other investment adviser (including financial planners)				
	(c)	registered municipal advisor				
	(d) (e)	registered security-based swap dealer major security-based swap participant				
	(f)	commodity pool operator or commodity trading advisor (whether register	ered or exempt from registration)			
	(g)	futures commission merchant	,			
	(h)	□ banking or thrift institution				
	(i)	trust company				
	(j) (k)	□ accountant or accounting firm □ lawyer or law firm				
	(K) (I)	insurance company or agency				
	(m)	pension consultant				
	(n)	real estate broker or dealer				
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding po				
	(p)	sponsor, general partner, managing member (or equivalent) of pooled in	nvestment vehicles		Yes	Nο
6.	Do y	you control or are you controlled by the related person?			0	
	,					•
7.	Are y	you and the related person under common control?			•	0
0	(0)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connect	ation with advisory convices your	provide to cliente?		_
0.		If you are registering or registered with the SEC and you have answered "yes			ont o	
	(6)	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not req <i>person</i> ?			-	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of t	the related person's office respon	nsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1:	Number and Street 2:	71D 4/D+ 1 0 1		
		City: State: If this address is a private residence, check this box:	Country:	ZIP+4/Postal Code:		
		•			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0	•
	(b)	If the answer is yes, under what exemption?				
1						

10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE III INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE III INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
		Yes	
	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	 (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i> (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:	0	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	Yes	
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	•
11.	No Information Filed . Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
10	Do you and the related person share the same physical location?	_	

1.	Legal Name of <i>Related Person</i> :		
	MS CREDIT PARTNERS II GP INC.		
2.	Primary Business Name of <i>Related Person</i> : MS CREDIT PARTNERS II GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
1.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	 (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer 		
	 (e) □ major security-based swap participant (f) ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	 (g) ☐ futures commission merchant (h) ☐ banking or thrift institution (i) ☐ trust company 		
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm 		
	 (l) ☐ insurance company or agency (m) ☐ pension consultant (n) ☐ real estate broker or dealer 		
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 	.,	
5 .	Do you control or are you controlled by the related person?	Yes	. No
7.	Are you and the related person under common control?	•	0
3.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
₹.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No O
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•

Legal Name of Related Person:
 MS EXPANSION CREDIT GP INC.

2.	Primary Business Name of <i>Related Person</i> : MS EXPANSION CREDIT GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
	Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) a		
6.	Do you control or are you controlled by the related person?	Yes O	
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □		0
		Yes	. No
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	0
10	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
11	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12	Do you and the <i>related person</i> share the same physical location?		•
1.	Legal Name of <i>Related Person</i> : MS EXPANSION CREDIT II GP INC.		
2.	Primary Business Name of <i>Related Person</i> : MS EXPANSION CREDIT II GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		

	(Other		
4		Related Person's (a) CRD Number (if any):		
	((b) CIK Number(s) (if any):		
		No Information Filed		
ĺ		Related Person is: (check all that apply)		
	((a)		
	((d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	((g) futures commission merchant (h) banking or thrift institution		
		(i) urust company (j) accountant or accounting firm		
		(k) awyer or law firm		
	`	(I) Insurance company or agency (m) pension consultant		
		(n) real estate broker or dealer		
		(o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(Yes	No
	b. [Do you control or are you controlled by the related person?	\circ	•
-	7. <i>P</i>	Are you and the related person under common control?	•	0
8		(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	\circ	_
	((b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	((c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
		City: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	Nc
	9. (0	
	((b) If the answer is yes, under what exemption?		
	10. ((a) Is the related person registered with a foreign financial regulatory authority?	0	•
	((b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
	I1. [Do you and the related person share any supervised persons?	0	•
	12. [Do you and the <i>related person</i> share the same physical location?	0	•
L				_
	I. L	Legal Name of <i>Related Person</i> :		
	Ν	MS CREDIT PARTNERS II GP L.P.		
2		Primary Business Name of <i>Related Person</i> : MS CREDIT PARTNERS II GP L.P.		
	3. <i>F</i>	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		or Other		
	1. <i>F</i>	Related Person's		
	((a) CRD Number (if any):		
	((b) CIK Number(s) (if any):		

5.	Related Person is: (check all that apply)		
	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) \square other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) Imajor security-based swap participant		
	(f) 🗹 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) Danking or thrift institution		
	(i) Tust company		
	(j) accountant or accounting firm		
	(k) I lawyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) 🗖 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	۱۰
6.	Do you control or are you controlled by the related person?	0	(
7.	Are you and the related person under common control?	•	(
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	(
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	B.,	,
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: Number and Street 2:		
	City: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: 🗖		
		Yes	۶N
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	(
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	(
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	_	
	No Information Filed		
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	(
		~	,
12.	. Do you and the <i>related person</i> share the same physical location?	0	
			`
			_
1.	Legal Name of Related Person:		
	MORGAN STANLEY & CO. LLC		
2.	Primary Business Name of Related Person:		
	MORGAN STANLEY & CO. LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	Or Other		
	Other		
1	Related Person's		
4.			
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) 🗹 broker-dealer, municipal securities dealer, or government securities broker or dealer		

(b) other investment adviser (including financial planners)

	(d) ☐ regi (e) ☐ majo (f) ☐ com (g) ☑ futu (h) ☐ banl (i) ☐ trus (j) ☐ acco (k) ☐ lawy	stered municipal advisor stered security-based swa or security-based swap paramodity pool operator or course commission merchant king or thrift institution at company countant or accounting firm yer or law firm urance company or agency	rticipant	r registered or exempt from registration)		
		sion consultant estate broker or dealer				
		•	ed partnerships (or equivalent), exc naging member (or equivalent) of p	cluding pooled investment vehicles pooled investment vehicles		
6.	Do you <i>contro</i>	ol or are you <i>controlled</i> by th	he <i>related person</i> ?			Yes No C ⊙
7.	Are you and t	he <i>related person</i> under cor	mmon <i>control</i> ?			⊙ ○
8.		·	·	connection with advisory services you	·	o •
			•	•	ve you overcome the presumption that you are not on mination for your <i>clients'</i> funds or securities that are	
	Number	ave answered "yes" to quest and Street 1:		Number and Street 2:	nsible for <i>custody</i> of your <i>clients</i> ' assets:	
	City: If this ac	ddress is a private residenc	State: ce, check this box:	Country:	ZIP+4/Postal Code:	
9.	(a) If the <i>rel</i>	<i>lated person</i> is an investmer	nt adviser, is it exempt from regis	tration?		Yes No
		swer is yes, under what ex	,			
10			h a foreign financial regulatory auth and country, in English of each for	nority? reign financial regulatory authority with wh No Information Filed	hich the <i>related person</i> is registered.	○ ●
11	1. Do you and th	ne <i>related person</i> share any	ı supervised persons?			⊙ ○
12	2. Do you and th	ne <i>related person</i> share the	same physical location?			○ ●
1.	•	f <i>Related Person</i> : N CAPITAL GP INC.				
2.	•	ness Name of <i>Related Persor</i> IN CAPITAL GP INC.	n:			
3.	-	n's SEC File Number (if any)) (e.g., 801-, 8-, 866-, 802-)			
	or Other					
4.		n's nber (if any):				
	(b) CIK Num	ber(s) (if any):		No Information Filed		
5.	(a) ☐ brok (b) ☐ othe (c) ☐ regis (d) ☐ regis (e) ☐ majo (f) ☑ com (g) ☐ futu	er investment adviser (inclustered municipal advisor istered security-based swap particular particu	ap dealer rticipant	ties broker or dealer r registered or exempt from registration)		

	(i) L trust company		
	(j) accountant or accounting firm		
	(k) \square lawyer or law firm (l) \square insurance company or agency		
	(m) pension consultant		
	(n) \square real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes N	
6.	6. Do you control or are you controlled by the related person?	O (
7	7. Are you and the <i>related person</i> under common <i>control</i> ?	⊙ (
, .	. The year and the related person and of certification.	• (
8.	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0 0	⊙
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the person?		0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes N	10
9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0 0	
	(b) If the answer is yes, under what exemption?		
10.	10. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0 0	_
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0 (•
	No Information Filed		
11.	11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0 0	⊙
12.	12. Do you and the <i>related person</i> share the same physical location?	0 0	•
1.	1. Legal Name of <i>Related Person</i> : MS SENIOR LOAN PARTNERS GP L.P.		
2.	2. Primary Business Name of <i>Related Person</i> : MS SENIOR LOAN PARTNERS GP L.P.		
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
4.	4. Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
			I
5.	5. Related Person is: (check all that apply)		
	(a) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) \square other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) \square registered security-based swap dealer (e) \square major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) [futures commission merchant		
	(h) banking or thrift institution		
	(i) □ trust company (j) □ accountant or accounting firm		
	(k) lawyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant		
	(n) Treal estate broker or dealer		- 1

	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ▼ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V	NI-
6.	Do you control or are you controlled by the related person?	Yes O	
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets: Number and Street 1: 	A	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes O	
	(b) If the answer is yes, under what exemption?		
10	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	•
11	. Do you and the related person share any supervised persons?	0	•
12	2. Do you and the <i>related person</i> share the same physical location?	0	•
	Legal Name of Related Person: MS VENTURE CAPITAL HOLDING INC. Primary Business Name of Related Person: MS VENTURE CAPITAL HOLDING INC. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other Related Person's (a) CRD Number (if any): (b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) crust company (g) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (s) ponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) vertical province of the province	Yes	No
6.	Do you control or are you controlled by the related person?	0	
7.	Are you and the related person under common control?	•	0

8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b)	If the answer is yes, under what exemption?	~	
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	•
11.	Do y	you and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : DW VENTURE PARTNERS IV, INC.		
2.		nary Business Name of <i>Related Person</i> : DW VENTURE PARTNERS IV, INC.		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela (a)	ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Vac	No
6.	Do y	you control or are you controlled by the related person?	Yes	
7.	Are	you and the related person under common control?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:	0 0	

		Number and Street 1:	Number and Street 2:			
		City: State:	Country:	ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square			Yes	Nο
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0	
	(b)	If the answer is yes, under what exemption?				
10		Is the related person registered with a foreign financial regulatory authority?			\circ	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign financial re-	regulatory authority with which the re No Information Filed	elated person is registered.		
11	. Do v	ou and the related person share any supervised persons?	No illioillation i lica		•	_
	,				•	
12	Do y	ou and the related person share the same physical location?			0	\odot
1.	_	Name of Related Person:				
	MSD\	W VENTURE PARTNERS IV, LLC				
2.	Prima	ary Business Name of <i>Related Person</i> :				
	MSD\	W VENTURE PARTNERS IV, LLC				
2	Dolo:	rad Parsan's SEC File Number (if any) (e.g. 901 9 944 902)				
3.	reiai -	red Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or					
	Othe					
4.	Relai	red Person's				
		CRD Number (if any):				
	(1-)	CN/ No week and a Vite and a				
	(b)	CIK Number(s) (if any):	No Information Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	broker-dealer, municipal securities dealer, or government securities broker or of other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled sponsor, general partner, managing member (or equivalent) of pooled investment	exempt from registration)		Yes	No
6.	Do y	ou control or are you controlled by the related person?			res	
7.	Are y	you and the related person under common control?			•	0
_						
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with the connection will be connected with the connected with the connected with the connected will be connected with the connected with			\circ	
		If you are registering or registered with the SEC and you have answered "yes," to (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required <i>person</i> ?	•		0	0
		If you have answered "yes" to question 8.(a) above, provide the location of the rel	elated person's office responsible for	custody of your clients' assets:		
		Number and Street 1:	Number and Street 2:			
		City: State: If this address is a private residence, check this box:	Country:	ZIP+4/Postal Code:		
		in this dudices is a private residence, check this bux. L			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?			0	
	(h)	If the answer is ves under what exemption?				

10	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	⊙	0
12	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> :		
	MSD	VW VP IV HOLDINGS, INC.		
2.		nary Business Name of <i>Related Person</i> : DW VP IV HOLDINGS, INC.		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Othe	er en		
4.	Rela	ted Person's		
		CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
<u>.</u>	Rela	ted Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	` '	registered municipal advisor registered security-based swap dealer		
	(-)	registered security-based swap dealer major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(p)		Yes	No
ó .	Do y	you control or are you controlled by the related person?		· 140
,	•			
<i>/</i> .	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
3.		Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
9	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
		If the answer is yes, under what exemption?	O	0
10		Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
	(D)	No Information Filed		
11.	Do y	you and the related person share any supervised persons?	•	0

12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY MUFG SECURITIES CO., LTD.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY MUFG SECURITIES CO., LTD.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) voter-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (i) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: 	0 0	
	If this address is a private residence, check this box: \square	Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	0
10.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
	Name of Country/English Name of Foreign Financial Regulatory Authority		
11.	Japan - Financial Services Agency Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	

1. Legal Name of Related Person:

	MORGAN STANLEY REAL ESTATE ADVISOR, INC.	
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY REAL ESTATE ADVISOR, INC.	
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 62377 or	
	Other	
4.	Related Person's (a) CRD Number (if any): 127488 (b) CIK Number(s) (if any): No Information Filed	
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ☑ other investment adviser (including financial planners)	
	 (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant 	
	 (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant 	
	 (h) □ banking or thrift institution (i) □ trust company 	
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm 	
	(I) ☐ insurance company or agency (m) ☐ pension consultant	
	(n) real estate broker or dealer	
	 (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 	
6.	Do you control or are you controlled by the related person?	Yes N
7.	Are you and the related person under common control?	© (
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0 6
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independen (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	nt o d
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes N
	(b) If the answer is yes, under what exemption?	
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0 6
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed	
11.	Do you and the related person share any supervised persons?	0 0
12.	Do you and the <i>related person</i> share the same physical location?	© (
1.	Legal Name of Related Person:	
	MSREF VII GLOBAL-GP, L.P.	
2.	Primary Business Name of <i>Related Person</i> : MSREF VII GLOBAL-GP, L.P.	
2	Polated Person's SEC File Number (if any) (e.g. 901 9 944 902)	

	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	No mornation riled		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) tutures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) Insurance company or agency pension consultant (n) pension consultant or eal estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes Ö	
7.	Are you and the related person under common control?	•	C
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i> (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code:	0	C
	If this address is a private residence, check this box: \square	Yes	Ne
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	C
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	•	C
12.	Do you and the <i>related person</i> share the same physical location?	•	C
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA V, INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA V, INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		

	(b)	CIK Number(s) (if any):	No Information Filed	
5.		broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or executives commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment	npt from registration) stment vehicles	
6.	Do y	you control or are you controlled by the related person?	Yes	
 7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	
			du de como a considera de constante de la collectica de l	
8.	(a) (b)	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to o <i>person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related</i> Number and Street 1:	stion 8. (a) above, have you overcome the presumption that you are not operationally independent obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i> person's office responsible for <i>custody</i> of your <i>clients'</i> assets: mber and Street 2: Intry: ZIP+4/Postal Code:	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b)	If the answer is yes, under what exemption?		,
	(b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulations you and the related person share any supervised persons?	ntory authority with which the related person is registered. No Information Filed	
12	Do v	you and the <i>related person</i> share the same physical location?	•	4
1.	_	al Name of <i>Related Person</i> : RGAN STANLEY CAPITAL PARTNERS VII GP INC.		
2.		nary Business Name of <i>Related Person</i> : RGAN STANLEY CAPITAL PARTNERS VII GP INC.		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):	No Information Filed	
5.	Rela	ated Person is: (check all that apply)		

	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(1)			
	(J)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(1)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do yo	ou control or are you controlled by the related person?	0	•
			~	~
7	Are v	ou and the related person under common control?	_	_
ļ [*] .	7 li C y	od and the related person under common controls	⊙	C
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	0	0
		(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related		
		person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?	~	~
	(0)	in the answer is yes, under what exemption:		
10	(0)	le the related nemer registered with a femilian financial regulatory outhority?	_	_
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	\circ	⊙
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11.	Do yo	ou and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	\circ
12.	Do yo	ou and the <i>related person</i> share the same physical location?	•	0
1.	Legal	Name of Related Person:		
	MESA	WEST CORE LENDING FUND GP, LLC		
2.	Prima	ary Business Name of <i>Related Person</i> :		
	MESA	WEST CORE LENDING FUND GP, LLC		
3.	Relate	ed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Other	-		
4.	Relate	ed Person's		
		CRD Number (if any):		
	(u)	ond Hamber (ii diriy).		
	(b)	CIK Number(s) (if any):		
	(6)	No Information Filed		
		No information riled		
5.	Rolati	ed Person is: (check all that apply)		
J.				
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
1	(f)	🗆 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		

((g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company 		
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm 		
1	(k) Lawyer or law firm (l) Insurance company or agency		
1	(m) pension consultant		
	 (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	(p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No No
6. [Do you control or are you controlled by the related person?	0	•
7. /	Are you and the related person under common control?	•	0
	 (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? 	t o	0
((c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
9. ((a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	s No
	(b) If the answer is yes, under what exemption?		
	(a) Is the related person registered with a foreign financial regulatory authority?	0	⊙
((b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11. [Do you and the related person share any supervised persons?	0	•
12. [Do you and the related person share the same physical location?	•	0
	Legal Name of Related Person:		
Ŋ	MESA WEST REAL ESTATE INCOME FUND IV GP, LLC		
	Primary Business Name of <i>Related Person</i> : MESA WEST REAL ESTATE INCOME FUND IV GP, LLC		
3. <i>I</i>	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
-			
	or Other		
	Related Person's (a) CRD Number (if any):		
((b) CIK Number(s) (if any): No Information Filed		
5. <i>I</i>	Related Person is: (check all that apply)		
	 (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) 		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	 (e) □ major security-based swap participant (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	(g) I futures commission merchant		
((h) banking or thrift institution		
((i) The accounting firm		
((j) □ accountant or accounting firm (k) □ lawyer or law firm 		
	(I) □ insurance company or agency		

	(m) L pension consultant		
	(n) \square real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6	Do you control or are you controlled by the related person?	Yes	
0.	bo you control of are you controlled by the related person!	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	_	_
	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related		_
	person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	\circ
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	\circ	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	_	
	To you and the rolated person share any supervised persons.	0	•
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1	Legal Name of Related Person:		
١.	MORGAN STANLEY INFRASTRUCTURE GP LP		
2.	Primary Business Name of Related Person:		
	MORGAN STANLEY INFRASTRUCTURE GP LP		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
٥.	-		
	or		
	Other		
1	Related Person's		
4.	(a) CRD Number (if any):		
	(a) one riambor (ii arg).		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f)		
	(h) D banking or thrift institution		
	(i) \square trust company		
	(j) accountant or accounting firm		
	(k) awyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant (n) real estate broker or dealer		
	(n) □ real estate broker or dealer(o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) v sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	\circ	\odot

7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	_	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
,	. ,	If the answer is yes, under what exemption?		0
10		Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
	(6)	No Information Filed		
11	. Do y	you and the related person share any supervised persons?	\circ	\odot
12	. Do y	you and the related person share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : CGAN STANLEY INVESTMENT MANAGEMENT INC.		
2.		eary Business Name of <i>Related Person</i> :		
3.		ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 or Othe	- 15757 er		
4.	Rela (a)	ted Person's CRD Number (if any): 110353		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela (a)	ted Person is: (check all that apply) Diroker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor registered security-based swap dealer		
	(d) (e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	trust company		
	(j) (k)	accountant or accounting firm lawyer or law firm		
	(K) (I)	insurance company or agency		
	(m)	pension consultant		
	(n) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	D -		Yes	
6.	no 2	ou control or are you controlled by the related person?	0	⊙
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related</i>	0	0

		person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(2)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
9.	(a) (b)	If the answer is yes, under what exemption?	0	•
10	<i>(</i>)			
10.	(a) (b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
	(-)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		China, People's Republic of - China Securities Regulatory Commission		
		India - Securities and Exchange Board of India South Korea - Financial Supervisory Commission / Financial Supervisory Service		
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> :		
	MOR	RGAN STANLEY INFRASTRUCTURE INC.		
2.		nary Business Name of <i>Related Person</i> : RGAN STANLEY INFRASTRUCTURE INC.		
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 67678		
	or Othe	er er		
4.		oted Person's CRD Number (if any): 142824		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		nted Person is: (check all that apply) Description of the property of the pro		
	` ,	other investment adviser (including financial planners)		
	` '	registered municipal advisor registered security-based swap dealer		
		major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm □ insurance company or agency		
	(i) (m)	pension consultant		
	(n)	real estate broker or dealer		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	4 /		Yes	No
6.	Do y	you control or are you controlled by the related person?	0	⊙
7.	Are y	you and the related person under common contro?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		

		Number and Street 1:	Number and Street 2:			
		City: State: If this address is a private residence, check this box:	Country:	ZIP+4/Postal Code:	Yes	Nc
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0	
	(b)	If the answer is yes, under what exemption?				
10	(a)	Is the related person registered with a foreign financial regulatory authority?			•	_
	(b)	If the answer is yes, list the name and country, in English of each foreign financial re	egulatory authority with which the r	elated person is registered.	٠	U
		Name of Country/English Name of Foreign Financial Regulatory Authority				
11	D	India - Securities and Exchange Board of India				
11.	. Бо ў	ou and the related person share any supervised persons?			0	•
12.	. Do y	ou and the related person share the same physical location?			•	0
1.	_	I Name of <i>Related Person</i> : EF VII GLOBAL (CAYMAN) II, LTD.				
2.		ary Business Name of <i>Related Person</i> : EF VII GLOBAL (CAYMAN) II, LTD.				
3.	Relai	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or Othe	er				
	D /					
4.		ted Person's CRD Number (if any):				
	(b)	CIK Number(s) (if any):				
			No Information Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) (p)	broker-dealer, municipal securities dealer, or government securities broker or doubter investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or effutures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled if sponsor, general partner, managing member (or equivalent) of pooled investment	exempt from registration)		Yes	No
6.	Do y	ou control or are you controlled by the related person?			0	0
7.	Are y	you and the related person under common control?			•	0
8.	(b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with If you are registering or registered with the SEC and you have answered "yes," to compute (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to <i>person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related</i>	question 8.(a) above, have you ov to obtain a surprise examination fo	ercome the presumption that you are not operationally independent or your clients' funds or securities that are maintained at the related	0	_
	(C)		Number and Street 2:	custouy of your cheffs assets.		
		· ·	Country:	ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square			Yes	Nc
9.	(a)	If the related person is an investment adviser, is it exempt from registration?			0	

((b) If the answer is yes, under what exemption?		
10. ((a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	•
((b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11. [Do you and the related person share any supervised persons?	•	0
10 F	Do you and the <i>related person</i> share the same physical location?	_	_
1Z. L	bo you and the related person share the same physical location:	•	O
	Legal Name of <i>Related Person</i> : MS CAPITAL PARTNERS ADVISER INC.		
	Primary Business Name of <i>Related Person</i> : MS CAPITAL PARTNERS ADVISER INC.		
	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 - 69426 or		
(Other		
4. <i>I</i>	Related Person's		
((a) CRD Number (if any): 147521		
((b) CIK Number(s) (if any): No Information Filed		
((Related Person is: (check all that apply) (a)		
	(h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6. [Do you control or are you controlled by the related person?	0	•
7. <i>F</i>	Are you and the related person under common control?	•	0
	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	
((c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption?	0	•
(
10. ((a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	\odot
	No Information Filed		
11. [Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0

12.	Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : MSRESS III OPPORTUNITIES FUND - GP, L.L.C. Primary Business Name of <i>Related Person</i> :		
	MSRESS III OPPORTUNITIES FUND - GP, L.L.C.		
	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other		
	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) trutres commission merchant (h) banking or thrift institution (trut tempany (f) accountant or accounting firm (all lawyer or law firm (f) linsurance company or agency (m) pension consultant (n) real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □	O O	O
9.		Ö	
10.	 (b) If the answer is yes, under what exemption? (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	•
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0

1. Legal Name of Related Person:

	MSRE	ESS III, INC.		
2.		ary Business Name of <i>Related Person</i> : ESS III, INC.		
3.	Relate	ed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Other			
4.		ed Person's		
	(a) (CRD Number (if any):		
		CIK Number(s) (if any):		
		CIK Number 1418293		
5.	Relate	ed Person is: (check all that apply)		
	_	broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	(c) I (d) I	 registered municipal advisor registered security-based swap dealer 		
		major security-based swap participant		
	(f) [commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	(h) I (i) I	banking or thrift institutiontrust company		
	(j)	accountant or accounting firm		
	` ,	awyer or law firm		
		 insurance company or agency pension consultant 		
	` ′	real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) I	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	Na
6.	Do yo	ou control or are you controlled by the related person?	Yes	
7.	Are y	ou and the related person under common control?	•	0
8.	(a) [Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
0	(-)		Yes	
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	0
10.		Is the related person registered with a foreign financial regulatory authority?	\circ	\odot
	(b) I	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do yo	ou and the related person share any supervised persons?	•	0
12.	Do yc	ou and the <i>related person</i> share the same physical location?	•	0
1.	Legal	Name of Related Person:		
	_	STAN DEVELOPMENT COMPANY, INC.		
2	Prima	ary Business Name of Related Person		

MORSTAN DEVELOPMENT COMPANY, INC.

5.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or or		
	Other		
	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
j.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners)		
	 (c) □ registered municipal advisor (d) □ registered security-based swap dealer 		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) □ futures commission merchant(h) □ banking or thrift institution		
	(i)		
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm 		
	(I) Insurance company or agency		
	(m) □ pension consultant(n) □ real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) Sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	. Nic
).	Do you control or are you controlled by the related person?	0	
' .	Are you and the related person under common control?	•	0
3.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	. Nic
٠.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?		
Ω	(a) Is the related person registered with a foreign financial regulatory authority?	0	_
Ο.	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		٠
1	No Information Filed		
1.	Do you and the related person share any supervised persons?	⊙	0
2.	Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : MSREF V INTERNATIONAL-GP, L.L.C.		
2.	Primary Business Name of <i>Related Person</i> : MSREF V INTERNATIONAL-GP, L.L.C.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
	Related Person's		

	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) a		
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common control?	·	
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8. (a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: Number and Street 2:	0	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?	~	,
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	(
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do you and the related person share any supervised persons?	\odot	(
12.	. Do you and the <i>related person</i> share the same physical location?	•	(
1.	Legal Name of <i>Related Person</i> : MSREF VII GLOBAL-GP GREENWICH, L.P.		
2.	Primary Business Name of <i>Related Person</i> : MSREF VII GLOBAL-GP GREENWICH, L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		

(a) CRD Number (if any):

5.	Relat	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
		registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(j) (g)	futures commission merchant		
	_	banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	Õ	
		(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	0	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11	. Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	\circ
12	. Do y	ou and the <i>related person</i> share the same physical location?	⊙	0
1.	_	Name of Related Person:		
	MS C	CREDIT PARTNERS III S.A R.L.		
	Durling	and Developer Manner of Developed Developed		
2.		ary Business Name of <i>Related Person:</i> CREDIT PARTNERS III S.A R.L.		
	IVIS C	REDIT PARTNERS III S.A R.L.		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
J .	-	ted 1 6/36/13 SEC THE Namber (ii diffy) (e.g., 661 , 6 , 662)		
	or			
	Othe			
4.	Relat	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
F	Dolot	tod Parson is: (chack all that apply)		
5.		ted Person is: (check all that apply) Display the securities dealer, or government securities broker or dealer		
	(a) (b)			
	(n)	1 other investment advisor (including financial planners)		
.[(c)	other investment adviser (including financial planners)		
	(c) (d)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer		

	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm lawyer or law firm		
	(k) (l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	\circ	\odot
_	•			
1.	Are y	you and the related person under common control?	⊙	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	_
0.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	0	
	(5)	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11	Do v	you and the <i>related person</i> share any <i>supervised persons</i> ?	_	_
	БО у	The related person share any supervised persons:	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of <i>Related Person</i> :		
	_	REF V, L.L.C.		
_	5.1			
2.		nary Business Name of <i>Related Person</i> : REF V, L.L.C.		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		- 63729		
	or Othe	er er		
	Otric			
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
		133373		
	(b)	CIK Number(s) (if any): No Information Filed		
5.		ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners) registered municipal advisor		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(K)	□ lawyer or law firm		

	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	⊙
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
	If this dearess is a private residence, effect this box.	Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	•
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	~	
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		1
	No Information Filed		,
5.	Related Person is: (check all that apply)		
	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer(e) major security-based swap participant		
	(f) ✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) utures commission merchant		
	(h) anking or thrift institution		
	(i) trust company		
	(j) accountant or accounting firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		
	(m) pension consultant		
	(n)		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V-:	NI-
		Yes	OVI

6.	Do	you control or are you controlled by the related person?	0	⊙
7.	Are	you and the related person under common control?	•	0
8.	(a) (b) (c)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code:	0 0	_
		If this address is a private residence, check this box: \square	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	0	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
 11	. Do	No Information Filed you and the <i>related person</i> share any <i>supervised persons</i> ?	•	_
	. 20	you also related percent enally edipertitions.	٠	
12	. Do	you and the <i>related person</i> share the same physical location?	\odot	0
L				
1.	_	al Name of <i>Related Person</i> : RESS III MANAGER, L.L.C.		
2.		mary Business Name of <i>Related Person</i> : RESS III MANAGER, L.L.C.		
3.	801 or	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) I - 65333		
	Oth	er 		
4.	Rela (a)	ated Person's CRD Number (if any): 138602		
	(b)	CIK Number(s) (if any): CIK Number		
		1418294		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)		Yes	
6.	Do	you control or are you controlled by the related person?	0	⊙
7.	Are	you and the related person under common contro?	•	0

	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
(9. (a		0	
	(b) If the answer is yes, under what exemption?		
	10. (a		\circ	\odot
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
	11. Do	you and the related person share any supervised persons?	•	
			•	
	12. Do	you and the <i>related person</i> share the same physical location?	•	0
				\equiv
		gal Name of <i>Related Person</i> :		
	MS	S ENERGY PARTNERS GP INC.		
	2. Pr	imary Business Name of Related Person:		
		S ENERGY PARTNERS GP INC.		
	3. <i>R€</i> -	elated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Ot	her		
	4 D.	Natad Davasn's		
1	4. <i>Re</i> (a	elated Person's)		
	(0)			
	(b			
		No Information Filed		
!	5. <i>Re</i>	elated Person is: (check all that apply)		
	(a)			
	(b) (c)			
	(d			
	(e			
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g			
	(h			
	(i) (j)			
	(k)			
	(I)			
	(m	pension consultant		
	(n			
	(0)			
	(p		Yes	No
	6. Do	o you control or are you controlled by the related person?	0	
			_	
-	7. Ar	e you and the <i>related person</i> under common <i>control</i> ?	\odot	0
	0 /-	Does the related person act as a qualified custodian for your elients in connection with advisory convices you provide to elients?	_	_
	8. (a h		0	
	(b	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	O
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		

		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	_
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		٠
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	⊙	0
12.	Do y	you and the related person share the same physical location?	•	0
1.	_	al Name of Related Person:		
	MS C	CAPITAL PARTNERS VII GP LP		
2.	Prim	pary Business Name of Related Person:		
	MS C	CAPITAL PARTNERS VII GP LP		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe			
4.		ted Person's CRD Number (if any):		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
		No implimation riled		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm accountant or accounting firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	V. a. a.	No
6.	Do y	you control or are you controlled by the related person?	Yes	
7.	Are :	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		

10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MS CAPITAL PARTNERS V GP L.P.		
2.	Primary Business Name of <i>Related Person</i> : MS CAPITAL PARTNERS V GP L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	 (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i> (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2: 	0 0	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	Yes	
10.	. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
11.	No Information Filed . Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
10	Do you and the related person share the same physical location?	_	

1.	Legal Name of <i>Related Person</i> : MS CAPITAL PARTNERS V LP		
2.	Primary Business Name of <i>Related Person</i> : MS CAPITAL PARTNERS V LP		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
1.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
ō.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (g) lawyer or law firm (g) insurance company or agency (g) pension consultant (g) real estate broker or dealer (g) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	: No
ó .	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
3.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
	If this address is a private residence, check this box.	Yes	No
₹.	(a) If the related person is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the related person share the same physical location?	•	0

Legal Name of *Related Person*:
 MW NORTH CAROLINA DEBT FUND MEMBER, LLC

	Primary Business Name of <i>Related Person</i> : MW NORTH CAROLINA DEBT FUND MEMBER, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
_			
	Related Person is: (check all that apply) (a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	 (c) □ registered municipal advisor (d) □ registered security-based swap dealer 		
	(e) major security-based swap participant		
	 (f)		
	(h) banking or thrift institution		
	(i) □ trust company(j) □ accountant or accounting firm		
	(k) I lawyer or law firm		
	(I) ☐ insurance company or agency (m) ☐ pension consultant		
	(n) real estate broker or dealer		
	 (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	. No
9.		0	
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	~	
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	6
			٠
12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of Related Person:		
	MSREF VII GLOBAL-GP (U.S.), L.L.C.		
2.	Primary Business Name of Related Person:		
	MSREF VII GLOBAL-GP (U.S.), L.L.C.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or		

	Ο.	other		
		Pelated Person's a) CRD Number (if any):		
	(b	c) CIK Number(s) (if any):		
		No Information Filed		
	(a (b (c (d (e (f) (g (h (i) (k (l) (n (n (c	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm ky lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p		Yes	Nc
	5. Do	o you control or are you controlled by the related person?	0	•
	7. Ar	re you and the related person under common control?	•	0
	3. (a (b (c	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	_
		Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
	Э. (а		Yes	
	(b			
	IO. (a	a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	6
	(b	b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	I1. D	No Information Filed so you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
	13 D	o you and the <i>related person</i> share the same physical location?		
	12. D	o you and the related person share the same physical location?	•	О
ŀ				_
		egal Name of <i>Related Person</i> : ISREF VII GLOBAL-GP (CAYMAN), L.P.		
		rimary Business Name of <i>Related Person</i> : ISREF VII GLOBAL-GP (CAYMAN), L.P.		
	3. <i>R</i> e	Pelated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or O	r Other		
		Pelated Person's a) CRD Number (if any):		
	(b	o) CIK Number(s) (if any):		

	Relate	elated Person is: (check all that apply)			
	(a) [
	(b)				
	_				
	(d) L (e) L				
	(f) [
	(g) [
	(h) [
	(i) [
	(j) [accountant or accounting firm			
	(k)) 🗖 lawyer or law firm			
	(I) [insurance company or agency			
	(m) [
	(n) [
	(p)) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		·	
	Do you	a you control or are you controlled by the related nerson?		Yes	
	DO you	o you control or are you controlled by the related person?	ı	0	(
	Aro 110	to you and the related percenturder common central?		_	
	Are yo	re you and the <i>related person</i> under common <i>control</i> ?	ı	⊙	(
	(a) F) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		_	
				0	
) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not oper (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are ma		0	(
		person?	mamod at the related		
	(c) I	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:			
		Number and Street 1: Number and Street 2:			
		City: State: Country: ZIP+4/Postal Code:			
	I	If this address is a private residence, check this box: \square			
	<i>(</i>)			Yes	
			1	\circ	C
	(b) I) If the answer is yes, under what exemption?			
`	(a) I) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?		_	
J.			1	0	6
	(b) I) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed			
1.	Do νοι	o you and the related person share any supervised persons?		•	,
	20 70		'	٠	
2.	Do νοι	o you and the <i>related person</i> share the same physical location?		•	,
	- 3 -			٠	*
	_	egal Name of <i>Related Person</i> :			
	MORG	ORGAN STANLEY INFRASTRUCTURE PARTNERS II INVESTORS C GP			
	. .				
		imary Business Name of <i>Related Person:</i> ORGAN STANLEY INFRASTRUCTURE PARTNERS II INVESTORS C GP			
	WORG	OKGAN STANLET INITIASTRUCTURE PARTNERS IT INVESTORS C GF			
	Relate	elated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	-				
	or				
	Other	ther			
		elated Person's			
	(a) C) CRD Number (if any):			
	(b) C) CIK Number(s) (if any):			
	(2)	No Information Filed			
	Relate	elated Person is: (check all that apply)			
	(a) [
	(b) [) \square other investment adviser (including financial planners)			

	(d) L (e) L		
	(f) [f) \square commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
	(g)		
	(h) [
	(i) L (j) L		
	٠,		
	(l) [i) \square insurance company or agency	
	(m) [
	(n) [·	
	(o) L (p) v		
	(p) E	p) E sponsor, general partner, managing member (or equivalent) or pooled investment vehicles	Yes No
6.	Do you	Oo you control or are you controlled by the related person?	0 @
7.	Are yo	are you and the <i>related person</i> under common <i>control</i> ?	⊙ C
8.	(a) D	a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	O @
	(1	b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome to (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clapperson?	
	•		of your <i>clients</i> ' assets:
	1	Number and Street 1: Number and Street 2:	
		•	Postal Code:
	I	If this address is a private residence, check this box: \square	Yes No
9.	(a) If	a) If the related person is an investment adviser, is it exempt from registration?	0 0
	(b) If	b) If the answer is yes, under what exemption?	
10	<i>(</i>)		
10		a) Is the related person registered with a foreign financial regulatory authority?	O G
	(b) If	b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related per</i> No Information Filed	son is registered.
11	. Do yoı	oo you and the related person share any supervised persons?	0 @
12	. Do you	Oo you and the <i>related person</i> share the same physical location?	⊙ C
1.	Legal	egal Name of <i>Related Person</i> :	
	MS EX	MS EXPANSION CREDIT GP L.P.	
2.	Primar	rimary Business Name of <i>Related Person</i> :	
۷.		AS EXPANSION CREDIT GP L.P.	
3.	Relate	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	
	- or		
	Other		
4.		Related Person's	
	(a) C	a) CRD Number (if any):	
	(b) C		
		No Information Filed	
5.	Relate	Related Person is: (check all that apply)	
	(a)	a) \square broker-dealer, municipal securities dealer, or government securities broker or dealer	
	(b)		
	(c) [c)	
	(d) L (e) L		
		f) 🗹 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
	(g) [
	(h)	h) \square banking or thrift institution	

	(i) L trust company			
	(j) accountant or accounting firm (k) lawyer or law firm			
	(k) Lawyer or law firm (l) Insurance company or agency			
	(m) pension consultant			
	(n) \square real estate broker or dealer			
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	Na	
6.	6. Do you control or are you controlled by the related person?	O		
7	7. Are you and the <i>related person</i> under common <i>control</i> ?		_	
,.	7. The you and the related person under common controls	•	O	
8.	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot	
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operational (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained person?	***	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:			
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:			
	If this address is a private residence, check this box:			
		Yes	No	
9.	9. (a) If the related person is an investment adviser, is it exempt from registration?	0	\circ	
	(b) If the answer is yes, under what exemption?			
10.	10. (a) Is the related person registered with a foreign financial regulatory authority?	^	•	
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	O	•	
	No Information Filed			
11.	11. Do you and the related person share any supervised persons?	0	\odot	
12.	12. Do you and the <i>related person</i> share the same physical location?	0	•	
				1
1.	Legal Name of <i>Related Person</i> : MS EXPANSION EQUITY GP INC.			
2.	Primary Business Name of <i>Related Person</i> : MS EXPANSION EQUITY GP INC.			
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or			
	Other			
4.	4. Related Person's			
	(a) CRD Number (if any):			
	(b) CIK Number(s) (if any):			
	No Information Filed			
5.	5. Related Person is: (check all that apply)			
0.	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer			
	(b) other investment adviser (including financial planners)			
	(c) registered municipal advisor			
	(d) 🗖 registered security-based swap dealer (e) 🗖 major security-based swap participant			
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)			
	(g) utures commission merchant			
	(h) Danking or thrift institution			
	(i) ☐ trust company (j) ☐ accountant or accounting firm			
	(k) lawyer or law firm			
	(I) Insurance company or agency			
	(m) pension consultant			
	(n) 🗖 real estate broker or dealer			-1

	(o) L sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	Na
6.	Do you control or are you controlled by the related person?	Yes O	
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: Number and Street 2:	o t o	_
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?		
10	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	•
11	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12	. Do you and the <i>related person</i> share the same physical location?	0	•
	Legal Name of <i>Related Person</i> : MS CREDIT PARTNERS III GP INC. Primary Business Name of <i>Related Person</i> :		
	MS CREDIT PARTNERS III GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)	Yes	
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	•	0

8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b)	If the answer is yes, under what exemption?		
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	•
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Doy	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : EXPANSION EQUITY GP LP		
2.		nary Business Name of <i>Related Person</i> : EXPANSION EQUITY GP LP		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela (a)	ated Person's CRD Number (if any):		,
	(b)	CIK Number(s) (if any): No Information Filed		
		No Information Filed		
5.		broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm linurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Vas	No
6.	Do y	you control or are you controlled by the related person?	Yes	
7.	Are	you and the related person under common control?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:	0 0	

		Number and Street 1:	Number and Street 2:			
		City: State:	Country:	ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square			Yes N	ما
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0 (
	(b)	If the answer is yes, under what exemption?			~ .	
10	(a)	Is the related person registered with a foreign financial regulatory authority?			0 (€
	(b)	If the answer is yes, list the name and country, in English of each foreign financial re		related person is registered.		
1 1	Dow	ou and the related person share any supervised persons?	No Information Filed			_
	. Бо у	ou and the related person share any supervised persons:			0 (•)
12	. Do y	ou and the related person share the same physical location?			0 (•
	,					
						Ξ
1	Lega	Il Name of <i>Related Person</i> :				
• •	_	TH HAVEN REAL ESTATE FUND VIII GLOBAL CO-INVESTMENT, L.L.C.				
2.		ary Business Name of <i>Related Person</i> :				
	NOR	TH HAVEN REAL ESTATE FUND VIII GLOBAL CO-INVESTMENT, L.L.C.				
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	-					
	or Othe					
	Othe	'				
4.	Rela	ted Person's				
	(a)	CRD Number (if any):				
	(b)	CIK Number(s) (if any):				
	(5)	on rumber (a) (ii diriy).	No Information Filed			
=	Pola	ted Person is: (check all that apply)				
٥.	(a)		dealer			
	(b)	other investment adviser (including financial planners)				
	(c)	registered municipal advisor				
	(d)	registered security-based swap dealer				
	(e) (f)	major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or	r event from registration)			
	(i) (g)	futures commission merchant	exempt from registration)			
	(h)	banking or thrift institution				
	(i)	trust company				
	(j)	accountant or accounting firm				
	(k) (l)	lawyer or law firm insurance company or agency				
	(I) (m)	pension consultant				
	(n)	real estate broker or dealer				
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled				
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investments	nent vehicles		Yes N	ما
ó .	Do y	ou control or are you controlled by the related person?			0 (
	3					
7.	Are y	you and the related person under common control?			·	0
3.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with			0 (
	(b)	If you are registering or registered with the SEC and you have answered "yes," to (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required person?	•		0 (0
	(c)	person? If you have answered "yes" to question 8.(a) above, provide the location of the rel	elated person's office responsible for	custody of your clients' assets:		
	(6)	Number and Street 1:	Number and Street 2:	casiony or your enemie assets.		
		City: State:	Country:	ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box			V	
9	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			Yes N	
		If the answer is vest under what exemption?			0 (J

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	\odot	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : SENIOR LOAN PARTNERS GP INC.		
	IVIO	SENTOR LOAN FARTNERS OF TING.		
2.		ary Business Name of <i>Related Person</i> : SENIOR LOAN PARTNERS GP INC.		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Othe			
1	Dolo	ted Person's		
+.		CRD Number (if any):		
	<i>(</i> 1.)			
	(b)	CIK Number(s) (if any): No Information Filed		
_	5 /			
Ο.		ted Person is: (check all that apply) Description of the properties of the properti		
		other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(-)	registered security-based swap dealer		
		major security-based swap participant		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
	(b)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant real estate broker or dealer		
	(n) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	47		Yes	No
Ó.	Do y	ou control or are you controlled by the related person?	\circ	•
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
3.		Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
,	(0)		Yes	
7.		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	0
	(1)	in the answer is yes, under what exemption:		
10.		Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do y	you and the related person share any supervised persons?	0	•

12.	bo you and the related person share the same physical location:	0	•
1.	Legal Name of <i>Related Person</i> : MSREI X GP, L.L.C.		
2.	Primary Business Name of <i>Related Person</i> : MSREI X GP, L.L.C.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	No Information Filed		
	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant ommodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) trust company of accountant or accounting firm (lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: Number and Street 2: City: State: Country: ZIP+4/Postal Code: 	0 0	_
	If this address is a private residence, check this box:	Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	O	
10.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed	0	•
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	0	0
1	Legal Name of <i>Related Person</i> :		

MS CREDIT PARTNERS III GP L.P.

2.	Primary Business Name of <i>Related Person</i> : MS CREDIT PARTNERS III GP L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's		I
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(e) \square major security-based swap participant		
	(f) volume of the commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(k) \square lawyer or law firm		
	(l) insurance company or agency		
	(m) pension consultant		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the <i>related person</i> under common <i>control</i> ?		0
		٠	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i>	0	0
	person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: L	Ves	: No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		
	(b) If the answer is yes, under what exemption?	~	~
10.		0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	⊙
1.	enter page of a LP In page 2 in a LP In page 2 in a LP In page 3 in a LP In page 4 in a LP In page 5 in a LP In pa		
	WICHGAN STANLET IN RASTRUCTURE III INVESTORS OF S.A.K.L.		
2.	Primary Business Name of Related Person:		
	MORGAN STANLEY INFRASTRUCTURE III INVESTORS GP S.A R.L.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		

	or Other		
	Ottlei		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) OHA Niversham (c) (ff are)		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)		
	 (a) Double broker-dealer, municipal securities dealer, or government securities broker or dealer (b) Double investment adviser (including financial planners) 		
	(c) registered municipal advisor		
	(d) \square registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	 (h) □ banking or thrift institution (i) □ trust company 		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	 (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
	(b) Easily Sponsor, general partner, managing member (or equivalent) or pooled investment vehicles	Yes	N
6.	Do you control or are you controlled by the related person?	0	6
7.	Are you and the related person under common control?	\odot	(
	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i>	0	(
	person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	N
9.	(a) If the related person is an investment adviser, is it exempt from registration?	\circ	(
	(b) If the answer is yes, under what exemption?		
10	(a) Is the related person registered with a foreign financial regulatory authority?	0	,
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	
	No Information Filed		
11.	Do you and the related person share any supervised persons?	0	6
12.	Do you and the <i>related person</i> share the same physical location?	0	0
			_
	Legal Name of <i>Related Person</i> : MS TACTICAL VALUE FUND GP LP		
	INSTACTICAL VALUE FUND OF LE		
2.	Primary Business Name of <i>Related Person</i> :		
	MS TACTICAL VALUE FUND GP LP		
	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
3	Modelad 7 5/30/7 5 020 File Marrison (in arry) (0.9.7 00 F 002)		
3.			
	- or or		
	or Other		

	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) a	Var	
6.	Do you control or are you controlled by the related person?	Yes	s N
7.	Are you and the related person under common control?	•	(
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □	t o	
0		Yes	
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	(
10	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	(
11	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	(
12	. Do you and the <i>related person</i> share the same physical location?	•	(
	Legal Name of <i>Related Person</i> : MSCP V OFFSHORE INVESTORS GP LTD. Primary Business Name of <i>Related Person</i> : MSCP V OFFSHORE INVESTORS GP LTD.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		

	(b) ☐ other investment adviser (including financial planners) (c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer (e) ☐ major security-based swap participant (f) ☐ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ☐ futures commission merchant (h) ☐ banking or thrift institution (i) ☐ trust company (j) ☐ accountant or accounting firm (k) ☐ lawyer or law firm		
	 (I) ☐ insurance company or agency (m) ☐ pension consultant (n) ☐ real estate broker or dealer (o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ▼ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
6.	6. Do you control or are you controlled by the related person?	Yes No	
7.	7. Are you and the related person under common control?	⊙ 0)
8.	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally indeper (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>reperson</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes No	
	(b) If the answer is yes, under what exemption?		
10	10. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0 @)
11	11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	⊚ 0)
12	12. Do you and the <i>related person</i> share the same physical location?	⊙ C)
1.	 Legal Name of Related Person: MORGAN STANLEY INFRASTRUCTURE II GP LP Primary Business Name of Related Person: MORGAN STANLEY INFRASTRUCTURE II GP LP 		
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other		
4.	4. Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	5. Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant		

	(h)	□ banking or thrift institution□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you control or are you controlled by the related person?	0	
7.	Are :	you and the <i>related person</i> under common <i>control</i> ?	•	0
	-		~	~
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
,		If the answer is yes, under what exemption?		0
10.		Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do y	you and the related person share any supervised persons?	0	•
12.	. Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : PEF VIII GP, L.L.C.		
	IVISKI	LI VIII GF, E.E.C.		
2.		nary Business Name of <i>Related Person</i> :		
	WSKI	EF VIII GP, L.L.C.		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe			
4.	Rela	eted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e) (f)	 □ major security-based swap participant □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	(f) (g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	 □ trust company □ accountant or accounting firm 		
	(J) (k)	lawyer or law firm		
	(1)	insurance company or agency		
	(m)	pension consultant		

	(ii) La real estate broker of dealer		
	(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) \square sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	6. Do you control or are you controlled by the related person?		s No
			٠
7.	7. Are you and the <i>related person</i> under common <i>control</i> ?	•	0
8.			•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independ (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	-	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \Box		
	If this address is a private residence, theth this box. \square	Ye	s No
9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10	10. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11	11. Do you and the related person share any supervised persons?	•	0
12	12. Do you and the <i>related person</i> share the same physical location?	•	0
1.	1. Legal Name of <i>Related Person</i> : MSREI IX GP, L.L.C.		
2.	2. Primary Business Name of <i>Related Person</i> : MSREI IX GP, L.L.C.		
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	4. Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) \square other investment adviser (including financial planners) (c) \square registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) \square major security-based swap participant (f) \square commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) I futures commission merchant		
	(h) □ banking or thrift institution (i) □ trust company		
	(j) accountant or accounting firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	6. Do you control or are you controlled by the related percent		s No
6.	6. Do you control or are you controlled by the related person?	0	•

-	. Ar	re you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8	. (a	a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	Ö	
	(c)			
		City: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	No
	. (a		0	
	(b) If the answer is yes, under what exemption?		
-	0. (a	a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	•
	(b		~	~
	1 D	No Information Filed o you and the <i>related person</i> share any <i>supervised persons</i> ?	_	_
	1. 0.	o you and the related person share any supervised persons.	⊙	0
	2. Do	o you and the <i>related person</i> share the same physical location?	\odot	0
		egal Name of <i>Related Person</i> : IORGAN STANLEY VENTURE CAPITAL III, INC.		
	101	ONOMIN STANCET VENTORE GALTIAE III, ING.		
2		rimary Business Name of <i>Related Person</i> : IORGAN STANLEY VENTURE CAPITAL III, INC.		
	. Re	elated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Of	ther		
		elated Person's a) CRD Number (if any):		
	(h	b) CIK Number(s) (if any):		
	(b	No Information Filed		
Ĺ	. Re	elated Person is: (check all that apply)		
	(a	a) \square broker-dealer, municipal securities dealer, or government securities broker or dealer b) \square other investment adviser (including financial planners)		
	(c)			
	(d			
	(e (f)	e) 🛘 major security-based swap participant) 🗖 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g			
	(h			
	(i) (j)) 🔲 trust company) 🗖 accountant or accounting firm		
	(k			
	(l))		
	(n (n			
	(0			
	(p		Yes	No
1	. Do	o you control or are you controlled by the related person?		•
-	. Ar	re you and the related person under common control?	•	0
8	. (a	a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	O	

	(c)	If you have answered "yes" to que Number and Street 1: City: If this address is a private resider	State:	cation of the <i>related person's</i> office respons Number and Street 2: Country:	sible for <i>custody</i> of your <i>clients'</i> assets: ZIP+4/Postal Code:	
9.	(a)	If the related person is an investme		stration?		Yes No
	(b)	If the answer is yes, under what e	exemption?			
10		Is the related person registered wi		hority? preign financial regulatory authority with whi	ch the related percents registered	0 @
		·		No Information Filed	cii the <i>Telateu person</i> is registered.	
11	. Do y	you and the <i>related person</i> share an	y supervised persons?			⊙ C
12	. Do y	you and the <i>related person</i> share the	e same physical location?			0 @
1.	_	al Name of <i>Related Person</i> : RGAN STANLEY INDIA FINANCIAL SEI	RVICES PRIVATE LIMITED			
2.		nary Business Name of <i>Related Perso</i> RGAN STANLEY INDIA FINANCIAL SEI				
3.	Rela -	nted Person's SEC File Number (if any	v) (e.g., 801-, 8-, 866-, 802-)			
	or Othe	er				
4.		nted Person's CRD Number (if any):				
	(b)	CIK Number(s) (if any):		No Information Filed		
5.		broker-dealer, municipal secundary other investment adviser (incompassed municipal advisor registered security-based swap passed swap pass	ap dealer	ities broker or dealer		
	(f) (g) (h)	☐ commodity pool operator or c☐ ☐ futures commission merchant ☐ banking or thrift institution	·	er registered or exempt from registration)		
	(i) (j)	trust company accountant or accounting firm				
	(k) (l)	□ lawyer or law firm□ insurance company or agency	,			
	(m) (n)	pension consultantreal estate broker or dealer				
	(0)	sponsor or syndicator of limited		colled investment vehicles		
	(p)		naging member (or equivalent) of	pooled investment venicles		Yes No
6.	Do y	you <i>control</i> or are you <i>controlled</i> by t	the related person?			0 @
7.	Are :	you and the <i>related person</i> under co	ommon <i>control</i> ?			⊙ C
8.	(a)	·	•	n connection with advisory services you pr		0 @
	(b)				e you overcome the presumption that you are not operatination for your <i>clients'</i> funds or securities that are mainta	~ ~
	(c)	If you have answered "yes" to que Number and Street 1:	estion 8.(a) above, provide the lo	cation of the <i>related person's</i> office respons Number and Street 2:	sible for <i>custody</i> of your <i>clients'</i> assets:	
		City:	State:	Country:	ZIP+4/Postal Code:	
		If this address is a private resider				Yes No
9.	(a)	If the <i>related person</i> is an investme	ent adviser, is it exempt from regi	stration?		6.0

	(b) If the answer is yes, under what exemption? UNIBANCO LINE OF SEC NO ACTION LETTERS		
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do you and the related person share any supervised persons?	0	0
12.	. Do you and the related person share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE II INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE II INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	Related Person is: (check all that apply) (a)	Yes	
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: 	_	0
	Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Vor	. Nia
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes	SINC
	(b) If the answer is yes, under what exemption?	-	_
10.	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	0

12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE III GP L.P.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE III GP L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) v commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) tutures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (k) lawyer or law firm (g) insurance company or agency (g) persion consultant (n) real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles Do you control or are you controlled by the related person?	Yes	
			0
1.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	O	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	0
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	•	0

○ ⊙

11. Do you and the *related person* share any *supervised persons*?

1.	Legal Name of <i>Related Person</i> : MSREF VIII GLOBAL-GP, L.P.		
2.	Primary Business Name of <i>Related Person</i> : MSREF VIII GLOBAL-GP, L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant		
	 (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant 		
	(h) □ banking or thrift institution (i) □ trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm (l) lawyer or law firm		
	(m) pension consultant		
	(n) \square real estate broker or dealer (o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	
		0	٠
7.	Are you and the related person under common control?	⊙	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?		
10	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed	_	
11	. Do you and the related person share any supervised persons?	⊙	0
12	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MWREIF IV HOLDINGS MANAGER, LLC		
2.	Primary Business Name of <i>Related Person</i> : MWREIF IV HOLDINGS MANAGER, LLC		

5.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
٠.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
j.	Related Person is: (check all that apply) (a)		
	(n) \square real estate broker or dealer		
	 (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No
) .	Do you control or are you controlled by the related person?	0	•
' .	Are you and the related person under common control?	•	0
3.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
) _.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?		
_			
U.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	⊙
	No Information Filed		
1.	Do you and the related person share any supervised persons?	0	⊙
2.	Do you and the <i>related person</i> share the same physical location?	•	0
	Logal Name of Delated Person.		
•	Legal Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA III, INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA III, INC.		
8.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or		
	Other		
	Related Person's		

	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1522733		
5.		red Person is: (check all that apply)		
	` ,	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` ,	other investment adviser (including financial planners) registered municipal advisor		
		registered multicipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	Tust company		
	(j)	accountant or accounting firm		
	` ,	□ lawyer or law firm □		
	(I) (m)	 □ insurance company or agency □ pension consultant 		
	` ,	real estate broker or dealer		
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	
6.	Do y	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	\circ	-
7.	Are y	you and the related person under common control?	•	•
0	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	
Ο.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	0	
	(0)	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related	0	•
		person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	N
9.		If the related person is an investment adviser, is it exempt from registration?	\circ	(
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	0	(
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11	Do v	ou and the related person share any supervised persons?	•	
	20)		•	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	
1.	_	I Name of <i>Related Person</i> : XPANSION CREDIT II GP LP		
	IVIJ L	AFANSION CREDIT II GF EF		
2.	Prim	ary Business Name of Related Person:		
	MS E	XPANSION CREDIT II GP LP		
3.	Rela	red Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Otho			
	Othe			
4.	Rela	red Person's		
	(a)	CRD Number (if any):		
	(h)	CIV Number(s) (if any):		
	(n)	CIK Number(s) (if any): No Information Filed		

5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) or other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) najor security-based swap participant (f) tures commission merchant (g) futures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (g) lawyer or law firm (g) lawyer or law firm (g) registered or exempt from registration) (g) registered or exempt from registration) (g) registered or exempt from registration) (g) lawyer or sommission merchant (h) lawyer or sommission merchant (g) registered security-based swap participant (g) lawyer or law firm (g) registered security-based swap participant (g) lawyer or commission merchant (g) registered security-based swap participant (g) registered security-based swap participant (g) lawyer or commission merchant (g) registered security-based swap participant (g) lawyer or law firm (g) registered security-based swap participant (g) lawyer or law firm (g) lawyer or law firm		
6.	Do you control or are you controlled by the related person?	Yes	s No ⊙
7.	Are you and the related person under common control?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: 		0
	Number and Street 1: City: State: If this address is a private residence, check this box:	Yes	: Nc
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?		0
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. 	0	•
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the related person share the same physical location?	0	0
1.	Legal Name of <i>Related Person</i> : MSREF VIII GLOBAL CO-INVESTMENT GP, L.P.		
2.	Primary Business Name of <i>Related Person</i> : MSREF VIII GLOBAL CO-INVESTMENT GP, L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)		

	(e)	□ major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(1)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(o) (p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)		Yes	No
6.	Do y	you control or are you controlled by the related person?		•
			~	~
7.	Are	you and the related person under common control?	•	0
			~	~
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
	()	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i>		
		person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
	(-)		Yes	
9.	` '	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10	<i>(</i>)			
10		Is the related person registered with a foreign financial regulatory authority?	0	⊙
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11	Day		_	_
11.	. Бо у	you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	0
12	Dox	you and the <i>related person</i> share the same physical location?	_	_
12	. Бо у	ou and the related person share the same physical location:	•	0
1.	_	al Name of <i>Related Person</i> :		
	MSR	REF VIII, INC.		
2.		nary Business Name of <i>Related Person</i> :		
	MSK	REF VIII, INC.		
3.	Pola	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
٥.	- -	ted refsorts SEC file Number (if arry) (e.g., 601-, 6-, 600-, 602-)		
	or			
	Othe	er		
4.	Rela	nted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5	Rela	ated Person is: (check all that apply)		
-		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	<i>(</i> 1)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		

	(k) Lawyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) 🗖 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	⊙	\circ
0			
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	_
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
	City: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: 🗖		
_		Yes	
9.		0	\circ
	(b) If the answer is yes, under what exemption?		
10	(a) Is the related person registered with a fersion financial regulatory authority?	_	_
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	\circ
10	Do you and the related person share the same why sign! leastion?	_	
12.	. Do you and the <i>related person</i> share the same physical location?	⊙	0
1.	Legal Name of Related Person:		
	MSREI IX GLOBAL-GP, L.P.		
2	Delegant Deciman Name of Deleted Deciman		
2.	Primary Business Name of <i>Related Person</i> : MSREI IX GLOBAL-GP, L.P.		
	Morter IX Geografie Grant Land		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-		
	or Otto		
	Other		
4.	Related Person's		
4.	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
Ο.	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) □ other investment adviser (including financial planners)		
	(c) \square registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) \square major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) Trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) ☐ insurance company or agency (m) ☐ pension consultant		
	(m) □ pension consultant (n) □ real estate broker or dealer		
	(i) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		

6.	Do you control or are you controlled by the related person?	O	
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	_
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
		~	~
12.	Do you and the related person share the same physical location?	\odot	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY ASIA LIMITED		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY ASIA LIMITED		
	MORGAN STANLLT ASIA LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
4.	Related Person's		
4.	(a) CRD Number (if any):		
			I
	(b) CIK Number(s) (if any): No Information Filed		
			'
5	Related Person is: (check all that apply)		
5.	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) utures commission merchant (h) anking or thrift institution		
	(h) banking or thrift institution (i) trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) ☐ insurance company or agency(m) ☐ pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(p) - sponsor, general partner, managing member (or equivalent) or pooled investment verilees	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
	Are you and the related person under common central?	_	
1.	Are you and the related person under common contro?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	0

	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
			Yes	No
9.		If the related person is an investment adviser, is it exempt from registration?	⊙	0
	(b)	If the answer is yes, under what exemption? UNIBANCO LINE OF SEC NO-ACTION LETTERS		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
11.	Do y	ou and the related person share any supervised persons?	0	\odot
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1	Logo	Il Name of <i>Related Person</i> :		
1.	_	GAN STANLEY PRIVATE EQUITY MANAGEMENT (KOREA)		
2.		ary Business Name of Related Person:		
	MOR	GAN STANLEY PRIVATE EQUITY MANAGEMENT (KOREA)		
3.	Relai	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe			
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.		ted Person is: (check all that apply)		
	` '	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) (c)	other investment adviser (including financial planners) registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h) (i)	banking or thrift institution trust company		
	(j)	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do v	ou control or are you controlled by the related person?	Yes	
	J			
1.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
		' If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		

		Number and Street 1:	Number and Street 2:			
		City: State: If this address is a private residence, check this box: \square	Country:	ZIP+4/Postal Code:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			Yes ©	
	(b)	If the answer is yes, under what exemption? UNIBANCO LINE OF SEC NO-ACTION LETTERS			٠	
10.	. (a)	Is the related person registered with a foreign financial regulatory authority?			0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial re	egulatory authority with which the re No Information Filed	elated person is registered.		
11.	Do y	ou and the related person share any supervised persons?			•	0
12.	Do y	ou and the <i>related person</i> share the same physical location?			•	0
1.	_	Name of <i>Related Person</i> : A WEST LV SA GP, LLC				
2.		ary Business Name of <i>Related Person</i> : A WEST LV SA GP, LLC				
3.	Relai	ed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or Othe	r				
4.	Relai	ed Person's				
	(a)	CRD Number (if any):				
	(b)	CIK Number(s) (if any):	No Information Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)	broker-dealer, municipal securities dealer, or government securities broker or conther investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled sponsor, general partner, managing member (or equivalent) of pooled investment	exempt from registration) investment vehicles		Yes	
6.	Do y	ou control or are you controlled by the related person?			0	
7.	Are y	ou and the related person under common control?			•	0
8.	(b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection will fyou are registering or registered with the SEC and you have answered "yes," to (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required <i>person</i> ?	question 8.(a) above, have you ov to obtain a surprise examination fo	ercome the presumption that you are not operationally independent or your <i>clients'</i> funds or securities that are maintained at the <i>related</i>	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>rel</i> . Number and Street 1:	ated person's office responsible for Number and Street 2:	custody of your clients' assets:		
		City: State: If this address is a private residence, check this box: \Box	Country:	ZIP+4/Postal Code:		
					Yes	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?			0	0

	(b) If the answer is yes, under what exemption?		
10.	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	•
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : CP INFRASTRUCTURE III SLP LP		
2.	Primary Business Name of <i>Related Person</i> : CP INFRASTRUCTURE III SLP LP		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	Yes O	
10.	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	0
11	No Information Filed No Information Filed	_	_

12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY REAL ESTATE SPECIAL SITUATIONS III-GP, L.L.C.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY REAL ESTATE SPECIAL SITUATIONS III-GP, L.L.C.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) v commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (g) lawyer or law firm (g) insurance company or agency (g) pension consultant (g) pension consultant (g) pension consultant (g) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	⊙	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □ 	O O Yes	0
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	O	
	 (b) If the answer is yes, under what exemption? (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	•
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
			=

1. Legal Name of Related Person:

	MORGAN STANLEY PRIVATE EQUITY ASIA, LLC		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)	Vos	N
6.	Do you control or are you controlled by the related person?	Yes ©	
7.	Are you and the related person under common control?	•	(
	(a) Despite related person set as a qualified system of set your alignes in compaction with advisory convices you provide to alignes?	_	
8.	 (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independen (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets: 	B.,	
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
	(a) If the related person is an investment advisor is it everns from registration?	Yes	
7.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	(
10			
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	6
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	(
12.	. Do you and the <i>related person</i> share the same physical location?	•	(
			_
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE HOLDINGS INC.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY INFRASTRUCTURE HOLDINGS INC.		
2	Polated Porson's SEC File Number (if any) (e.g. 801 8 866 802)		

	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) tutures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant real estate broker or dealer (s) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V	
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common contro?	•	C
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i> (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code:	0	C
	If this address is a private residence, check this box: \square	Yes	. No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	C
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	•	C
1.	Legal Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA IV, L.L.C.		
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY PRIVATE EQUITY ASIA IV, L.L.C.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		

	(b)	CIK Number(s) (if any):	No Information Filed	
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exer futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment	npt from registration)	
6.	Do y	you control or are you controlled by the related person?	Yes	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	
8.	(a) (b)	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to o <i>person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related</i> Number and Street 1:	stion 8.(a) above, have you overcome the presumption that you are not operationally independent obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related</i> **person's office responsible for custody of your clients' assets: **nber and Street 2: **Intry: **IP+4/Postal Code:**	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b)	If the answer is yes, under what exemption?		2
	(b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulations of the related person share any supervised persons?	tory authority with which the related person is registered. No Information Filed	
12	. Do v	you and the <i>related person</i> share the same physical location?		
			•	
1.	_	al Name of <i>Related Person</i> : CAPITAL PARTNERS VI GP LP		
2.		nary Business Name of <i>Related Person</i> : CAPITAL PARTNERS VI GP LP		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
			No Information Filed	
5.	Rela	ated Person is: (check all that apply)		

	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	` ,	futures commission merchant		
	(h)	banking or thrift institution		
	(11)			
	(1)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(1 /		Yes	. No
6	Do v	ou control or are you controlled by the related person?		
0.	DO y	ou control of the you controlled by the related person:	0	(•
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	⊙	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	G
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
		(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the related		C
		person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	C
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	0	6
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		100
	(0)	No Information Filed		
11	Dav		_	
11	ро у	ou and the related person share any supervised persons?	⊙	C
12	. Do y	ou and the <i>related person</i> share the same physical location?	•	
1.	Lega	Il Name of <i>Related Person</i> :		
	MS E	EXPANSION CAPITAL GP LP		
2.	Prim	ary Business Name of <i>Related Person</i> :		
		EXPANSION CAPITAL GP LP		
3.	Rela:	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
J.	-	ted 1 6/36/13 SEC THE Namber (ii diffy) (c.g., 601 , 6 , 662)		
	or			
	Othe			
	Othe			
	5. /			
4.		ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Relai	ted Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
		registered municipal advisor		
	(c)			
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		

	((g) ☐ futures commission merchant (h) ☐ banking or thrift institution 		
		(i) □ trust company(j) □ accountant or accounting firm		
		(k) lawyer or law firm		
	((I) insurance company or agency		
		 (m) □ pension consultant (n) □ real estate broker or dealer 		
		(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	1	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	۷ .	Do you control or are you controlled by the related percent	Yes	
	O. I	Do you control or are you controlled by the related person?	О	•
	7. /	Are you and the related person under common control?	•	0
		(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		0
	((c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
	0		Yes	
	9. (0	0
	((b) If the answer is yes, under what exemption?		
	10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	1	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	11 '	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	_	•
				٠
	12. I	Do you and the related person share the same physical location?	0	•
		Legal Name of <i>Related Person</i> : MS ENERGY PARTNERS GP LP		
	ľ	INIS ENERGY PARTNERS OF LP		
		Primary Business Name of Related Person:		
	ľ	MS ENERGY PARTNERS GP LP		
	3. /	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
		or Other		
		Related Person's (a) CRD Number (if any):		
	((a) CRD Number (if any):		
	1	(b) CIK Number(s) (if any):		
		No Information Filed		
		Related Person is: (check all that apply)		
		 (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) 		
		(c) registered municipal advisor		
		(d) registered security-based swap dealer		
		(e) major security-based swap participant		
		 (f) ✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ✓ futures commission merchant 		
		(h) banking or thrift institution		
	1	(i) trust company		
	((j) □ accountant or accounting firm (k) □ lawyer or law firm 		
		(k) Lawyer or law firm (l) Insurance company or agency		
- 11				

	(m) L pension consultant		
	(n) \square real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6	Do you control or are you controlled by the related person?	Yes	
0.	bo you control of are you controlled by the related person!	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	_	_
	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related		_
	person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	\circ
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	_	
	Do you and the related person share any supervised persons.	⊙	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1	Legal Name of Related Person:		
١.	MSCP V GP INC.		
2.	Primary Business Name of Related Person:		
	MSCP V GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
٥.	-		
	or		
	Other		
1	Related Person's		
4.	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer (e) major security-based swap participant		
	(e) \square major security-based swap participant (f) \square commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) utures commission merchant		
	(h) D banking or thrift institution		
	(i) Tust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) ☐ insurance company or agency (m) ☐ pension consultant		
	(ii) real estate broker or dealer		
	(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) Sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
,		Yes	
6.	Do you control or are you controlled by the related person?	0	⊙

7.	Are	you and the related person under common control?	\odot	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	_	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b)	If the answer is yes, under what exemption?	~	~
10	. (a)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	_
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed	U	٠
11	. Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12	. Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> :		
	MSK	REI X GLOBAL-GP, LP		
2.		nary Business Name of <i>Related Person</i> : REI X GLOBAL-GP, LP		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela (a)	ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Rela (a)	ated Person is: (check all that apply) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
	(g) (h)	banking or thrift institution		
	(i)	Trust company		
	(J) (k)	□ accountant or accounting firm □ lawyer or law firm		
	(I)	insurance company or agency		
	(m) (n)	pension consultant real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you control or are you controlled by the related person?	O	
	_			
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related</i>	0	0

		person:		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
0	(0)		Yes	
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	0
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	•	0
12.		ou and the <i>related person</i> share the same physical location?	•	0
1.	_	l Name of <i>Related Person</i> : GAN STANLEY INVESTMENT MANAGEMENT (JAPAN) CO., LTD.		
2.		ary Business Name of <i>Related Person</i> : GAN STANLEY INVESTMENT MANAGEMENT (JAPAN) CO., LTD.		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	r		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	✓ other investment adviser (including financial planners) ☐ registered municipal advisor ☐ registered security-based swap dealer ☐ major security-based swap participant ☐ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) ☐ futures commission merchant ☐ banking or thrift institution ☐ trust company ☐ accountant or accounting firm ☐ lawyer or law firm ☐ insurance company or agency ☐ pension consultant ☐ real estate broker or dealer Sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles ☐ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes	
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square	Yes	No
				- 1

9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
10	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
		Name of Country/English Name of Foreign Financial Regulatory Authority		
11	D	Japan - Financial Services Agency		
1 1	. Do 3	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	⊙
12	Doy	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : CAPITAL PARTNERS CV GP LLC		
2.		nary Business Name of <i>Related Person</i> : CAPITAL PARTNERS CV GP LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela	ated Person's		
		CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm linsurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
8.	(a) (b) (c)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	0 0	
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	0	0

((b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11. [Do you and the related person share any supervised persons?	•	0
12. [Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : MS TACTICAL VALUE FUND GP INC.		
	Primary Business Name of <i>Related Person</i> : MS TACTICAL VALUE FUND GP INC.		
3. /	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
	Related Person's (a) CRD Number (if any):		
((b) CIK Number(s) (if any): No Information Filed		
	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (banking or thrift institution (trust company) (d) accountant or accounting firm (a) lawyer or law firm (n) pension consultant (n) pension consultant (n) real estate broker or dealer (s) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (b) you control or are you controlled by the related person?	Yes O	
/. <i>I</i>	Are you and the related person under common control?	•	0
((a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:	0	_
•	Number and Street 1: City: If this address is a private residence, check this box:		
0 /		Yes	
9. ((a) If the <i>related person</i> is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption?	0	0
	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	•
11. [No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12. [Do you and the <i>related person</i> share the same physical location?		0

۱.	Legal Name of <i>Related Person</i> : MORGAN STANLEY NEXT LEVEL FUND GP, LLC				
2.	Primary Business Name of <i>Related Person</i> : MORGAN STANLEY NEXT LEVEL FUND GP, LLC				
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	- or				
	Other				
1.	Related Person's (a) CRD Number (if any):				
	(b) CIK Number(s) (if any):	No Information Filed			
Ď.	Related Person is: (check all that apply) (a)	exempt from registration) investment vehicles		Vos I	No
,).	Do you control or are you controlled by the related person?			Yes I	
7.	Are you and the related person under common control?			•	0
3.	 (a) Does the related person act as a qualified custodian for your clients in connection wit (b) If you are registering or registered with the SEC and you have answered "yes," to compute (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to person? 	question 8.(a) above, have you over	come the presumption that you are not operationally independent	0	
		Number and Street 2:	zstody of your <i>clients'</i> assets: ZIP+4/Postal Code:		
			,	Yes I	Vo
).	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?			0	0
	(b) If the answer is yes, under what exemption?				
0.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory	egulatory authority with which the rela		0	•
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	No Information Filed			_
				•	
12.	Do you and the <i>related person</i> share the same physical location?			•	0
] 	Legal Name of <i>Related Person</i> : MSREF VII, INC.				

2. Primary Business Name of Related Person:

	MSREF VII, INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (k) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (p) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:	0 0	
	If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?	V	•
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed 	0	0
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : MSREF VIII GLOBAL CO-INVESTMENT NO. 1 GP, L.P. Primary Business Name of <i>Related Person</i> :		
	MSREF VIII GLOBAL CO-INVESTMENT NO. 1 GP, L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		

Other

4.	Related Person's (a) CRD Number (if any)		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	No illiornation i lieu		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) or other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) comodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) tutures commission merchant (h) banking or thrift institution (i) usuyer or law firm (k) accountant or accounting firm (k) lawyer or law firm (j) pension consultant (n) pension consultant (n) pension consultant (n) pension consultant (n) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) vertical partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	
7.	Are you and the related person under common contro?	•	
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets: Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		0
	If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?		
10	(a) Is the related person registered with a foreign financial regulatory authority?	_	_
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
11	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	_	_
11.	Do you and the related person share any supervised persons:	•	О
12.	Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : MORGAN STANLEY CAPITAL PARTNERS VI GP INC. Primary Business Name of <i>Related Person</i> :		
	MORGAN STANLEY CAPITAL PARTNERS VI GP INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		

	Related Person is: (check all that apply)		
	 (a)		
	 (b) other investment adviser (including financial planners) (c) registered municipal advisor 		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(i) insurance company or agency		
	(m) pension consultant (n) real estate broker or dealer		
	 (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	(b) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	. No
	Do you control or are you controlled by the related person?	0	
		~	~
	Are you and the related person under common control?	•	C
	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	0
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent	0	0
	(pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related		
	person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
	(a) If the related person is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?	~	~
Ο.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	No Information Filed		
1.	Do you and the related person share any supervised persons?	\odot	C
2.	Do you and the related person share the same physical location?	⊙	C
	Legal Name of Related Person:		
	MORGAN STANLEY (THAILAND) LIMITED		
	Primary Business Name of <i>Related Person</i> :		
	MORGAN STANLEY (THAILAND) LIMITED		
	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-		
	or		
	Other		
	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
	Related Person is: (check all that apply)		
	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) \square registered security-based swap dealer		

	(e)	major security-based swap participantcommodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant real estate broker or dealer		
	(n) (o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	., .		Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	⊙	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	_
0.	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent		
	(6)	(pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	O	O
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Voo	. Na
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	Yes	0
	(b)	If the answer is yes, under what exemption?	٠	0
	(6)	UNIBANCO LINE OF SEC NO-ACTION LETTERS		
10). (a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11	. Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	\circ	⊙
12	. Do y	you and the <i>related person</i> share the same physical location?	_	_
12	DO 3	ou and the related person share the same physical location:	•	0
1.	Lega	al Name of <i>Related Person</i> :		
	MOR	RGAN STANLEY PRIVATE EQUITY ASIA III, L.L.C.		
2	Drim	nary Business Name of <i>Related Person</i> :		
2.		RGAN STANLEY PRIVATE EQUITY ASIA III, L.L.C.		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	er en		
1	Pola	ated Person's		
4.		CRD Number (if any):		
	()			
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1522732		
5.	Rela	ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
	(g)	La tatales commission merchant		

	(j) accountant or accounting firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		
	(m) □ pension consultant(n) □ real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:		
	City: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: \square	Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	0
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
Iter	m 7 Private Fund Reporting		
Iter		Yes	No
		Yes •	
B. <i>A</i>		⊙ ctions	c
B. /	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 1.1. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private	⊙ etions	to
B. /	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Ins	⊙ etions	to
B. /	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 1. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private You must, instead, complete Section 7.B.(2) of Schedule D. In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.	⊙ etions	to
B. A	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Ins	⊙ etions	to
B. A	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Ins	⊙ etions	to
SECO	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Ins	⊙ etions	to
SECO	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.B. (1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 7 of Section 7 of Sect	⊙ etions	to
SECO	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.8. (1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 7.1.1. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.8. (1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.8. (1) of Schedule D with respect to that private You must, instead, complete Section 7.8. (2) of Schedule D. In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 2(d), you may identify the private fund in Section 7.8. (1) or 7.8. (2) of Schedule D using the same code or designation in place of the fund's name. ETION 7.8. (1) Private Fund Reporting Funds per Page: 15 Total Funds: 12 PRIVATE FUND Information About the Private Fund (a) Name of the private fund. MORGAN STANLEY PRIVATE EQUITY ASIA, L.P.	⊙ etions	to
SECO	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.8.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 7 of Schedule D with respect to Instruction 7 of the Instruction 6 of the Instruction 7 of the Instruction 6 of the Instruction 7 of the I	⊙ etions	to
SECO	Are you an adviser to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.8.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instruction 1.4. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.8.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(2) of Schedule D with respect to that private You must, instead, complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(1) of Schedule D with respect to that private You seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 2(d), you may identity the private fund in Section 7.8.(1) or 7.8.(2) of Schedule D using the same code or designation in place of the fund's name. Funds per Page: Total Funds: 12	⊙ etions	to
SECO	Are you an advisor to any private fund? If "yes," then for each private fund that you advise, you must complete a Section 7.8.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instru Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting advisor, and another SEC-registered advisor or SEC exempt reporting advisor reports his information with respect to any such private fund in Section 7.8.(1) of Schedule D of its Form ADV (e.g., if you are a subadvisor), do not complete Section 7.8.(1) of Schedule D with respect to that private You must, instead, complete Section 7.8.(2) of Schedule D with respect to that private In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 2(d), you may identify the private fund in Section 7.8.(1) or 7.8.(2) of Schedule D using the same code or designation in place of the fund's name. Private Fund Reporting Funds por Page: 15 Total Funds: 12 PRIVATE FUND Information About the Private Fund (a) Name of the private fund: (b) Private Fund Identification number: (include the "805-" prefix also)	⊙ etions	to

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

MORGAN STANLEY PRIVATE EQUITY ASIA, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

Yes No

- (a) Is this a "master fund" in a master-feeder arrangement?
 - (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

Name of private fund	Private fund identification number
MORGAN STANLEY PRIVATE EQUITY ASIA TE, LP	805-1945390436
MORGAN STANLEY PRIVATE EQUITY ASIA TEE, L.P.	805-2475220489

Yes No

0 0

- (c) Is this a "feeder fund" in a master-feeder arrangement?
- (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?

Name of *private fund*:

Private fund identification number:

(include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

Additional Feeder Fund Information: 2 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
 - (a) Name of the *private fund*:

MORGAN STANLEY PRIVATE EQUITY ASIA TE, L.P.

(b) *Private fund* identification number:

(include the "805-" prefix also)

805-1945390436

(c) Under the laws of what state or country is the *private fund* organized:

State:

Country:

Cayman Islands

(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director

MSPEA SLP, LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

(e)	The private fund (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
•	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the foll	owing
questi		
(a)	Name of the <i>private fund</i> : MORGAN STANLEY PRIVATE EQUITY ASIA TEE, L.P.	
(b)	Private fund identification number: (include the "805-" prefix also) 805-2475220489	
(c)	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country: Cayman Islands	
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee or Director MSPEA SLP, LLC	
(e) (f)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
	No Information Filed	
vould also ubstantiallal	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund") be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests ly all of its assets in a single master fund. **private fund* a "fund of funds"?** purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also	Yes No
	gistered investment companies. does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
	r last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market the extent provided in Instruction 6.e.)?	Yes No
Vhat type	of fund is the private fund?	
hedge f	und $^{f O}$ liquidity fund $^{f O}$ private equity fund $^{f O}$ real estate fund $^{f O}$ securitized asset fund $^{f O}$ venture capital fund $^{f O}$ Other <i>private fund</i> :	
OTE: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
Current gro 5 3,230,340	oss asset value of the <i>private fund</i> :	

12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 10,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 68		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 8%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	v	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes	
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 36%		
You	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(a) Are you a subadviser to this <i>private runu</i> : (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question 17.	O blank	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is "no," leave this question blank.	uestio	n
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	
17.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	•
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Pri	vate Offering		
		Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-76951		
B. S	ERVICE PROVIDERS		
Aud	<u>ditors</u>		
22	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	
20.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ⊙	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b)		
	through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		

ERNST & YOUNG			
(c) The location of the auditing firm's	office responsible for the <i>private fund's</i> audit (cit	y, state and country):	
City: NEW YORK	State: New York	Country: United States	
NEW YORK	New YOLK	Officed States	Yes No
(d) Is the auditing firm an independen	t public accountant?		⊙ ○
(e) Is the auditing firm registered with	h the Public Company Accounting Oversight Boar	d?	
If yes, Public Company Accounting	Oversight Board-Assigned Number:		
(f) If "yes" to (e) above, is the auditing	ng firm subject to regular inspection by the Publi	c Company Accounting Oversight Board in accordance with its rules?	⊙ ○
			Yes N
Are the private fund's audited financial st	tatements for the most recently completed fiscal	year distributed to the private fund's investors?	o (
Do all of the reports prepared by the aud • Yes • No • Report Not Yet Receive		ual updating amendment contain unqualified opinions?	
·		ADV to update your response when the report is available.	
<u>roker</u>			
Does the <i>private fund</i> use one or more p	rime brokers?		Yes N
If the answer to question 24.(a) is "yes,	" respond to questions (b) through (e) below fo	each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	
must complete questions (b) through (e)	, , ,		
must complete questions (b) through (e)		Information Filed	
must complete questions (b) through (e)		Information Filed	
an	No		Yes N
Does the <i>private fund</i> use any custodians	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below fo		⊙ (
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes,	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below fo arately for each custodian.	old some or all of its assets?	⊙ (
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) separation and the complete function of the complete questions and the custodian information in th	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below fo arately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for	old some or all of its assets?	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below fo arately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F If the answer to question 25.(a) is "yes complete questions (b) through (g) see (b) Legal name of custodian:	s (including the prime brokers listed above) to h " respond to questions (b) through (g) below for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian.	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F If the answer to question 25.(a) is "yes complete questions (b) through (g) see (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian CITIBANK (d) The location of the custodian's office o	s (including the prime brokers listed above) to he respond to questions (b) through (g) below for arately for each custodian. Record(s) Filed. es, " respond to questions (b) through g) below for a parately for each custodian. esparately for each custodian.	old some or all of its assets? The each custodian the private fund uses. If the private fund uses more than one custodian the private fund uses. If the private fund uses more than one control or each custodian the private fund uses. If the private fund uses more than one control of the private fund uses more than one control of the private fund uses more than one control of the private fund uses.	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information : 1 F If the answer to question 25.(a) is "yes complete questions (b) through (g) see (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian CITIBANK	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below fo erately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for parately for each custodian. en:	old some or all of its assets? reach custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one culor custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one color each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one color	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F If the answer to question 25.(a) is "yes complete questions (b) through (g) see (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian CITIBANK (d) The location of the custodian's officity:	s (including the prime brokers listed above) to h " respond to questions (b) through (g) below for anately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for anately for each custodian. an: ice responsible for custody of the private fund's a State: New York	old some or all of its assets? reach custodian the private fund uses. If the private fund uses more than one culpot each custodian the private fund uses. If the private fund uses more than one country is seets (city, state and country): Country:	stodian, you must
Does the <i>private fund</i> use any custodians If the answer to question 25. (a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F If the answer to question 25. (a) is "yes complete questions (b) through (g) see (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian CITIBANK (d) The location of the custodian's officity: NEW YORK (e) Is the custodian a <i>related person</i> of	s (including the prime brokers listed above) to h " respond to questions (b) through (g) below for anately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for anately for each custodian. an: ice responsible for custody of the private fund's a State: New York	old some or all of its assets? reach custodian the private fund uses. If the private fund uses more than one culpot each custodian the private fund uses. If the private fund uses more than one country is seets (city, state and country): Country:	eustodian, you must Yes No
Does the <i>private fund</i> use any custodians If the answer to question 25. (a) is "yes, complete questions (b) through (g) sepa Additional Custodian Information: 1 F If the answer to question 25. (a) is "yes complete questions (b) through (g) see (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian CITIBANK (d) The location of the custodian's officity: NEW YORK (e) Is the custodian a <i>related person</i> of	No s (including the prime brokers listed above) to h " respond to questions (b) through (g) below for arately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for arately for each custodian. esparately for each custodian. an: ice responsible for custody of the private fund's a State: New York	old some or all of its assets? reach custodian the private fund uses. If the private fund uses more than one culpot each custodian the private fund uses. If the private fund uses more than one country is seets (city, state and country): Country:	eustodian, you must Yes No

Administrator

Voc	Nο
162	INO

26. (a) Does the *private fund* use an administrator other than your firm?

⊙	\circ
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If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information: 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

(c) Location of administrator (city, state and country):

City:State:Country:NEW YORKNew YorkUnited States

(d) Is the administrator a *related person* of your firm?

Yes No

(

(e) Does the administrator prepare and send investor account statements to the private fund's investors?

OYes (provided to all investors) OSome (provided to some but not all investors) No (provided to no investors)

(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."

SEI INVESTOR SERVICES GROUP

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

00

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

No Information Filed

A. PRIVATE FUND

Information About the Private Fund

1. (a) Name of the private fund:

MSPE METRO INVESTORS CAYMAN, LP

(b) Private fund identification number:

(include the "805-" prefix also)

805-1530553514

2. Under the laws of what state or country is the *private fund* organized:

State:

Country:

Cayman Islands

3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	MORGAN STANLEY PRIVATE EQUITY ASIA, LLC	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
4.	The private fund (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0 0
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Private fund identification number:	
	(include the "805-" prefix also)	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:	g
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund" would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	'). A fund
-	(a) In this way on the found of foundaries	Yes No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	O O
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.	private
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 0
10	. What type of fund is the <i>private fund</i> ?	
	O hedge fund O liquidity fund oprivate equity fund oprivate fund oprivat	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11	. Current gross asset value of the <i>private fund</i> : \$ 0	
Ov	<u>vnership</u>	

	\$ 200,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 0		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	.,	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes O	
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
47		Yes	
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question by the first transfer of the private fund.	Jank ——	
	No Information Filed		
		Yes	
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this question blank.	estio	n
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pri</u>	vate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ⊙	
		~	
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number		
	021-99999999		
B. S	SERVICE PROVIDERS		
Aud	ditors		
7101		Yes	No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through separately for each auditing firm.	ı (f)	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	ERNST & YOUNG		

12. Minimum investment commitment required of an investor in the *private fund*:

		office responsible for the <i>private fund's</i> audit (city	•	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
	(d) Is the auditing firm an independent	t public accountant?		⊙ ○
	(e) Is the auditing firm registered wit	h the Public Company Accounting Oversight Boar	d?	⊙ ○
		g Oversight Board-Assigned Number:		
	42			
	(f) If "yes" to (e) above, is the auditi	ng firm subject to regular inspection by the Public	C Company Accounting Oversight Board in accordance with its rules?	⊙ ○
				Yes N
(g)	Are the <i>private fund's</i> audited financial s	tatements for the most recently completed fiscal	year distributed to the <i>private fund's</i> investors?	⊙ (
(h)			ual updating amendment contain unqualified opinions?	
	If you check "Report Not Yet Received," y	ou must promptly file an amendment to your Form	ADV to update your response when the report is available.	
Prime E	Broker			
24. (a)	Does the <i>private fund</i> use one or more p	orime brokers?		Yes N
(a)	· · · · · · · · · · · · · · · · · · ·	," respond to questions (b) through (e) below for	each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	
		No	Information Filed	
Custodi	<u>ian</u>			Yes N
25. (a)	Does the <i>private fund</i> use any custodian	s (including the prime brokers listed above) to ho	old some or all of its assets?	0 6
	If the answer to question 25.(a) is "yes complete questions (b) through (g) separations		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	stodian, you must
		No	Information Filed	
Admini	<u>strator</u>			
26 (2)	Does the <i>private fund</i> use an administra	tor other than your firm?		Yes N
20. (a)			the <i>private fund</i> uses more than one administrator, you must complete questions	⊙ C s (b) through (f)
	Additional Administrator Information	: 1 Record(s) Filed.		
	If the answer to question 26.(a) is "ye separately for each administrator.	es," respond to questions (b) through (f) below. I	f the private fund uses more than one administrator, you must complete question	ns (b) through (f)
	(b) Name of administrator:			
	APEX FUND AND CORPORATE SER	/ICES NEW YORK LLC		
	(c) Location of administrator (city, sta	ate and country):		
	City:	State:	Country:	
	NEW YORK	New York	United States	Yes No
	(d) Is the administrator a related pers	on of your firm?		0 0

	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? Or Yes (provided to all investors) Or Some (provided to some but not all investors) Or No (provided to no investors)
	O res (provided to all investors) O some par not all investors) (and investors)
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable." SEI INVESTOR SERVICES GROUP
	SET TIVESTOR SERVICES GROOF
27.	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ? 0%
	Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i> .
Ma	<u>rketers</u>
0.0	Yes No
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is
	"yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
	No Information Filed
	No information riled
A. P	RIVATE FUND
Info	ormation About the Private Fund
1.	(a) Name of the <i>private fund</i> : NORTH HAVEN ASIA ALPHA SMA, L.P.
	(b) Private fund identification number:
	(include the "805-" prefix also)
	805-6123010573
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:
	Canada
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director
	MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.
	No Information Filed
4.	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
6	Yes No (a) Is this a "master fund" in a master-feeder arrangement?
0.	(a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?
	No Information Filed
	Yes No (c) Is this a "feeder fund" in a master-feeder arrangement?
	(c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?
	the state of the s

	Name of private fund:	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:	ıg
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund" would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
Ω	(a) Is this <i>private fund</i> a "fund of funds"?	Yes No
J.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.	C ⊙ o private
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes No
10.	What type of fund is the private fund?	
	O hedge fund O liquidity fund o private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund:	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
1.	Current gross asset value of the <i>private fund</i> :	
	\$ 66,677,393	
<u> </u>	vnership.	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0	
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 6	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes No
6.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%	
<u>/o</u>	ur Advisory Services	Yes No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0 0
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question	

18. (a	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?		s No
(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave the blank.		
	No Information Filed		
		Ye	s No
9. Ar	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	C
N	OTE: For purposes of this question, do not consider feeder funds of the private fund.		
:0. Ap	oproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? %		
rivat	ze Offering		
1. Ha	as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		s No
2. If	yes, provide the <i>private fund's</i> Form D file number (if any):		
	orm D file number		
0	21-422720		
SER\	VICE PROVIDERS		
Audito			
uant		Ye	s No
:3. (a	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?		
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) thr separately for each auditing firm.	ough (f)	,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States	Yes	Nο
	(d) Is the auditing firm an independent public accountant?		0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	0
		۷e	s No
(a) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?		5 IV
	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?	•	
(1)	• Yes • No • Report Not Yet Received		

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

No Information Filed

	separately for each prime broker.		
		No Information Filed	
<u>n</u>			
•	(including the prime brokers listed above) to		
If the answer to question 25.(a) is "yes, complete questions (b) through (g) sepa		for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, ye	ou r
Additional Custodian Information: 4 R	Record(s) Filed.		
If the answer to question 25.(a) is "ye complete questions (b) through (g) se		w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian,	you
(b) Legal name of custodian: CITIBANK			
(c) Primary business name of custodia CITIBANK	an:		
	ce responsible for <i>custody</i> of the <i>private fund</i> 's		
City: NEW YORK	State: New York	Country: United States	
(e) Is the custodian a related person o	f your firm?		Y
(f) If the custodian is a broker-dealer,	provide its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-de	aler, or is a broker-dealer but does not have	an SEC registration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is "ye complete questions (b) through (g) se		w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian,	you
(b) Legal name of custodian: CITIBANK CHINA			
(c) Primary business name of custodia CITIBANK CHINA	an:		
(d) The location of the custodian's offi	ce responsible for custody of the private fund's	s assets (city, state and country):	
City: SHANGHAI	State:	Country: China	
(e) Is the custodian a related person o	f your firm?		Y
(f) If the custodian is a broker-dealer.	provide its SEC registration number (if any):		
,			

City: SINGAPORE (e) Is the custodian a related p	an's office responsible for <i>custody</i> of the	State:	state and country): Country: Singapore	
KGI SECURITIES (d) The location of the custodial City: SINGAPORE (e) Is the custodian a related p	an's office responsible for <i>custody</i> of the particle of the p	State:	Country:	
KGI SECURITIES (d) The location of the custodia City: SINGAPORE	an's office responsible for <i>custody</i> of the		Country:	
KGI SECURITIES (d) The location of the custodia City:			Country:	
KGI SECURITIES		e <i>private fund's</i> assets (city	state and country):	
	ou o courann			
	custodian:			
•	n (g) separately for each custodian.	J J. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		, jes
If the answer to guestian 25 (a	b) is "yos " rospond to guastians (h) the	rough a) holow for each av	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses r	more than one custodian way
(g) If the custodian is not a bro	oker-dealer, or is a broker-dealer but d	loes not have an SEC regis	ration number, provide its <i>legal entity identifier</i> (if any)	
CRD Number (if any):				
(f) If the custodian is a broker	-dealer, provide its SEC registration nu	mber (if any):		
(e) Is the custodian a related p	person of your firm?			
City: MUMBAI	State:		Country: India	,
	an's office responsible for <i>custody</i> of the			
113B0 INBIA				
(c) Primary business name of o	custodian:			

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f)

Additional Administrator Information: 1 Record(s) Filed.

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

separately for each administrator.

(b) Name of administrator:

(c)	Location of administrator (city, state and country):				
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(d)	Is the administrator a related person of your firm?			0	•
(e)	Does the administrator prepare and send investor ad	ccount statements to the private fund's investors			
	C Yes (provided to all investors) C Some (provided	to some but not all investors) \bullet No (provided to	no investors)		
(f)	If the answer to guestion 26 (e) is "no" or "some." v	who sends the investor account statements to the	e (rest of the) private fund's investors? If investor account statements	are no	ot
(.)	sent to the (rest of the) <i>private fund's</i> investors, resp		, (cost of the product conditions of the section descent electronic	u. oo	
	SEI INVESTOR SERVICES GROUP				

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

<u>Marketers</u>

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Yes No

 \odot \circ

Additional Marketer Information : 1 Record(s) Filed.				
You must answer "yes" whether the <i>person</i> acts as a placement agent, (a) is "yes," respond to questions (b) through (g) below for each such m through (g) separately for each marketer.				
(b) Is the marketer a related person of your firm?			Yes O	No ⊙
(c) Name of the marketer: LAZARD ASIA LIMITED				
(d) If the marketer is registered with the SEC, its file number (e.g., 801-,	, 8-, or 866-):			
and CRD Number (if any):				
(e) Location of the marketer's office used principally by the private fund ((city, state and country):			
City: SINGAPORE	State:	Country: Singapore		
(f) Does the marketer market the <i>private fund</i> through one or more web	osites?		Yes O	No ⊙
(g) If the answer to question 28.(f) is "yes," list the website address(es	No Information Filed			

A. PRIVATE FUND

Information About the Private Fund

- (a) Name of the private fund: NORTH HAVEN ASIA ALPHA SPV, L.P.
 - (b) Private fund identification number:

	805-2436477544		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Canada		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director		
	MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes I	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes I	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	•
	Name of private fund:		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or		
	reporting on the funds separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following	g	
	questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund")). A fur	nd
	would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests). / (I G.	10
	substantially all of its assets in a single master fund.		
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes I	
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also	_	_
	funds or registered investment companies.	private	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
0	During your lost fiscal year, did the private fund invest in accuration issued by investment comments registered under the Investment Comment Act of 1040 (attend the unit of the contract of the unit	Yes I	
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	⊙
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund o private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private fund</i> :		

(include the "805-" prefix also)

11.	Current gross asset value of the <i>private fund</i> :		
	\$ 234,305,544		
<u>Ov</u>	<u>vnership</u>		
12	Minimum investment commitment required of an investor in the <i>private fund</i> :		
12.	\$ 0		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13	Approximate number of the <i>private fund's</i> beneficial owners:		
10.	6		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%	Yes	Νı
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to	0	
	qualified clients?		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
	97%		
<u>YO</u>	ur Advisory Services	Yes	No
17.	(a) Are you a subadviser to this private fund?	0	•
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question	blank	
	No Information Filed		
10	(a) Do any investment advicers (other than the investment advicers listed in Section 7.P. (1) A.2 (b)) advice the private fund?	Yes	
10.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this q	O uestio	_
	blank.		
	No Information Filed		
10	Annual of the standard by the standard to the same of the standard for the	Yes	
19.	Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	•
	NOTE. For purposes of this question, do not consider recall runas of the private runa.		
20.	Approximately what percentage of your clients has invested in the private fund?		
	0%		
Pri	vate Offering		
		Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	⊙	С
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-422842		
B. S	ERVICE PROVIDERS		
Au	ditors		
		Yes	N
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\odot	C
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	C

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f)

 $NOTE: For \ definitions \ of \ these \ fund \ types, \ please \ see \ Instruction \ 6 \ of \ the \ Instructions \ to \ Part \ 1A.$

separately for each auditing firm.

16.11			
If the answer to question 23.(a)(1) is "ye through (f) separately for each auditing fi		below. If the private fund uses more than one auditing firm, you must complete question	ons (b)
(b) Name of the auditing firm: ERNST & YOUNG			
(c) The location of the auditing firm's offi	ice responsible for the <i>private fund's</i> audit	(city, state and country):	
City: NEW YORK	State: New York	Country: United States	
NEW TORK	New TOLK	Officed States	Yes No
(d) Is the auditing firm an independent pu	ublic accountant?		• o
(e) Is the auditing firm registered with the	ne Public Company Accounting Oversight	Board?	• c
If yes, Public Company Accounting Ov	ersight Board-Assigned Number:		
(f) If "yes" to (e) above, is the auditing f	firm subject to regular inspection by the	Public Company Accounting Oversight Board in accordance with its rules?	⊙ ○
			Yes
Are the <i>private fund's</i> audited financial state	ements for the most recently completed f	iscal year distributed to the <i>private fund's</i> investors?	res i
Do all of the reports prepared by the auditing	ng firm for the <i>private fund</i> since your las	t annual updating amendment contain unqualified opinions?	~
	· · · · · · · · · · · · · · · · · · ·		
	must promptly file an amondment to your i	Form ADV to update your response when the report is available.	
	, , ,		
<u>Broker</u>			N.
Does the <i>private fund</i> use one or more prim	na hrokars?		Yes
·		w for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one p	C rime broker, you
must complete questions (b) through (e) se		ivitor each prime broker the private rana uses. If the private rana uses more than one pr	Time broker, you
		No Information Filed	
a <u>n</u>			Yes I
Does the <i>private fund</i> use any custodians (ii			162
	ncluding the prime brokers listed above)	to hold some or all of its assets?	
If the answer to question 25.(a) is "yes," recomplete questions (b) through (g) separat	espond to questions (b) through (g) belo	to hold some or all of its assets? w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one customer.	•
	espond to questions (b) through (g) below tely for each custodian.		•
Additional Custodian Information : 1 Rec	espond to questions (b) through (g) belowed the property of th		odian, you must
Additional Custodian Information : 1 Rec	espond to questions (b) through (g) belowed the property of th	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one customer or the customer of the custome	odian, you must
Additional Custodian Information: 1 Recomplete questions (b) through (g) separated. If the answer to question 25.(a) is "yes," complete questions (b) through (g) separated. (b) Legal name of custodian:	espond to questions (b) through (g) belowed the property of th	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one customer or the customer of the custome	odian, you must
Additional Custodian Information: 1 Rec If the answer to question 25.(a) is "yes," complete questions (b) through (g) separate (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian:	espond to questions (b) through (g) belowed the property of th	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the private fund uses.	odian, you must
Additional Custodian Information: 1 Recomplete questions (b) through (g) separated additional Custodian Information: 1 Recomplete answer to question 25.(a) is "yes," complete questions (b) through (g) separated (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office City:	espond to questions (b) through (g) belowed the private functions (g) the private functions (g) the private functions (g) through (g) belowed the private functions (g) the private functions (g) the private functions (g) through (g) belowed the private functions (g) through (g) through (g) belowed the private functions (g) through (g) th	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the customs of the c	odian, you must
Additional Custodian Information: 1 Rec If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office	espond to questions (b) through (g) below tely for each custodian. cord(s) Filed. respond to questions (b) through g) belowed the private function of the private functions.	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the private fund uses. If the private fund uses more than one custows of the custo	odian, you must
Additional Custodian Information: 1 Recomplete questions (b) through (g) separated and information: 1 Recomplete answer to question 25.(a) is "yes," complete questions (b) through (g) separated (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office City:	espond to questions (b) through (g) belowed the private functions (g) the private functions (g) the private functions (g) through (g) belowed the private functions (g) through (g) throug	w for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custows of the customs of the c	odian, you must

(f)	If the custodian is a broker-dealer, provide its SEC registration number (if any):
	CRD Number (if any):
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)

Administrator

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

O

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator:

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

(c) Location of administrator (city, state and country):

City:

NEW YORK

New York

United States

Yes No

(d) Is the administrator a related person of your firm?

(e) Does the administrator prepare and send investor account statements to the *private fund's* investors?
 Or Yes (provided to all investors) Or Some (provided to some but not all investors) Or No (provided to no investors)
 (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

⊙ ⊙

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

SEI INVESTOR SERVICES GROUP

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28. (a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

(b) Is the marketer a *related person* of your firm?

Yes No

•

0 0

(c) Name of the marketer: LAZARD ASIA LIMITED

(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):

	and CRD Number (if any):					
	(e) Location of the marketer's office used principally by t	the <i>private</i> fund (city_state and country)	1.			
	City:	State:	Country:			
	SINGAPORE		Singapore			
				Yes No		
	(f) Does the marketer market the <i>private fund</i> through o	one or more websites?		0 0		
	(g) If the answer to question 28.(f) is "yes," list the web	osite address(es):				
		No Informa	ition Filed			
A. F	PRIVATE FUND					
Info	formation About the <i>Private Fund</i>					
1.	(a) Name of the <i>private fund</i> :					
	NORTH HAVEN PRIVATE EQUITY ASIA III, L.P. (b) Private fund identification number:					
	(include the "805-" prefix also)					
	805-2940457278					
2.	Under the laws of what state or country is the private fund orga					
		Country: Cayman Islands				
		ayman islanus				
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):					
	Name of General Partner, Manager, Trustee, or Director					
	MORGAN STANLEY PRIVATE EQUITY ASIA III, L.L.C.					
	(b) If filing an umbrella registration, identify the filing adviser and	d/or relying adviser(s) that sponsor(s) c	or manage(s) this <i>private fund</i> .			
		No Information	n Filed			
4.	The private fund (check all that apply; you must check at least of	one):				
	\square (1) qualifies for the exclusion from the definition of investment					
	(2) qualifies for the exclusion from the definition of investments	ent company under section 3(c)(7) of the	ne Investment Company Act of 1940			
5.	List the name and country, in English, of each foreign financial re	regulatory authority with which the priva	te fund is registered.			
		No Information	n Filed			
				Yes No		
6.	(a) Is this a "master fund" in a master-feeder arrangement?			⊙ ○		
	(b) If yes, what is the name and private fund identification num	ber (if any) of the feeder funds investir	ng in this <i>private fund</i> ?			
	Name of private fund		Private fund identification number			
	NORTH HAVEN PRIVATE EQUITY ASIA III TE, L.P.		805-3407083748			
				Yes No		
	(c) Is this a "feeder fund" in a master-feeder arrangement?			0 0		
	(d) If yes, what is the name and <i>private fund</i> identification num	oher (if any) of the master fund in which	this private fund invests?	0 0		
	Name of <i>private fund</i> :	iser (if any) or the master rand in which	Titlis private rand ilivests.			
	Private fund identification number:					
	(include the "805-" prefix also)					
	NOTE: You must complete question 6 for each master-feeder a	rrangement regardless of whether vou	are filing a single Schedule D, Section 7.B.(1) for the master-	eeder arrangement or		
		5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 -	J J , , ,			

reporting on the funds separately.

F	Additional	Feeder Fund Information : 1 Record(s) Filed.				
	7 If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the fo	allowing			
	questi		mownig			
	(a)	Name of the private fund:				
	` ,	NORTH HAVEN PRIVATE EQUITY ASIA III TE, L.P.				
	(b)	Private fund identification number:				
	(b)	(include the "805-" prefix also)				
		805-3407083748				
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:				
		State: Country:				
		Cayman Islands				
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):				
		Name of General Partner, Manager, Trustee or Director				
		MORGAN STANLEY PRIVATE EQUITY ASIA III, L.L.C.				
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:				
	(d) (Z)	No Information Filed				
		No midmation riled				
	(e)	The private fund (check all that apply; you must check at least one):				
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940				
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940				
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.				
		No Information Filed				
L						
Ν	ould also	ourposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master func be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests y all of its assets in a single master fund.	d"). A fun			
			Yes N			
2	a) Is this ,	private fund a "fund of funds"?	0			
		ourposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are als gistered investment companies.	o <i>private</i>			
		does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0			
		last fiscal year, did the private funding set in accumities issued by investment company of a fig. 1040 (ether then "manny market	Yes N			
		last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market he extent provided in Instruction 6.e.)?	0			
	3.	of fund is the <i>private fund</i> ? und O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private fund</i> :				
	neage n	und V liquidity fund V private equity fund V real estate fund V securitized asset fund V venture capital fund V Other <i>private fund</i> :				
V	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.					
С	urrent gro	ess asset value of the <i>private fund</i> :				
\$	818,643,2	227				
ıe	rship					
ŊΛ	inimum in	vestment commitment required of an investor in the <i>private fund</i> :				
		recurrent communion required or an investor in the private rand.				

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).

13.	. Approximate number of the <i>private fund's</i> beneficial owners: 215	
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 12%	
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes No
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 45%	
You	ur Advisory Services	
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes No
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question No Information Filed	
		Yes No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is "no," leave this	O O
	blank.	question
	No Information Filed	
19.	. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes No
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0 0
00		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
<u>Pri</u>	ivate Offering	Vac Na
21.	. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes No ⊙ O
22.	. If yes, provide the <i>private fund's</i> Form D file number (if any):	
	Form D file number 021-104187	
	021-104167	
B. S	SERVICE PROVIDERS	
Aud	<u>ditors</u>	Yes No
23.	. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	• O
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	O
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through separately for each auditing firm.	ugh (f)
	Additional Auditor Information: 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	
	(b) Name of the auditing firm: DELOITTE & TOUCHE, LLP	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	

(d) Is the auditing firm an independent public accountant?(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	Yes No	_
		3
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	⊙ ○)
(c) 13 the additing him registered with the rabble company Accounting Oversight Board:	⊙ ○	5
If yes, Public Company Accounting Oversight Board-Assigned Number: 34		
(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	· 0	,
		_
	Yes	
re the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	•	C
o all of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions?		
9 Yes O No O Report Not Yet Received		
If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
<u>roker</u>		
	Yes	
Does the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than	O one prime broker you	•
nust complete questions (b) through (e) separately for each prime broker.	one prime broker, you	
No Information Filed		
	Yes I	
oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	•	
oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one omplete questions (b) through (g) separately for each custodian.	•	
poes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? The answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one omplete questions (b) through (g) separately for each custodian.	e custodian, you must	
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Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one omplete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	e custodian, you must	
complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK	e custodian, you must	
Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one omplete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian:	e custodian, you must	
toos the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one omplete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):	e custodian, you must	
Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country:	e custodian, you must	0
Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK New York United States	e custodian, you must ne custodian, you must Yes No	0
Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 10 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK (c) Primary business name of custodian: CITIBANK (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: New York United States (e) Is the custodian a <i>related person</i> of your firm?	e custodian, you must ne custodian, you must Yes No	0

complete questions (b) through (g) s	eparately for each custodian.		
(b) Legal name of custodian: CITIBANK INDIA			
(c) Primary business name of custod CITIBANK INDIA	ian:		
(d) The location of the custodian's of	fice responsible for <i>custody</i> of the <i>private fund</i>	's assets (city, state and country):	
City: MUMBAI	State:	Country: India	
(e) Is the custodian a related person	of your firm?		Yes No
(f) If the custodian is a broker-deale	r, provide its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-d	ealer, or is a broker-dealer but does not have	an SEC registration number, provide its <i>legal entity</i>	v identifier (if anv)
(9)			,
If the answer to question 25.(a) is "y complete questions (b) through (g) s		w for each custodian the <i>private fund</i> uses. If the $ ho$	private fund uses more than one custodian, you must
(b) Legal name of custodian: CITIBANK NA AGENCY & TRUST			
(c) Primary business name of custod CITIBANK NA AGENCY & TRUST	ian:		
(d) The location of the custodian's of	fice responsible for <i>custody</i> of the <i>private fund</i>	's assets (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
(e) Is the custodian a related person	of your firm?		Yes No
(f) If the custodian is a broker-deale	r, provide its SEC registration number (if any):		
- CRD Number (if any):			
(a) If the custodian is not a broker d	logler, er is a broker dealer but dees not baye	an SEC registration number, provide its <i>legal entity</i>	v identifier (if any)
(g) If the custodian is not a broker-u	ealer, or is a proker-dealer but does not have	an Sec registration number, provide its legal entity	y identifier (if arry)
If the answer to question 25.(a) is "y complete questions (b) through (g) s		w for each custodian the <i>private fund</i> uses. If the \wp	private fund uses more than one custodian, you must
(b) Legal name of custodian: CITIC SECURITIES			
(c) Primary business name of custod CITIC SECURITIES	ian:		
(d) The location of the custodian's of	fice responsible for <i>custody</i> of the <i>private fund</i>	's assets (city, state and country):	
City: SHENZHEN	State:	Country: China	
(e) Is the custodian a related person	of your firm?		Yes No C ⊙
(0.161)			
(ĭ) If the custodian is a broker-deale	r, provide its SEC registration number (if any):		

CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is a broker-dealer but d	loes not have an SEC regis	tration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian.	rough g) below for each cu	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must
(b) Legal name of custodian: CLSA			
(c) Primary business name of custodian: CLSA			
(d) The location of the custodian's office responsible for <i>custody</i> of the	e nrivate fund's assets (city	state and country):	
City:	State:	Country:	
HONG KONG		Hong Kong	
			Yes No
(e) Is the custodian a <i>related person</i> of your firm?			○ ●
(f) If the custodian is a broker-dealer, provide its SEC registration nu	mber (if any):		
- CRD Number (if any):			
CRD Number (ii arry).			
(g) If the custodian is not a broker-dealer, or is a broker-dealer but d	loes not have an SEC regis	tration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian.	rough g) below for each cu	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must
(b) Legal name of custodian: HSBC HK			
(c) Primary business name of custodian: HSBC HK			
(d) The location of the custodian's office responsible for <i>custody</i> of the	o privato fundis assots (city	state and country):	
City:	State:	Country:	
HONG KONG	o tate.	China	
			Yes No
(e) Is the custodian a <i>related person</i> of your firm?			0 0
(f) If the custodian is a broker-dealer, provide its SEC registration nu	mber (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is a broker-dealer but d	does not have an SEC regis	tration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian.	rough g) below for each cu	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must
(b) Legal name of custodian: HSBC INDIA			

(c) Primary business name of custodian:

(d) The location of the custodian's			
	office responsible for <i>custody</i> of the <i>private for</i>	fund's assets (city, state and country):	
City: MUMBAI	State:	Country: India	
(e) Is the custodian a related perso	on of your firm?		Yes M
(f) If the custodian is a broker-dea	aler, provide its SEC registration number (if ar	ny):	
- CRD Number (if any):			
(g) If the custodian is not a broker	r-dealer, or is a broker-dealer but does not ha	ave an SEC registration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is complete questions (b) through (g)		pelow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	e custodian, you must
(b) Legal name of custodian: HSBC KOREA			
(c) Primary business name of cust HSBC KOREA	odian:		
	office responsible for <i>custody</i> of the <i>private fo</i>		
City: SEOUL	State:	Country: Korea, South	
(e) Is the custodian a related perso	on of your firm?		Yes N
(f) If the custodian is a broker-dea	aler, provide its SEC registration number (if a	nv):	
	aler, provide its SEC registration number (if ar	ny):	
(f) If the custodian is a broker-dea - CRD Number (if any):	aler, provide its SEC registration number (if an	ny):	
- CRD Number (if any):		ny): ave an SEC registration number, provide its <i>legal entity identifier</i> (if any)	
- CRD Number (if any):			
- CRD Number (if any): (g) If the custodian is not a broker	r-dealer, or is a broker-dealer but does not ha "yes," respond to questions (b) through g) b		e custodian, you must
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is	r-dealer, or is a broker-dealer but does not ha "yes," respond to questions (b) through g) b	ave an SEC registration number, provide its <i>legal entity identifier</i> (if any)	e custodian, you must
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is complete questions (b) through (g) (b) Legal name of custodian:	r-dealer, or is a broker-dealer but does not hat "yes," respond to questions (b) through g) by separately for each custodian.	ave an SEC registration number, provide its <i>legal entity identifier</i> (if any)	e custodian, you must
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is complete questions (b) through (g) (b) Legal name of custodian: KEB HANA BANK (c) Primary business name of cust KEB HANA BANK (d) The location of the custodian's	"yes," respond to questions (b) through g) b separately for each custodian.	ave an SEC registration number, provide its legal entity identifier (if any) below for each custodian the private fund uses. If the private fund uses more than one	e custodian, you must
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is complete questions (b) through (g) (b) Legal name of custodian: KEB HANA BANK (c) Primary business name of cust KEB HANA BANK	"yes," respond to questions (b) through g) b) separately for each custodian.	ave an SEC registration number, provide its <i>legal entity identifier</i> (if any) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is complete questions (b) through (g) (b) Legal name of custodian: KEB HANA BANK (c) Primary business name of cust KEB HANA BANK (d) The location of the custodian's City:	"yes," respond to questions (b) through g) b) separately for each custodian: office responsible for custody of the private for State:	ave an SEC registration number, provide its <i>legal entity identifier</i> (if any) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one fund the private fund	Yes N
CRD Number (if any): (g) If the custodian is not a broker If the answer to question 25.(a) is complete questions (b) through (g) (b) Legal name of custodian: KEB HANA BANK (c) Primary business name of cust KEB HANA BANK (d) The location of the custodian's City: SEOUL (e) Is the custodian a related person	"yes," respond to questions (b) through g) b) separately for each custodian: office responsible for custody of the private for State:	ave an SEC registration number, provide its legal entity identifier (if any) below for each custodian the private fund uses. If the private fund uses more than one fund uses assets (city, state and country): Country: Korea, South	Yes N

(b) Legal name of custodian WOORI BANK	:		
(c) Primary business name of WOORI BANK	of custodian:		
(d) The location of the custo	odian's office responsible for <i>custody</i> of the <i>pri</i>	ivate fund's assets (city, state and country):	
City: SEOUL	State:	Country: Korea, South	
(e) Is the custodian a <i>relate</i>	d person of your firm?		
(f) If the custodian is a brok	xer-dealer, provide its SEC registration numbe	r (if any):	
- CRD Number (if any):			
(g) If the custodian is not a	broker-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entity identified	r (if any)

Additional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: APEX FUND AND CORPORATE SERVICES NEW YORK LLC (c) Location of administrator (city, state and country): City: State: Country: **NEW YORK** New York **United States** Yes No (d) Is the administrator a *related person* of your firm? 0 0 (e) Does the administrator prepare and send investor account statements to the private fund's investors? Yes (provided to all investors) Some (provided to some but not all investors) No (provided to no investors) (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable." SEI INVESTOR SERVICES GROUP

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

separately for each administrator.

	No Information Filed	
PF	RIVATE FUND	
<u>ifo</u>	ormation About the <i>Private Fund</i>	
	 (a) Name of the <i>private fund</i>: NORTH HAVEN PRIVATE EQUITY ASIA IV VISION INVESTORS L.P. (b) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-7707345972 	
	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country: Delaware United States	
	(a) Nama(a) of Canaral Partner, Manager, Trustee, or Directors (or persons cerving in a similar canasity).	
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director	
	MORGAN STANLEY PRIVATE EQUITY ASIA IV, L.L.C.	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
	No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
	No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	Voc
	No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	Yes
	No Information Filed The <i>private fund</i> (check all that apply: you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
	No Information Filed The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement?	
	No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
	No Information Filed The private fund (check all that apply: you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed	0
	No Information Filed The private fund (check all that apply: you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed	Yes
	The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	Yes
	The private fund (check all that apply: you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number:	Yes
	The private fund (check all that apply: you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☐ (3) Is this a "master fund" in a master-feeder arrangement? ☐ (6) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? ☐ (7) Information Filed ☐ (8) Is this a "feeder fund" in a master-feeder arrangement? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? ☐ (9) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund? ☐ (9) If yes, what is the name and private fund identification number (if	Yes O

	substantially all of its assets in a single master fund.	
		Yes No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0 0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.	o <i>private</i>
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 0
10.	What type of fund is the private fund?	
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund:	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> :	
	\$ 13,174,230	
<u>Ow</u>	nership	
10	Minimum investment commitment required of an investor in the <i>private fund</i> :	
12.	\$ 1,000,000	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	
13.	Approximate number of the <i>private fund's</i> beneficial owners:	
	11	
14	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :	
	1%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:	
	0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to	Yes No
	qualified clients?	0 0
16.	What is the approximate percentage of the private fund beneficially owned by non-United States persons:	
	68%	
YOU	ur Advisory Services	Yes No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0 0
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund.	
	No Information Filed	
		Yes No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0 0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this of the other advisers to the private fund.	
	blank.	
	No Information Filed	
		Yes No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0 0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?	
∠∪.	0%	

would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests

22. I	yes, provide the <i>private fund's</i> Form D file number (if any):		
	orm D file number		
	21-221981		
. SEF	ICE PROVIDERS		
Audit			
	Y	es	No
23. ((1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through ((f)	
	separately for each auditing firm.	. ,	
	Additional Auditor Information : 1 Record(s) Filed.		
	Additional Addition Thiormation . T Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States		
	Yes	s No	د
	(d) Is the auditing firm an independent public accountant?	С)
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	С	,
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	42		
	(f) If "yea" to (a) above is the qualities figure quicket to regular improciation by the Dublic Company Associated Depart in accordance with its rules?	_	
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0]
	Y	es	No
(Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	⊙	0
(Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
Prim	<u>Broker</u>		
	Y	es	No
24. (Does the <i>private fund</i> use one or more prime brokers?	0	•
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, yet	ou	
	must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		
Custo	<u>ian</u>		
	Y	es	No
25. (Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?	•	0
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must	st	
	complete questions (b) through (g) separately for each custodian.		
	Additional Custodian Information: 2 Record(s) Filed.		

 \circ \circ

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

(b) Legal name of custodian:			
CITIBANK			
(c) Primary business name of custodia CITIBANK	า:		
(d) The location of the custodian's office	ce responsible for <i>custody</i> of the <i>private fund's</i> as	ssets (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
		omica etates	
(e) Is the custodian a related person of	your IIIm?		
(f) If the custodian is a broker-dealer,	provide its SEC registration number (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dea	aler, or is a broker-dealer but does not have an	SEC registration number, provide its <i>legal entity identifier</i> (if any	v)
(g) It the oustoulants flot a broken doc	Total Sa Stoker addior but adds first have an		,
If the answer to question 25.(a) is "yes complete questions (b) through (g) sep		or each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses	more than one custodian, yo
(b) Legal name of custodian: CITIBANK NA AGENCY & TRUST			
(c) Primary business name of custodia CITIBANK NA AGENCY & TRUST	า:		
(d) The location of the custodian's office	ce responsible for <i>custody</i> of the <i>private fund's</i> as	ssets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
(e) Is the custodian a related person of	your firm?		
(f) If the custodian is a broker-dealer,	provide its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-dea	aler, or is a broker-dealer but does not have an	SEC registration number, provide its <i>legal entity identifier</i> (if any	·)
rator			
Does the <i>private fund</i> use an administrato	or other than your firm?		
		he <i>private fund</i> uses more than one administrator, you must co	mplete questions (b) through
Additional Administrator Information :	1 Record(s) Filed.		

(b) Name of administrator:

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

	(c) Location of administrator (city, s	tate and country):		
	City:	State:	Country:	
	NEW YORK	New York	United States	., .
		and of very flow?		Yes No
	(d) Is the administrator a related pe	rson or your firm?		0 0
	(e) Does the administrator prepare	and send investor account statements to the <i>priv</i>	ate fund's investors?	
		Some (provided to some but not all investors)		
	O too (protition to all invocation)	Geeme (premaea to come par not an investors)		
	11	fund's investors, respond "not applicable."	nt statements to the (rest of the) <i>private fund's</i> investors? If investor account	: statements are not
27 Di	uring your last fiscal year, what percenta	ige of the <i>private fund's</i> assets (by value) was valu	ed by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ?	
09		ge of the private rand a dissets (by value) was vale	ed by a person, sach as an administrator, that is not your related person.	
		person carried out the valuation procedure establis	ned for that asset, if any, including obtaining any relevant quotes, and (ii) th	ne valuation used for
		•	ing allocations) was the valuation determined by such person.	
Marke	<u>ters</u>			V N-
20 (0) Does the private fund use the convices	of company other than you or your employees for	marketing nurneses?	Yes No
28. (a	•	of someone other than you or your <i>employees</i> for		0 0
			er, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answard uses. If the <i>private fund</i> uses more than one marketer you must complete of	
		No	Information Filed	
A. PRIV	ATE FUND			
Inform	ation About the Private Fund			
1. (a) Name of the <i>private fund</i> :			
	NORTH HAVEN PRIVATE EQUITY ASIA IV	/, L.P.		
(b	•			
	(include the "805-" prefix also)			
	805-5795406917			
2 11	nder the laws of what state or country is	the private fund organized.		
2. UI	State:	Country:		
	State.	Cayman Islands		
		•		
3. (a) Name(s) of General Partner, Manager,	Trustee, or Directors (or <i>persons</i> serving in a simil	ar capacity):	
N	ame of General Partner, Manager, Trus	stee, or Director		
N	ORGAN STANLEY PRIVATE EQUITY ASIA I	J, L.L.C.		
(b) If filing an <i>umbrella registration</i> , identify	y the filing adviser and/or relying adviser(s) that spo	onsor(s) or manage(s) this <i>private fund</i> .	
		No Ir	formation Filed	
4. Th	ne private fund (check all that apply; you	must check at least one):		
	(1) qualifies for the exclusion from the	definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
V	(2) qualifies for the exclusion from the	definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5. Li :	st the name and country, in English, of e	ach <i>foreign financial regulatory authority</i> with which	the <i>private fund</i> is registered.	
		No Ir	formation Filed	
				Yes No
6. (a) Is this a "master fund" in a master-fee	eder arrangement?		o 0

(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? Name of *private fund* Private fund identification number NORTH HAVEN PRIVATE EQUITY ASIA IV TE, L.P. 805-1954559482 Yes No (c) Is this a "feeder fund" in a master-feeder arrangement? \circ • (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following Additional Feeder Fund Information: 1 Record(s) Filed. 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following Name of the private fund: NORTH HAVEN PRIVATE EQUITY ASIA IV TE, L.P. Private fund identification number: (b) (include the "805-" prefix also) 805-1954559482 Under the laws of what state or country is the *private fund* organized: (c) State: Country: Cayman Islands (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director MORGAN STANLEY PRIVATE EQUITY ASIA IV, INC. (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. (f) No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes No (a) Is this private fund a "fund of funds"? \circ NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies (b) If yes, does the private fund invest in funds managed by you or by a related person?

During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market

0 0

Yes No

funds," to the extent provided in Instruction 6.e.)?		
10. What type of fund is the <i>private fund</i> ?		
O hedge fund O liquidity fund oprivate equity fund oprivate fund oprivate fund oprivate fund oprivate fund oprivate fund:		
NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11. Current gross asset value of the private fund: \$ 1,546,839,751		
<u>Ownership</u>		
12. Minimum investment commitment required of an investor in the <i>private fund</i> :		
\$ 2,000,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13. Approximate number of the <i>private fund's</i> beneficial owners:213		
14. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%		
15. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited qualified clients?	to C	
16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 73%		
Your Advisory Services	W = =	N 1-
17. (a) Are you a subadviser to this <i>private fund</i> ?	Yes	
(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question 17.	_	_
No Information Filed		
	Yes	No
18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	_
(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this blank.	s questic)N
No Information Filed		
	Yes	No
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	0
NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 8%		
Private Offering	Vos	No
21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	
22. If yes, provide the private fund's Form D file number (if any):		
Form D file number		
021-187488		

B. SERVICE PROVIDERS

(2) If the answer to question 23.(a)(1) is "yes," are the	e financial statements prepared in	accordance with U.S. GAAP?	
If the answer to question 23.(a)(1) is "yes," respond to	o questions (b) through (h) below	. If the <i>private fund</i> uses more than one auditing firm, you must complete questions	
separately for each auditing firm.			
Additional Auditor Information : 1 Record(s) Filed.			
If the answer to question 23.(a)(1) is "yes," respond through (f) separately for each auditing firm.	to questions (b) through (h) belo	ow. If the <i>private fund</i> uses more than one auditing firm, you must complete question	ns (b)
(b) Name of the auditing firm: ERNST & YOUNG			
(c) The location of the auditing firm's office responsible	ble for the <i>private fund's</i> audit (city	y, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes
(d) Is the auditing firm an independent public account	tant?		•
(a) In the could be found to a single the Dublic Co	and a second in a Constitute Research		
(e) Is the auditing firm registered with the Public Cor	mpany accounting Oversignt Board	a?	⊚
If yes, Public Company Accounting Oversight Boa	ard-Assigned Number:		
42			
(f) If "yes" to (e) above, is the auditing firm subject	to regular inspection by the Public	c Company Accounting Oversight Board in accordance with its rules?	•
			Υ
Are the private fund's audited financial statements for the	the most recently completed fiscal	year distributed to the <i>private fund's</i> investors?	
Do all of the reports prepared by the auditing firm for the	the <i>private fund</i> since your last <i>ann</i>	nual updating amendment contain unqualified opinions?	
If you check "Report Not Yet Received," you must prompt	tly file an amendment to your Form	ADV to update your response when the report is available.	
Prokon			
<u>Broker</u>			Υ
Does the <i>private fund</i> use one or more prime brokers?			
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) separately for	_	each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one pri	me broker, y
	No	Information Filed	
i <u>an</u>			Y
Does the <i>private fund</i> use any custodians (including the	e prime brokers listed above) to ho	old some or all of its assets?	_
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custod	dian, you mu
Additional Custodian Information : 13 Record(s) File	ed.		
If the answer to question 25.(a) is "yes," respond to complete questions (b) through (g) separately for each		or each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custo	odian, you m
22p. see questions (a) in ough (g) separately for car	in additional in		
(b) Legal name of custodian:			
BANK OF NEW YORK MELLON			

Yes No

HONG KONG		Hong Kong	
		Tiong Kong	Ye
e) Is the custodian a <i>related person</i>	of your firm?		0
f) If the custodian is a broker-deale	er, provide its SEC registration number (if any):		
- CRD Number (if any):			
g) If the custodian is not a broker-c	dealer, or is a broker-dealer but does not have an SI	EC registration number, provide its <i>legal entity identifier</i> (if any)	
f the answer to question 25.(a) is "yonglete questions (b) through (g) s		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	one custodian, you m
b) Legal name of custodian: CITIBANK			
c) Primary business name of custoo CITIBANK	lian:		
	ffice responsible for <i>custody</i> of the <i>private fund's</i> asse		
City: NEW YORK	State: New York	Country: United States	
e) Is the custodian a <i>related person</i>	of your firm?		Ye
f) If the custodian is a broker-deale	er, provide its SEC registration number (if any):		
-			
CRD Number (if any):			
g) If the custodian is not a broker-c	dealer, or is a broker-dealer but does not have an Si	EC registration number, provide its <i>legal entity identifier</i> (if any)	
f the answer to question 25.(a) is "yonn on the complete questions (b) through (g) s		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	one custodian, you r
-		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	one custodian, you r
omplete questions (b) through (g) s b) Legal name of custodian:	separately for each custodian.	each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	one custodian, you r
omplete questions (b) through (g) s b) Legal name of custodian: CITIBANK INDIA c) Primary business name of custod CITIBANK INDIA d) The location of the custodian's of	separately for each custodian. dian: ffice responsible for <i>custody</i> of the <i>private fund's</i> asse	ets (city, state and country):	one custodian, you n
omplete questions (b) through (g) s b) Legal name of custodian: CITIBANK INDIA c) Primary business name of custod CITIBANK INDIA	separately for each custodian.		
omplete questions (b) through (g) s b) Legal name of custodian: CITIBANK INDIA c) Primary business name of custod CITIBANK INDIA d) The location of the custodian's of	dian: ffice responsible for <i>custody</i> of the <i>private fund's</i> assesses	ets (city, state and country): Country:	Ye
 b) Legal name of custodian: CITIBANK INDIA c) Primary business name of custod CITIBANK INDIA d) The location of the custodian's of City: MUMBAI e) Is the custodian a related person 	dian: ffice responsible for <i>custody</i> of the <i>private fund's</i> assesses	ets (city, state and country): Country:	Ye
 b) Legal name of custodian: CITIBANK INDIA c) Primary business name of custod CITIBANK INDIA d) The location of the custodian's of City: MUMBAI e) Is the custodian a related person 	dian: ffice responsible for <i>custody</i> of the <i>private fund's</i> associate: of your firm?	ets (city, state and country): Country:	one custodian, you n

If the answer to question 25.(a) is "y complete questions (b) through (g) s			ach custodian the <i>private f</i>	fund uses. If the <i>private fund</i> uses	more than one custodian, you must
(b) Legal name of custodian: CITIBANK NA AGENCY & TRUST					
(c) Primary business name of custoo CITIBANK NA AGENCY & TRUST	dian:				
(d) The location of the custodian's or City:		stody of the <i>private fund's</i> asset State:	es (city, state and country) Country		
NEW YORK		New York	United S		
(e) Is the custodian a related person	of your firm?				Yes No
(f) If the custodian is a broker-deale	er, provide its SEC regi	stration number (if any):			
CRD Number (if any):					
If the answer to question 25.(a) is "y	yes," respond to questi	ions (b) through g) below for ea			
complete questions (b) through (g) s (b) Legal name of custodian: CTBC BANK	separately for each cus	stodian.			
(c) Primary business name of custoo CTBC BANK	dian:				
(d) The location of the custodian's or	ffice responsible for <i>cu</i>	stody of the <i>private fund's</i> asset	rs (city, state and country)	:	
City:	State:	Country:	thin a		
?TAIPEI		Taiwan, Republic of C	nina		Yes No
(e) Is the custodian a related person	of your firm?				○ ●
(f) If the custodian is a broker-deale	er, provide its SEC regi	stration number (if any):			
- CRD Number (if any):					
(g) If the custodian is not a broker-o	dealer, or is a broker-d	lealer but does not have an SEC	C registration number, prov	vide its <i>legal entity identifier</i> (if any	()
If the answer to question 25.(a) is "y complete questions (b) through (g) s			ach custodian the <i>private f</i>	<i>fund</i> uses. If the <i>private fund</i> uses	more than one custodian, you must
(b) Legal name of custodian: EVERBRIGHT SECURITIES					
(c) Primary business name of custod EVERBRIGHT SECURITIES	dian:				
(d) The location of the custodian's or	ffice responsible for <i>cu</i>	,	s (city, state and country)		
City: SHANGHAI		State:		Country: China	
					Yes No
(e) Is the custodian a related person	of your firm?				○ ●

(f) If the custodian is a broker-dealer, provide it	s SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is	a broker-dealer but does not have an SEC req	istration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," respon complete questions (b) through (g) separately fo		custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, you must
(b) Legal name of custodian: HSBC HONG KONG			
(c) Primary business name of custodian: HSBC HONG KONG			
(d) The location of the custodian's office respons	sible for <i>custody</i> of the <i>private fund's</i> assets (c	ty, state and country):	
City: HONG KONG	State:	Country: Hong Kong	
			Yes N
(e) Is the custodian a <i>related person</i> of your firm	?		0 6
(f) If the custodian is a broker-dealer, provide it	s SEC registration number (if any):		
-			
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is	a broker-dealer but does not have an SEC reg	istration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is "yes," respon complete questions (b) through (g) separately fo		custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, you must
(b) Legal name of custodian: HSBC INDIA			
(c) Primary business name of custodian: HSBC INDIA			
(d) The location of the custodian's office respons	sible for <i>custody</i> of the <i>private fund's</i> assets (c	ty, state and country):	
City: MUMBAI	State:	Country: India	
WUWDAI		IIIdia	Yes No
(e) Is the custodian a related person of your firm	?		0 @
(f) If the custodian is a broker-dealer, provide it	s SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is	a broker-dealer but does not have an SEC req	istration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is "yes," respon complete questions (b) through (g) separately for		custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, you must
(b) Legal name of custodian:			
,a			

NH INVESTMENT & SECURITIES CO. LTD

(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: SEOUL Korea, South
Ye (e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
- CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you make the complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: PIRAEUS BANK GROUP
(c) Primary business name of custodian: PIRAEUS BANK GROUP
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):
City: State: Country: ATHENS Greece
Ye (e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
- CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you me complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: SBI CHINA CAPITAL
(c) Primary business name of custodian: SBI CHINA CAPITAL
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country:
HONG KONG Hong Kong Ye
(e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
- CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)

(b) Legal name of clistogian.			
(b) Legal name of custodian: WOORI BANK			
(c) Primary business name of custodian: WOORI BANK			
(d) The location of the custodian's office	responsible for <i>custody</i> of the <i>private fund</i>	d's assets (city, state and country):	
City: SEOUL	State:	Country: Korea, South	
32002		Korea, South	
(e) Is the custodian a related person of you	our firm?		
(f) If the custodian is a broker-dealer, pr	ovide its SEC registration number (if any)):	
- CRD Number (if any):			
(g) If the custodian is not a broker-deale	r, or is a broker-dealer but does not have	e an SEC registration number, provide its legal entity identifier (if any)	
•		ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, yc
complete questions (b) through (g) separ		ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, yo
complete questions (b) through (g) separ (b) Legal name of custodian: YUANTA SECURITIES		ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, yo
complete questions (b) through (g) separ (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian:	rately for each custodian.		e than one custodian, yo
complete questions (b) through (g) separately (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office City:	rately for each custodian.	d's assets (city, state and country): Country:	e than one custodian, yo
complete questions (b) through (g) separately (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office	responsible for <i>custody</i> of the <i>private fund</i>	d's assets (city, state and country):	e than one custodian, yo
complete questions (b) through (g) separations (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office City:	responsible for <i>custody</i> of the <i>private fund</i> State:	d's assets (city, state and country): Country:	e than one custodian, yo
complete questions (b) through (g) separ (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office City: HONG KONG	responsible for <i>custody</i> of the <i>private fund</i> State:	d's assets (city, state and country): Country: Hong Kong	e than one custodian, yo
 complete questions (b) through (g) separ (b) Legal name of custodian:	responsible for <i>custody</i> of the <i>private fund</i> State:	d's assets (city, state and country): Country: Hong Kong	e than one custodian, yo
complete questions (b) through (g) separ (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office City: HONG KONG (e) Is the custodian a related person of you (f) If the custodian is a broker-dealer, pro- CRD Number (if any):	responsible for <i>custody</i> of the <i>private fund</i> State: Our firm? Provide its SEC registration number (if any)	d's assets (city, state and country): Country: Hong Kong	e than one custodian, yo
 complete questions (b) through (g) separ (b) Legal name of custodian: YUANTA SECURITIES (c) Primary business name of custodian: YUANTA SECURITIES (d) The location of the custodian's office City: HONG KONG (e) Is the custodian a related person of you (f) If the custodian is a broker-dealer, procedure. CRD Number (if any): 	responsible for <i>custody</i> of the <i>private fund</i> State: Our firm? Provide its SEC registration number (if any)	d's assets (city, state and country): Country: Hong Kong):	e than one custodian, yo

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f)

separately for each administrator.

separately for each administrator.

(b) Name of administrator:

Additional Administrator Information : 1 Record(s) Filed.

(c) Location of administrator (city, statCity:NEW YORK	e and country): State:	Country	
-	State.	Country:	
	New York	United States	
(d) Is the administrator a <i>related perso</i>	on of your firm?		Yes No
(a) 13 the duministrator a related perso	aror your mine		0 0
(e) Does the administrator prepare an	d send investor account statements to the pri	ivate fund's investors?	
$_{ m C}$ Yes (provided to all investors) $_{ m C}$	Some (provided to some but not all investors	s) No (provided to no investors)	
•	"no" or "some," who sends the investor accound's investors, respond "not applicable."	unt statements to the (rest of the) private fund's investors? If investor account statements	re not
de only those assets where (i) such <i>per</i> s	son carried out the valuation procedure establi	lued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ? shed for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation unding allocations) was the valuation determined by such <i>person</i> .	sed for
<u>s</u>			
			Yes N
·	someone other than you or your <i>employees</i> fo		©
		der, introducer, municipal advisor or other solicitor, or similar person. If the answer to questi	
	(9)	fund uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b)	till ough (t
eparately for each marketer.		<i>und</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b)	Tirougii ((
eparately for each marketer. Additional Marketer Information : 5 Re	ecord(s) Filed.		
eparately for each marketer. Additional Marketer Information: 5 Re You must answer "yes" whether the pe	ecord(s) Filed. erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the p	fund uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questions or the fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions.	tion 28.
eparately for each marketer. Additional Marketer Information: 5 Re You must answer "yes" whether the per (a) is "yes," respond to questions (b) the	ecord(s) Filed. erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the page.	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to que	tion 28.
Additional Marketer Information: 5 Reservoir Marketer Information: 5 Reser	ecord(s) Filed. erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the page.	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to que	tion 28. tions (b)
You must answer "yes" whether the per (a) is "yes," respond to questions (b) through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: BANK MORGAN STANLEY AG	ecord(s) Filed. erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the page.	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to ques	tion 28. tions (b)
Additional Marketer Information: 5 Re You must answer "yes" whether the per (a) is "yes," respond to questions (b) through (g) separately for each market (b) Is the marketer a related person of the marketer: BANK MORGAN STANLEY AG	erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the per.	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to ques	tion 28. tions (b)
Additional Marketer Information: 5 Re You must answer "yes" whether the pe (a) is "yes," respond to questions (b) the through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: BANK MORGAN STANLEY AG (d) If the marketer is registered with the and CRD Number (if any):	erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the per.	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to quest private fund uses. If the <i>private fund</i> uses more than one marketer, you must complete quest	tion 28. tions (b)
Parately for each marketer. Additional Marketer Information: 5 Reserved Marketer Information: 6 Parketer Information	ecord(s) Filed. erson acts as a placement agent, consultant, fi hrough (g) below for each such marketer the parer. your firm? ne SEC, its file number (e.g., 801-, 8-, or 866-)	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questing the private fund uses more than one marketer.	tion 28. tions (b)
You must answer "yes" whether the per (a) is "yes," respond to questions (b) the through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: BANK MORGAN STANLEY AG (d) If the marketer is registered with the and CRD Number (if any):	ecord(s) Filed. erson acts as a placement agent, consultant, filthrough (g) below for each such marketer the parer. your firm? sed principally by the private fund (city, state and ecord)	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questing the private fund uses more than one marketer.	tion 28. cions (b) Yes No
You must answer "yes" whether the per (a) is "yes," respond to questions (b) the through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: BANK MORGAN STANLEY AG (d) If the marketer is registered with the and CRD Number (if any): (e) Location of the marketer's office us City: ZURICH	ecord(s) Filed. erson acts as a placement agent, consultant, filthrough (g) below for each such marketer the parer. your firm? sed principally by the private fund (city, state and ecord)	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questing the private fund uses more than one marketer.	tion 28. tions (b)
Additional Marketer Information: 5 Re You must answer "yes" whether the pe (a) is "yes," respond to questions (b) the through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: BANK MORGAN STANLEY AG (d) If the marketer is registered with the and CRD Number (if any): (e) Location of the marketer's office us City: ZURICH	erson acts as a placement agent, consultant, find hrough (g) below for each such marketer the precion of the second of the secon	inder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questing the private fund uses more than one marketer.	tion 28. tions (b) Yes No Yes No

 \circ \circ

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

(b) Is the marketer a related person of your firm?

(c) Name of the marketer: MORGAN STANLEY			
(d) If the marketer is registered with a 801 - 70103 and CRD Number (if any): 149777	the SEC, its file number (e.g., 801-, 8-, or 866-):		
(e) Location of the marketer's office u	sed principally by the <i>private fund</i> (city, state and	country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes No
(f) Does the marketer market the principle.	vate fund through one or more websites?		0
(g) If the answer to question 28.(f) is		Information Filed	
 (a) is "yes," respond to questions (b) through (g) separately for each market (b) Is the marketer a related person of (c) Name of the marketer: MORGAN STANLEY BANK INTERNAT 	through (g) below for each such marketer the <i>pri</i> veter. f your firm?	er, introducer, municipal advisor or other solicitor, or vate fund uses. If the private fund uses more than one	
and CRD Number (if any):			
(e) Location of the marketer's office u	sed principally by the <i>private fund</i> (city, state and	country):	
City:	State:	Country:	
LONDON		United Kingdom	Yes No
(f) Does the marketer market the principal of the control of the c	vate fund through one or more websites?		○ ●
(g) If the answer to question 28.(f) is	s "yes," list the website address(es):		
		Information Filed	
	through (g) below for each such marketer the private	er, introducer, municipal advisor or other solicitor, or vate fund uses. If the private fund uses more than one	
(b) Is the marketer a related person of	f your firm?		Yes No ⊙ ○
(c) Name of the marketer: MORGAN STANLEY PRIVATE WEALT	H MANAGEMENT LIMITED		
(d) If the marketer is registered with	the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office u	sed principally by the <i>private fund</i> (city, state and State:	country): Country:	

LONDON	U	nited Kingdom	
			Υ
(f) Does the marketer market the priva	ate fund through one or more websites?		(
(g) If the answer to question 28.(f) is	yes," list the website address(es):		
	No Infor	rmation Filed	
	nrough (g) below for each such marketer the private t	ntroducer, municipal advisor or other solicitor, or similar <i>person</i> fund uses. If the <i>private fund</i> uses more than one marketer, yo	
			Y
(b) Is the marketer a related person of	our firm?		•
(c) Name of the marketer: MORGAN STANLEY WEALTH MANAGE	MENT AUSTRALIA PTY LTD.		
(d) If the marketer is registered with the	e SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office us	ed principally by the <i>private fund</i> (city, state and coun	try):	
City:	State:	Country:	
SYDNEY		Australia	`
(f) Does the marketer market the priva	ate fund through one or more websites?		•
(g) If the answer to question 28.(f) is	yes," list the website address(es):		
	No Infor	rmation Filed	
E FUND			
on About the <i>Private Fund</i>			
Name of the <i>private fund</i> :			
NORTH HAVEN PRIVATE EQUITY ASIA SPEC	CIALTY CHEMICALS, L.P.		
Private fund identification number:			
include the "805-" prefix also)			

- 805-3862318871
- 2. Under the laws of what state or country is the *private fund* organized:

State: Country:

Cayman Islands

(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director MORGAN STANLEY PRIVATE EQUITY ASIA III, L.L.C.

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

		Yes	
6.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	0	•
	No Information Filed		
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?		•
	Name of private fund:		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	ī.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:	ng	
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	C
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes	
10.	. What type of fund is the <i>private fund</i> ?		
	C hedge fund C liquidity fund P private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private fund:		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the private fund:		
	\$ 3,124,476		
<u>Ov</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 200,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 17		
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		

0%

No Information Filed

(b	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	о О	0
	that is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 9%		
Your A	Advisory Services		
17. (a) Are you a subadviser to this <i>private fund</i> ?		s No
) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this questio		
	No Information Filed		
		Yes	s No
18. (a	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?		•
(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this blank.		
	No Information Filed		
		Yes	s No
19. Ar	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
No	OTE: For purposes of this question, do not consider feeder funds of the private fund.		
20. Ap	oproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
09	%		
Dutant			
Privat	e Offering	Var	s No
21. Ha	as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		0
		٠	0
22. If	yes, provide the <i>private fund's</i> Form D file number (if any):		
F	orm D file number		
0	21-99999999		
B. SER\	VICE PROVIDERS		
Audito	ors_		
		Ye	s No
23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) throus separately for each auditing firm.	ugh (f)	l
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b)		
	through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	DELOITTE & TOUCHE, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: Country:		
	City: State: Country: NEW YORK New York United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	34		
	(f) If "ves" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	_	
	II UZ II. VES TO LET ADOVE, IS THE AUGUNA HITH SUDJECT TO FEGUIAL HISDECTION DV THE PUDIIC COMBANY ACCOUNTING OVERSION BOARD IN ACCOMBANCE WITH ITS MILESY	(C)	F 3

			Yes N
(g) Are the private fund's audited financial stateme	nts for the most recently completed fiscal y	ear distributed to the <i>private fund's</i> investors?	⊙ (
(h) Do all of the reports prepared by the auditing f	rm for the private fund since your last annu	al updating amendment contain unqualified opinions?	
If you check "Report Not Yet Received," you mus	t promptly file an amendment to your Form A	DV to update your response when the report is available.	
me Broker			Voc. N
. (a) Does the <i>private fund</i> use one or more prime b	rokers?		Yes N
If the answer to question 24.(a) is "yes," respondent to the responding to the second responding		each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses me	ore than one prime broker, you
	No !	nformation Filed	
<u>stodian</u>			Yes N
. (a) Does the <i>private fund</i> use any custodians (inclu	ding the prime brokers listed above) to ho	d some or all of its assets?	© (
If the answer to question 25.(a) is "yes," responsible to the complete questions (b) through (g) separately	·	each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	than one custodian, you must
Additional Custodian Information : 2 Record	(s) Filed.		
If the answer to question 25.(a) is "yes," rescomplete questions (b) through (g) separate (b) Legal name of custodian: CITIBANK		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mor	e than one custodian, you must
(c) Primary business name of custodian: CITIBANK			
(d) The location of the custodian's office res	oonsible for <i>custody</i> of the <i>private fund's</i> as	sets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	V N-
(e) Is the custodian a <i>related person</i> of your	firm?		Yes No
(f) If the custodian is a broker-dealer, provi	de its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer, o	r is a broker-dealer but does not have an S	EC registration number, provide its <i>legal entity identifier</i> (if any)	
If the answer to question 25.(a) is "yes," rescomplete questions (b) through (g) separate		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mor	e than one custodian, you must
(b) Legal name of custodian: HSBC HK			
(c) Primary business name of custodian: HSBC HK			
(d) The location of the custodian's office res	oonsible for <i>custody</i> of the <i>private fund's</i> as	sets (city, state and country):	
City:	State:	Country:	

China

HONG KONG

	(e) Is the custodian a related person	of your firm?		Yes No
	(f) If the custodian is a broker-deale	, provide its SEC registration number (if any):		
	- CRD Number (if any):			
	(g) If the custodian is not a broker-d	ealer, or is a broker-dealer but does not have an S	EC registration number, provide its <i>legal entity identifier</i> (if any)	
Adminis	<u>trator</u>			Yes No
	Does the <i>private fund</i> use an administra			⊙ ○
	If the answer to question 26.(a) is "yes separately for each administrator.	" respond to questions (b) through (f) below. If th	e <i>private fund</i> uses more than one administrator, you must comp	lete questions (b) through (f)
	Additional Administrator Information	: 1 Record(s) Filed.		
	If the answer to question 26.(a) is "y separately for each administrator.	es," respond to questions (b) through (f) below. If	the <i>private fund</i> uses more than one administrator, you must con	nplete questions (b) through (f)
	(b) Name of administrator: APEX FUND AND CORPORATE SER	/ICES NEW YORK LLC		
	(c) Location of administrator (city, st	ate and country):		
	City: NEW YORK	State: New York	Country: United States	
	(d) Is the administrator a related pers	on of your firm?		Yes No
		nd send investor account statements to the <i>private</i> Some (provided to some but not all investors)		
		s "no" or "some," who sends the investor account nd's investors, respond "not applicable."	statements to the (rest of the) private fund's investors? If invest	or account statements are not
0% Incli	ude only those assets where (i) such <i>pe</i>	rson carried out the valuation procedure establishe	d by a <i>person</i> , such as an administrator, that is not your <i>related p</i> and for that asset, if any, including obtaining any relevant quotes, g allocations) was the valuation determined by such <i>person</i> .	
Markete	e <u>rs</u>			Yes No
• • •	You must answer "yes" whether the <i>pe</i>		narketing purposes? introducer, municipal advisor or other solicitor, or similar <i>person</i> . uses. If the <i>private fund</i> uses more than one marketer you must	C © If the answer to question 28.(a) is
		No Ir	nformation Filed	
A. PRIVAT	E FUND			
<u>ınformat</u>	ion About the <i>Private Fund</i>			

1. (a) Name of the private fund:

	(b) Private fund identification number: (include the "805-" prefix also)		
	805-1988962831		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Canada		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
	The Third Hadden Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes I	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes I	Nia
	(c) Is this a "feeder fund" in a master-feeder arrangement?	O	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	~	~
	Name of private fund:		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	-	
	reporting on the runus separatery.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following	ng	
	questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund" would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests.	"). A fui	nd
	would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes I	No
8.	(a) Is this private fund a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.	o private	9
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		~	
		Yes I	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the outent provided in Instruction 6 o 22	0	\odot
	funds," to the extent provided in Instruction 6.e.)?		
10	What type of fund is the private fund?		

_ _

	O hedge fund O liquidity fund o private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private fund</i> :	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
1.	Current gross asset value of the <i>private fund</i> : \$ 287,654,133	
<u>w</u>	<u>vnership</u>	
2.	Minimum investment commitment required of an investor in the <i>private fund</i> :	
	\$ 10,000,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	
3.	Approximate number of the <i>private fund's</i> beneficial owners: 107	
4.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%	
5.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes No
	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 97%	
oL	ur Advisory Services	
7	(a) Are you a subadviser to this <i>private fund</i> ?	Yes No
1.	(a) Are you a subadviser to this <i>private runa?</i> (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question	O O
	No Information Filed	DIATIK.
	The information riled	Yes No
8.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0 0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is "no," leave this question blank.	
	No Information Filed	
		Yes No
9.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0 0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
Priv	vate Offering	Yes No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	• °
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number	
	021-324094	
SI	ERVICE PROVIDERS	
\ <u>uc</u>	<u>ditors</u>	V 5-
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes No

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(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

In the answer to question 23.(2)(1) is "yes," respond to questions (a) through (h) below. If the private rund uses more than one auditing tirm, you must complete questioning (n) separately for each auditing tirm. (6) Name or the auditing tirms against filter corporabile for the private Anadix Anadix (play, state and country): Little 3 YOUNG (6) The translating firm against parties repaired in accordance of the private Anadix (play, state and country): New YORK (6) Is the subliding firm against parties repaired repaired Assigned Numbers (6) Is the subliding firm against parties repaired Assigned Numbers (7) If yes, Public Company Accounting Oversight Board Assigned Numbers (8) It the subliding firm against parties repaired assigned Numbers (9) It they should company Accounting Oversight Board Assigned Numbers (9) It they should fine repair the subliding firm must private for a since your last annual auditing amendment company accounting Oversight Board Assigned Numbers (9) It they should be a subling firm they be additing firm to the private for since your last annual auditing amendment company accounting Oversight Board in accordance with its rules? (9) It they should be a subling firm to the private force since your last annual auditing amendment company accounting Oversight Board in accordance with its rules? (9) It is the private Anad use one or more prime brokers (9) You dead. Napart Not for Reported a subling firm for the private force since your last annual auditing amendment on an unqualified opinions? (9) You dead. Napart Not for Reported and use one or more prime brokers (1) Uses the private Anad use one or more prime brokers (1) Uses the private Anad use one or more prime brokers (2) It the answer to question 24 (a) is "yes," respond to questions (a) through (a) below for each custodian the private Anad uses. If the private Anad uses more than one conditions questions (b) through (c) separately for each custodian. (8) It pre insert of particles in the private Anad uses fire trees that	Yes No O O Yes N
(3) The location of the auditing firms office responsible for the private runds audit (dity, state and country). Gity State Country. New York United States (b) Is the auditing firm an <i>isospecialed public accounthoot</i> (c) Is the auditing firm registered with the Public Company Accounting Oversight Board? If you, Public Company Accounting Oversight Board-Assigned Number 42 (ii) If "yea" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? And the private fund's audited financial statements for the most recently completed fiscal year distributed (a) the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual specifing amendment contain unqualified opinions? On all of the reports prepared by the auditing firm for the private fund since your last annual specifing amendment contain unqualified opinions? On all of the reports prepared by the auditing firm for the private fund since your last annual specifing amendment contains unqualified opinions? On all of the reports prepared by the auditing firm for the private fund since your last annual specifing amendment contains unqualified opinions? On all of the reports prepared by the auditing firm for the private fund use in the report is available. For the reports prepared by the auditing firm for the private fund uses. If the private fund uses more than one or complete questions (b) through (c) separately for each questions (b) through (c) below for each custodian the private fund uses. If the private fund uses more than one or complete questions (b) through (c) separately for each custodian. Additional Custodian Information : 5 Record(c) Filed. If the answer to questions (b) through (c) separately for each custodian.	⊙○○○
City NOW YORK New York United States (a) Is the auditing time an independent public accountant? (b) Is the auditing time registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 42 (b) It "yes" to (e) above, is the auditing time subject to regular inspection by the Public Company Accounting Oversight Board in accordance with the rules? Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private funds investors? Do all of the reports prepared by the auditing firm for the private And since your less annual opdating amendment contain unqualified opinions? One Company Accounting Oversight Board in accordance with the rules? If you check "Report Not Vict Received," you must premptly file an amendment to your Form ADV to update your response when the report is available, object Object the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one must complete questions (b) through (f) separately for each pushed above) to held some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one of complete question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one of complete question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one of complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 5 Record(s) Filed. If the unswer to question (b) through (g) separately for each custodian.	⊙○○○
State New York New Yo	⊙○○○
(d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 42 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing firm tor the private fund since your instance of containing oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing firm tor the private fund since your response oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing Oversight Board in accordance with its rules? (g) If "yes" to (e) above, is the auditing Oversight Board In accordance with its rules? (g) If "yes" to (e) above, is the auditing Oversight Board In accordance with its rules? (g) If "yes" to (e) above, is the auditing Oversight Board In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If "yes" to (e) above, is the auditing Oversight Board In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If the private fund is auditing In accordance with its rules? (g) If a pure rules auditing In accordance with Its rul	⊙○○○
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 42 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (a) the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? (a) all or the reports prepared by the auditing firm for the private fund since your last annual updating amonament contain unqualified opinions? (b) Yes C No C Report Not Yet Received. You which "Report Not Yet Received," you must promptly file an amonament to your Form ADIV to update your response when the report is available. Near you should be used one or more prime brokers? The answer to question 24 (a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the private fund uses more than one used complete questions (b) through (c) separately for each custodian. No Information Filed In the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one or more prime broker is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one or more prime to questions (b) through (g) separately for each custodian.	⊙○
If yes, Public Company Accounting Oversight Board-Assigned Number 42 (b) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? (c) the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? (c) all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? (d) Yes © No © Report Not Yet Received. "you must promptly file an amendment to your Form ADV to update your response when the report is available. (keer des the private fund use one or more prime brokers? The answer to question 24 (a) is "yes," respond to questions (b) through (a) below for each prime broker the private fund uses. If the private fund uses more than one used complete questions (b) through (c) separately for each prime broker. No Information Filed Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? The answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one complete questions (b) through (g) separately for each custodian. (dditional Custodian Information : 5 Record(s) Filed. If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one complete questions (b) through (g) separately for each custodian.	⊙ ○
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complete questions (b) through (g) separately for each custodian.	
complete questions (b) through (g) separately for each custodian.	custodian, you must
(b) Logal name of custodian:	
CITIBANK CHINA	
(c) Primary business name of custodian: CITIBANK CHINA	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
City: State: Country:	
SHANGHAI China	

(e) Is the custodian a <i>related person</i> of you	ır firm?		0 0
(f) If the custodian is a broker-dealer, pro-	vide its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer,	or is a broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," r complete questions (b) through (g) separa	· · · · · · · · · · · · · · · · · · ·	for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must
(b) Legal name of custodian: CITIBANK NA			
(c) Primary business name of custodian: CITIBANK NA			
City:	esponsible for <i>custody</i> of the <i>private fund's</i> as	Country:	
NEW YORK (e) Is the custodian a <i>related person</i> of you	New York ur firm?	United States	Yes No
(f) If the custodian is a broker-dealer, pro-			
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer,	or is a broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," r complete questions (b) through (g) separa	· · · · · · · · · · · · · · · · · · ·	for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must
(b) Legal name of custodian: HSBC INDIA			
(c) Primary business name of custodian: HSBC INDIA			
(d) The location of the custodian's office re City: MUMBAI	esponsible for <i>custody</i> of the <i>private fund's</i> as State:	assets (city, state and country): Country: India	
(e) Is the custodian a related person of you	ır firm?		Yes No
(f) If the custodian is a broker-dealer, pro-	vide its SEC registration number (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dealer,	or is a broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)	
If the answer to question 25.(a) is "yes," r complete questions (b) through (g) separa		for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	ore than one custodian, you must

(b) Legal name of custodian:

(d) The leasting of thet!' '	c office recognible for and fil	private fundia acceta (alt.: -t-1	nd country):	
City:	s office responsible for <i>custody</i> of the <i>p</i>	orivate fund's assets (city, state a State:	na country): Country:	
SINGAPORE		State.	Singapore	
(e) Is the custodian a related per	son of your firm?			
(f) If the custodian is a broker-de	ealer, provide its SEC registration numb	per (if any):		
- CRD Number (if any):				
(g) If the custodian is not a brok	er-dealer, or is a broker-dealer but doe	es not have an SEC registration r	umber, provide its <i>legal entity identifier</i> (if	any)
If the answer to question 25.(a) icomplete questions (b) through (ugh g) below for each custodian	the <i>private fund</i> uses. If the <i>private fund</i> us	es more than one custodian, y
(b) Legal name of custodian: STANDARD CHARTERED BANK				
(c) Primary business name of cus STANDARD CHARTERED BANK	stodian:			
(d) The location of the custodian	s office responsible for <i>custody</i> of the p	orivate fund's assets (city, state a	nd country):	
City: SEOUL	State:	Country: Korea, Sou	+h	
SEOUL		Korea, Sou	ui	
(e) Is the custodian a related per	son of your firm?			
(f) If the custodian is a broker-de	ealer, provide its SEC registration numb	per (if any):		
- CRD Number (if any):				
(g) If the custodian is not a brok	er-dealer, or is a broker-dealer but doe	es not have an SEC registration r	umber, provide its <i>legal entity identifier</i> (if	any)
<u>ator</u>				
oes the <i>private fund</i> use an admin	istrator other than your firm?			
the answer to question 26.(a) is eparately for each administrator.	'yes," respond to questions (b) through	n (f) below. If the <i>private fund</i> us	es more than one administrator, you must	complete questions (b) throug

Country:

United States

Yes No

 \odot

KGI (SINGAPORE)

separately for each administrator.

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

State:

New York

(c) Location of administrator (city, state and country):

(d) Is the administrator a *related person* of your firm?

(b) Name of administrator:

City:

NEW YORK

	(e) Does the administrator prepare and send investor account statements to the private fund's investors?	
	O Yes (provided to all investors) O Some (provided to some but not all investors) ⊙ No (provided to no investors)	
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable." SEI INVESTOR SERVICES GROUP	
27. D	uring your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?	
0'	%	
	nclude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for uses of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i> .	
Marke	<u>eters</u>	
	Ye	es No
28. (a	a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.("yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through separately for each marketer.	

Additional Marketer Information : 7 Record(s) Filed.

<u> </u>	(b) through (g) below for each such marketer the priva	er, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If ate fund uses. If the <i>private fund</i> uses more than one marketer, you	·
			Yes No
(b) Is the marketer a related person	on of your firm?		⊙ ○
(c) Name of the marketer: MORGAN STANLEY			
(d) If the marketer is registered w 801 - 70103 and CRD Number (if any): 149777	rith the SEC, its file number (e.g., 801-, 8-, or 866-):		
(e) Location of the marketer's office	ce used principally by the <i>private fund</i> (city, state and c	country):	
City:	State:	Country:	
PURCHASE	New York	United States	
	e private fund through one or more websites?		Yes No ○ •
(g) If the answer to question 28.(f) is "yes," list the website address(es):	nformation Filed	

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28. (a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

Yes No
(b) Is the marketer a *related person* of your firm?

©

(c) Name of the marketer:

MORGAN STANLEY & CO. INTERNATIONAL PLC, LONDON, ZURICH BRANCH

(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):

and CRD Number (if any):

(e) Location of the marketer's office used principally City:	by the <i>private fund</i> (city, state and cound State:	try): Country:	
ZURICH		Switzerland	
Door the marketer market the private fund through	ah ana ar mara wahaitas?		Yes
f) Does the marketer market the <i>private fund</i> through	gir one or more websites?		O
g) If the answer to question 28.(f) is "yes," list the			
	No Infor	mation Filed	
	- · · · · · · · · · · · · · · · · · · ·	stroducer, municipal advisor or other solicitor, or similar fund uses. If the <i>private fund</i> uses more than one marke	
			Yes
b) Is the marketer a <i>related person</i> of your firm?			•
(c) Name of the marketer:			
MORGAN STANLEY ASIA INTERNATIONAL LIMITED			
(d) If the marketer is registered with the SEC, its file	e number (<i>e.g.</i> , 801-, 8-, or 866-):		
and CRD Number (if any):			
and one warned (it ally).			
(e) Location of the marketer's office used principally	by the <i>private fund</i> (city, state and coun	try):	
City:	State:	Country:	
KOWLOON		Hong Kong	Yes
(f) Does the marketer market the private fund through	gh one or more websites?		O
(g) If the answer to question 28.(f) is "yes," list the	wahsita addrass(as):		
(g) If the answer to question 20.(1) is yes, list the		mation Filed	
		stroducer, municipal advisor or other solicitor, or similar fund uses. If the <i>private fund</i> uses more than one marke	
imough (g) separately for each marketer.			
(b) Is the marketer a related person of your firm?			Yes ≀ ⊙
(e) to the marketer a related percent of year mini			•
(c) Name of the marketer: MORGAN STANLEY ASIA INTERNATIONAL LIMITED,	SINGAPORE RRANCH		
MORGAN STANLET ASIA INTERNATIONAL LIMITED,	, SINGALORE BRANCH		
(d) If the marketer is registered with the SEC, its file	: number (<i>e.g.</i> , 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office used principally City:	by the <i>private fund</i> (city, state and counselection) State:	try): Country:	
SINGAPORE		Singapore	
(f) Does the marketer market the <i>private fund</i> through	gh one or more websites?		Yes I
			~
(g) If the answer to question 28.(f) is "yes," list the	website address(es):		

No Information Filed

			Yes
(b) Is the marketer a <i>related persor</i>	n of your firm?		· res
c) Name of the marketer: MORGAN STANLEY INVESTMENT	MANAGEMENT (JAPAN) CO., LTD.		
d) If the marketer is registered wi	th the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office	e used principally by the <i>private fund</i> (city, state and country):		
City:	State:	Country:	
TOKYO		Japan	Yes
Does the marketer market the	private fund through one or more websites?		0
(g) If the answer to question 28.(f)) is "yes," list the website address(es): No Information Fil		
b) Is the marketer a <i>related persor</i>	n of your firm?		Yes O
•	n of your firm?		
(c) Name of the marketer: PICTON S.A.	n of your firm? th the SEC, its file number (e.g., 801-, 8-, or 866-):		
(c) Name of the marketer: PICTON S.A.			
(c) Name of the marketer: PICTON S.A. (d) If the marketer is registered with any CRD Number (if any):			
c) Name of the marketer: PICTON S.A. d) If the marketer is registered with and CRD Number (if any): e) Location of the marketer's office City:	th the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):	Country:	
c) Name of the marketer: PICTON S.A. d) If the marketer is registered with and CRD Number (if any): e) Location of the marketer's office	th the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): e used principally by the <i>private fund</i> (city, state and country):	Country: Chile	
(c) Name of the marketer: PICTON S.A. (d) If the marketer is registered with any cand CRD Number (if any): (e) Location of the marketer's office City: SANTIAGO	th the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): e used principally by the <i>private fund</i> (city, state and country):		0
(c) Name of the marketer: PICTON S.A. (d) If the marketer is registered with any cand CRD Number (if any): (e) Location of the marketer's office City: SANTIAGO (f) Does the marketer market the	th the SEC, its file number (e.g., 801-, 8-, or 866-): e used principally by the private fund (city, state and country): State: private fund through one or more websites?) is "yes," list the website address(es):	Chile	Yes
(c) Name of the marketer: PICTON S.A. (d) If the marketer is registered with any cand CRD Number (if any): (e) Location of the marketer's office City: SANTIAGO (f) Does the marketer market the	th the SEC, its file number (e.g., 801-, 8-, or 866-): e used principally by the <i>private fund</i> (city, state and country): State: private fund through one or more websites?	Chile	Yes
(c) Name of the marketer: PICTON S.A. (d) If the marketer is registered with any cand CRD Number (if any): (e) Location of the marketer's office City: SANTIAGO (f) Does the marketer market the grade of the answer to question 28. (f)	th the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): e used principally by the <i>private fund</i> (city, state and country): State: private fund through one or more websites? No Information File	Chile ed	Yes
c) Name of the marketer: PICTON S.A. d) If the marketer is registered with an and CRD Number (if any): e) Location of the marketer's office City: SANTIAGO f) Does the marketer market the parameter of the marketer market the parameter of the marketer market the parameter of the marketer market the parameter market the parameter of the marketer market the parameter of the marketer market the parameter market market the parameter market market the parameter market ma	th the SEC, its file number (e.g., 801-, 8-, or 866-): e used principally by the private fund (city, state and country): State: private fund through one or more websites? No Information File e person acts as a placement agent, consultant, finder, introducer, in the by through (g) below for each such marketer the private fund uses.	ed municipal advisor or other solicitor, or similar <i>perso</i>	Yes O O O O O O O O O O O O O
(d) If the marketer is registered with and CRD Number (if any): (e) Location of the marketer's office City: SANTIAGO (f) Does the marketer market the grade (g) If the answer to question 28. (f)	th the SEC, its file number (e.g., 801-, 8-, or 866-): e used principally by the private fund (city, state and country): State: private fund through one or more websites? No Information File e person acts as a placement agent, consultant, finder, introducer, in the by through (g) below for each such marketer the private fund uses.	ed municipal advisor or other solicitor, or similar <i>perso</i>	Ye on. If the answer to questio

0 0

(b) Is the marketer a *related person* of your firm?

(c) Name of the marketer:

	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: City: Country:		
	LONDON United Kingdom	Yes	No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0	•
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed		
A. F	PRIVATE FUND		
Info	formation About the <i>Private Fund</i>		
1.	 (a) Name of the private fund: NORTH HAVEN PRIVATE EQUITY ASIA V, L.P. (b) Private fund identification number: (include the "805-" prefix also) 805-6227394995 		
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Canada		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P.		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed		
1	The <i>private fund</i> (check all that apply; you must check at least one):		
٦.	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
6.			es No
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? Name of <i>private fund</i> Private fund identification number		
	NORTH HAVEN PRIVATE EQUITY ASIA V TEI FEEDER, L.P. 805-1505958090		
		V	oo No
	(c) Is this a "feeder fund" in a master-feeder arrangement?		es No
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	·	
	Private fund identification number: (include the "805-" prefix also)		

PLURIMI WEALTH LLP

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: Additional Feeder Fund Information: 1 Record(s) Filed. 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following Name of the private fund: NORTH HAVEN PRIVATE EQUITY ASIA V TEI FEEDER, L.P. Private fund identification number: (b) (include the "805-" prefix also) 805-1505958090 (c) Under the laws of what state or country is the *private fund* organized: Country: Delaware **United States** (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director MORGAN STANLEY PRIVATE EQUITY ASIA V GP ONT, L.P. (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed The private fund (check all that apply; you must check at least one): (e) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. (f) No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes No (a) Is this *private fund* a "fund of funds"? \circ NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? 0 0 Yes No 9. During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market • \circ funds," to the extent provided in Instruction 6.e.)? 10. What type of fund is the private fund? O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund: NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A. 11. Current gross asset value of the private fund: \$ 465,366,254

<u>Ownershi</u>p

12.	. Minimum investment commitment required of an investor in the <i>private fund</i> :	
	\$ 10,000,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	
13.	. Approximate number of the <i>private fund's</i> beneficial owners: 736	
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 4%	
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes No
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :	
Yo	our Advisory Services	V. N
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes No
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question	
	No Information Filed	
		Yes No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0 0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this question.	uestion
	No Information Filed	
		Yes No
19.	. Are your clients solicited to invest in the private fund?	0 0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20.	. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
Pri	ivate Offering	
		Yes No
21.	. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0 0
22.	. If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number	
	021-321887	
B. S	SERVICE PROVIDERS	
Au	<u>aditors</u>	.,
23	. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes No
20.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through separately for each auditing firm.	
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	
	(b) Name of the auditing firm: ERNST & YOUNG	

	office responsible for the <i>private fund's</i> audit (city		
City: NEW YORK	State: New York	Country: United States	
NEW POINT	New Tork	officed states	Yes
(d) Is the auditing firm an independent	public accountant?		•
(e) Is the auditing firm registered with	the Public Company Accounting Oversight Boar	d?	•
If yes, Public Company Accounting	Oversight Board-Assigned Number:		
	g firm subject to regular inspection by the Public	Company Accounting Oversight Board in accordance with its rules?	o
			Ye
Are the private fund's audited financial sta	atements for the most recently completed fiscal	year distributed to the private fund's investors?	6
Do all of the reports prepared by the audi	iting firm for the <i>private fund</i> since your last <i>ann</i>	ual updating amendment contain unqualified opinions?	
• Yes O No O Report Not Yet Received	t		
If you check "Report Not Yet Received," you	u must promptly file an amendment to your Form	ADV to update your response when the report is available.	
<u>roker</u>			Y
Does the <i>private fund</i> use one or more pri	ime brokers?		
·	respond to questions (b) through (e) below for	each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	
<u>an</u>	No	Information Filed	Y
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes,"	(including the prime brokers listed above) to he respond to questions (b) through (g) below for		(
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ	(including the prime brokers listed above) to ho respond to questions (b) through (g) below for rately for each custodian.	old some or all of its assets?	(
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information : 3 Ref	(including the prime brokers listed above) to ho respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed.	old some or all of its assets?	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information : 3 Ref	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed.	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information : 3 Reference of the complete of the complete of the custodian information is a separate of the custodian information in the custodian information is a separate of the custodian information in the custodian information is a separate of the custodian information in the custodian information is a separate of the custodian information in the custod	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed.	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Reference of the complete questions (b) through (g) separate of the complete questions (b) through (g) separate of the custodian:	(including the prime brokers listed above) to he respond to questions (b) through (g) below for ately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for a parately for each custodian.	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one cu	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Reference of the custodian of the custodians	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for parately for each custodian. h:	old some or all of its assets? each custodian the private fund uses. If the private fund uses more than one custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses.	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Reference of the separation	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for parately for each custodian.	old some or all of its assets? each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one of each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one of	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Re If the answer to question 25.(a) is "yes complete questions (b) through (g) separed (b) Legal name of custodian:	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for parately for each custodian. n: the responsible for custody of the private fund's a State: New York	old some or all of its assets? each custodian the private fund uses. If the private fund uses more than one custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses.	ustodian, you mu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Reference of the custodian information of the custodian information in the custodian information in the custodian information information in the custodian information information: 3 Reference of the custodian information information information information information information information in the custodian information information in the custodian information in the cus	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for parately for each custodian. n: the responsible for custody of the private fund's a State: New York	old some or all of its assets? each custodian the private fund uses. If the private fund uses more than one custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses.	ustodian, you muscustodian, you wuxuu you wuxuu you wuxuu you you wuxuu you you you you you you you you you y
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," complete questions (b) through (g) separ Additional Custodian Information: 3 Reference of the custodian information of the custodian information in the custodian information in the custodian information information in the custodian information information: 3 Reference of the custodian information information information information information information information in the custodian information information in the custodian information in the cus	(including the prime brokers listed above) to he respond to questions (b) through (g) below for rately for each custodian. ecord(s) Filed. s," respond to questions (b) through g) below for rately for each custodian. n: the responsible for custody of the private fund's a State: New York	old some or all of its assets? each custodian the private fund uses. If the private fund uses more than one custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses. If the private fund uses more than one of each custodian the private fund uses.	ustodian, you mus

CITIBANK CHINA			
(c) Primary business name of custodian: CITIBANK CHINA			
(d) The location of the custodian's office resp	consible for <i>custody</i> of the <i>private fund's</i> assets	(city, state and country):	
City: SHANGHAI	State:	Country: China	
(e) Is the custodian a related person of your f	irm?		
(f) If the custodian is a broker-dealer, provid	le its SEC registration number (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or	r is a broker-dealer but does not have an SEC r	egistration number, provide its <i>legal entity identifier</i> (if ar	ny)
complete questions (b) through (g) separatel (b) Legal name of custodian:		n custodian the <i>private fund</i> uses. If the <i>private fund</i> use	
HSBC INDIA			
(c) Primary business name of custodian: HSBC INDIA			
(c) Primary business name of custodian: HSBC INDIA(d) The location of the custodian's office response	oonsible for <i>custody</i> of the <i>private fund's</i> assets	· · · · · · · · · · · · · · · · · · ·	
(c) Primary business name of custodian: HSBC INDIA	oonsible for <i>custody</i> of the <i>private fund's</i> assets State:	(city, state and country): Country: India	
(c) Primary business name of custodian: HSBC INDIA(d) The location of the custodian's office responsible.	State:	Country:	
(c) Primary business name of custodian: HSBC INDIA(d) The location of the custodian's office responding: City: MUMBAI	State:	Country:	
 (c) Primary business name of custodian: HSBC INDIA (d) The location of the custodian's office responding: City: MUMBAI (e) Is the custodian a related person of your formula of the custodian and the custodian are custodian and the custodian and the custodian are custodian are custodian are custodian are custodian and the custodian are custo	State:	Country:	
 (c) Primary business name of custodian: HSBC INDIA (d) The location of the custodian's office responding City: MUMBAI (e) Is the custodian a <i>related person</i> of your formula of the custodian is a broker-dealer, provided the custodian is a broker-dealer, provided the custodian is a broker-dealer. (f) If the custodian is a broker-dealer, provided the custodian is a broker-dealer. (c) CRD Number (if any): 	State: Firm? le its SEC registration number (if any):	Country:	ny)
 (c) Primary business name of custodian: HSBC INDIA (d) The location of the custodian's office responsitive in the custodian of the custodian's office responsitive in the custodian a related person of your formula of the custodian is a broker-dealer, provided the custodian is a broker-dealer, provided the custodian is a broker-dealer, provided the custodian is a broker-dealer. (e) If the custodian is a broker-dealer, provided the custodian is a broker-dealer. (f) If the custodian is a broker-dealer, provided the custodian is a broker-dealer. 	State: Firm? le its SEC registration number (if any):	Country: India	ny)

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f)

Additional Administrator Information : 1 Record(s) Filed.

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

separately for each administrator.

(b) Name of administrator:

	City	Ctata	Country	
	City:	State:	Country:	
	NEW YORK	New York	United States	
				Yes
(d)	Is the administrator a <i>related person</i> of your firm?			0
(e)	Does the administrator prepare and send investor a	account statements to the private fund's investors	?	
	${f C}$ Yes (provided to all investors) ${f C}$ Some (provided	to some but not all investors) No (provided to	no investors)	
(f)	If the answer to question 26.(e) is "no" or "some," sent to the (rest of the) <i>private fund's</i> investors, res SEI INVESTOR SERVICES GROUP		e (rest of the) <i>private fund's</i> investors? If investor account statements a	are i
ng yo	our last fiscal year, what percentage of the <i>private fur</i>	nd's assets (by value) was valued by a <i>person</i> , su	ch as an administrator, that is not your related person?	

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 7 R	ecord(s) Filed.		
-	through (g) below for each such marketer the pri	ler, introducer, municipal advisor or other solicitor, or similar <i>pe</i> vate fund uses. If the <i>private fund</i> uses more than one marketer	
			Yes No
(b) Is the marketer a related person of	f your firm?		⊙ ○
(c) Name of the marketer: MORGAN STANLEY			
(d) If the marketer is registered with the	the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
801 - 70103			
and CRD Number (if any): 149777			
(e) Location of the marketer's office u	sed principally by the <i>private fund</i> (city, state and	country):	
City:	State:	Country:	
PURCHASE	New York	United States	
			Yes No
(f) Does the marketer market the <i>pri</i>	vate fund through one or more websites?		○ ●
(g) If the answer to question 28.(f) is	"vos " list the website address(es):		
(g) if the answer to question 26.(i) is		Information Filed	
	140	The the time to th	

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28. (a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

> Yes No \circ

Yes No

⊙ ⊙

(b) Is the marketer a *related person* of your firm?

(c) Name of the marketer: MORGAN STANLEY & CO. INTERNA	ATIONAL PLC, LONDON, ZURICH BRANCH		
(d) If the marketer is registered with	n the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(a) Location of the marketer's office	used principally by the <i>private fund</i> (city, state and co	nuntry).	
City:	State:	Country:	
ZURICH		Switzerland	Yes
(f) Does the marketer market the pr	rivate fund through one or more websites?		o
(g) If the answer to question 28.(f)	is "yes," list the website address(es):	formation Filed	
	NO II	offormation Filed	
) through (g) below for each such marketer the private	, introducer, municipal advisor or other solicitor, or similar <i>persor</i> te fund uses. If the <i>private fund</i> uses more than one marketer, yo	
			Yes
(b) Is the marketer a related person	of your firm?		•
(c) Name of the marketer: MORGAN STANLEY ASIA INTERNA	TIONAL LIMITED		
(d) If the marketer is registered with	n the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office	used principally by the <i>private fund</i> (city, state and co	ountry):	
City:	State:	Country:	
KOWLOON		Hong Kong	Yes
(f) Does the marketer market the pr	rivate fund through one or more websites?		o
(g) If the answer to question 28.(f)	is "yes," list the website address(es):		
	No Ir	offormation Filed	
) through (g) below for each such marketer the private	, introducer, municipal advisor or other solicitor, or similar <i>persor</i> te fund uses. If the <i>private fund</i> uses more than one marketer, yo	
(b) Is the marketer a related person	of your firm?		Yes •
(c) Name of the marketer: MORGAN STANLEY ASIA INTERNA	TIONAL LIMITED, SINGAPORE BRANCH		
	n the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office City:	used principally by the <i>private fund</i> (city, state and co State:	ountry): Country:	
SINGAPORE	State.	Singapore	

g) If the answer to question 28.(f) is "yes," list	the website address(es):		
	No Info	ormation Filed	
	·	introducer, municipal advisor or other solicitor, or similar particles fund uses. If the private fund uses more than one markets	•
			Yes N
) Is the marketer a <i>related person</i> of your firm?			© (
Name of the marketer: MORGAN STANLEY INVESTMENT MANAGEMENT	(JAPAN) CO., LTD.		
) If the marketer is registered with the SEC, its	file number (<i>e.g.</i> , 801-, 8-, or 866-):		
and CRD Number (if any):			
) Location of the marketer's office used principa			
City: TOKYO	State:	Country: Japan	
Does the marketer market the <i>private fund</i> th			Yes N
Does the marketer market the private fund th	rough one or more websites?		0 6
) If the answer to question 28.(f) is "yes," list			
	No Info	ormation Filed	
		introducer, municipal advisor or other solicitor, or similar particles fund uses. If the private fund uses more than one markets	•
b) Is the marketer a <i>related person</i> of your firm?			Yes N
, is the mainteler a related person or your mini			0 6
) Name of the marketer: PICTON S.A.			
l) If the marketer is registered with the SEC, its	file number (<i>e.g.</i> , 801-, 8-, or 866-):		
and CRD Number (if any):			
) Location of the marketer's office used principa			
City:	State:	Country: Chile	
SANTIAGO			Yes N
SANTIAGO	rough one or more websites?		
SANTIAGO	rough one or more websites?		0 6
SANTIAGO	the website address(es):	ormation Filed	0 6

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.

(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b)

Yes No

	through (g) separately for each marketer.	
	(b) Is the marketer a <i>related person</i> of your firm?	Yes No
	(b) Is the marketer a related person or your limit?	0 0
	(c) Name of the marketer:	
	PLURIMI WEALTH LLP	
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	
	and CRD Number (if any):	
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country:	
	LONDON United Kingdom	Yes No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0 0
	(g) If the answer to question 28.(f) is "yes," list the website address(es):	
	No Information Filed	
4 . Р	PRIVATE FUND	
nfo	formation About the <i>Private Fund</i>	
1.	(a) Name of the private fund:	
	NORTH HAVEN THAI PRIVATE EQUITY AIV, L.P.	
	(b) Private fund identification number:	
	(include the "805-" prefix also)	
	805-4500602231	
2	Under the laws of what state or country is the <i>private fund</i> organized:	
۷.	State: Country:	
	Cayman Islands	
3.		
	Name of General Partner, Manager, Trustee, or Director	
	MS THAI PRIVATE EQUITY GP LLC	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
4.	The private fund (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
Е	List the name and country, in English, of each farcian financial regulatory outbority with which the private fund is registered	
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
	No Information Filed	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0 0
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
	(c) Is this a "feeder fund" in a master feeder arrangement?	Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Name of private ting.	

	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:	g
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund") would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes No
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes No
10.	What type of fund is the private fund?	
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private fund</i> :	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 71,212	
<u>Ow</u>	nership.	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000	
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 122	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 13%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 11%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 85%	
You	ur Advisory Services	

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question blank.

Yes No

0 0

17. (a) Are you a subadviser to this private fund?

8. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18.(a) blank.	0
	is "no," leave this question
No Information Filed	
	Yes I
Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0
NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?	
0%	
vate Offering	Yes I
Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•
. If yes, provide the <i>private fund's</i> Form D file number (if any):	
Form D file number	
021-99999	
SERVICE PROVIDERS	
<u>ditors</u>	
	Yes
(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	⊙
(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete separately for each auditing firm.	questions (b) through (f)
Additional Auditor Information : 1 Record(s) Filed.	
	ete questions (b)
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must comple through (f) separately for each auditing firm.	
through (f) separately for each auditing firm.	
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP	
through (f) separately for each auditing firm. (b) Name of the auditing firm:	
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: New YORK New York United States	Yes No
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:	Yes No ⊙ O
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: NEW YORK New York United States	
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: NEW YORK New York United States (d) Is the auditing firm an <i>independent public accountant</i> ?	© 0
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: NEW YORK New York (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number:	© 0
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: NEW YORK New York United States (d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 34	⊙○
through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE, LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: NEW YORK New York United States (d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 34	⊙○

No Information Filed

	No	Information Filed	
an			
<u></u>			
,	odians (including the prime brokers listed above) to h		
If the answer to question 25.(a) is complete questions (b) through (g		r each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than one custodian, you
Additional Custodian Informatio	ı: 1 Record(s) Filed.		
	is "yes," respond to questions (b) through g) below fg) separately for each custodian.	for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mo	re than one custodian, you
(b) Legal name of custodian: CITIBANK			
(c) Primary business name of cu	stodian:		
(d) The location of the custodian	's office responsible for custody of the private fund's a	assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
(e) Is the custodian a related pe	son of your firm?		
(f) If the custodian is a broker-	ealer, provide its SEC registration number (if any):		
- CRD Number (if any):			
(g) If the custodian is not a bro	er-dealer, or is a broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)	
<u>trator</u>			
Does the <i>private fund</i> use an admir	istrator other than your firm?		
	"yes," respond to questions (b) through (f) below. If	the private fund uses more than one administrator, you must compl	lete questions (b) through
separately for each administrator. Additional Administrator Inform	ation : 1 Record(s) Filed.		
If the answer to question 26.(a) separately for each administrate		If the <i>private fund</i> uses more than one administrator, you must com	nplete questions (b) throuç
(b) Name of administrator: APEX FUND AND CORPORATE	SERVICES NEW YORK LLC		
(b) Name of administrator:			
(b) Name of administrator: APEX FUND AND CORPORATE(c) Location of administrator (ci City:	y, state and country): State:	Country:	
(b) Name of administrator: APEX FUND AND CORPORATE (c) Location of administrator (ci	y, state and country):	Country: United States	Ye

- (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable." SEI INVESTOR SERVICES GROUP
- 27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

Yes No

Marketers

28.	(a) Does the private fund use the services of someone other than you or your employees for marketing purposes	?

additional Marketer Information : 3 Reco	ord(s) Filed.		
	ough (g) below for each such marketer the <i>pr</i>	ler, introducer, municipal advisor or other solicitor, or similar vate fund uses. If the private fund uses more than one marke	
(b) Is the marketer a related percent of year	our firm?		Yes No
(b) Is the marketer a <i>related person</i> of yo	our tirm?		⊙ ೧
(c) Name of the marketer: MORGAN STANLEY			
(d) If the marketer is registered with the	SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
801 - 70103			
and CRD Number (if any): 149777			
(e) Location of the marketer's office used	d principally by the <i>private fund</i> (city, state and	country):	
City:	State:	Country:	
PURCHASE	New York	United States	Yes No
(f) Does the marketer market the private	e fund through one or more websites?		○ ⊙
(g) If the answer to question 28.(f) is "y	es," list the website address(es):		
	No	Information Filed	
	ough (g) below for each such marketer the <i>pr</i>	ler, introducer, municipal advisor or other solicitor, or similar vate fund uses. If the private fund uses more than one marke	
			Yes No
(b) Is the marketer a related person of you	our firm?		⊚ ೧
(c) Name of the marketer: MORGAN STANLEY ASIA INTERNATION	IAL LIMITED		
(d) If the marketer is registered with the	SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		

(e) Location of the marketer's office used principally by the *private fund* (city, state and country):

	City:	State:	Country:	
	KOWLOON		Hong Kong	Yes No
	(f) Does the marketer market the private fund	through one or more websites?		O •
	(g) If the answer to question 28.(f) is "yes," li	st the website address(es):		
		No Info	ormation Filed	
			introducer, municipal advisor or other solicitor, or similar μ fund uses. If the <i>private fund</i> uses more than one market	
				Yes No
	(b) Is the marketer a <i>related person</i> of your firm	n?		⊙ ○
	(c) Name of the marketer: MORGAN STANLEY ASIA INTERNATIONAL LI	MITED, SINGAPORE BRANCH		
	(d) If the marketer is registered with the SEC,	its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):			
	(e) Location of the marketer's office used princ	sipally by the <i>private fund</i> (city, state and cou	ntry):	
	City: SINGAPORE	State:	Country: Singapore	
	SINGAL GIVE		Singapore	Yes No
	(f) Does the marketer market the private fund	through one or more websites?		○ ●
	(g) If the answer to question 28.(f) is "yes," li	st the website address(es):		
		No Info	ormation Filed	
	<u>L</u>			
A. PRIV	ATE FUND			
Inform	ation About the <i>Private Fund</i>			
1. (a) Name of the <i>private fund</i> :			
(la	NORTH HAVEN THAI PRIVATE EQUITY, L.P.			
a)) <i>Private fund</i> identification number: (include the "805-" prefix also)			
	805-1104801755			
2		a found assessment		
2. Ur	nder the laws of what state or country is the <i>privat</i> State:	e runa organizea: Country:		
		Cayman Islands		
) Name(s) of General Partner, Manager, Trustee, o	<u> </u>	pacity):	
	lame of General Partner, Manager, Trustee, or Di	rector		
[N	IS THAI PRIVATE EQUITY GP LLC			

No Information Filed

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

4. The *private fund* (check all that apply; you must check at least one):

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*.

٥.	List the name and country, in English, or each loreign infancial regulatory authority with which the private rund is registered.	
	No Information Filed	
4	(a) Is this a "master fund" in a master feeder arrangement?	Yes N
6.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	0 (
	No Information Filed	
		Yes N
	(c) Is this a "feeder fund" in a master-feeder arrangement? (d) If you what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	0 (
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the followin questions:	ng
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund" would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	"). A fun Yes N
8.	(a) Is this private fund a "fund of funds"?	0 (
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also funds or registered investment companies.) private
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0 0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes N
10.	What type of fund is the private fund?	
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund:	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 547,268,336	
<u>Ow</u>	vnership	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000	
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 122	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 13%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:	

11%

		Yes No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the functional qualified clients?	fund limited to C C
16.	16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 85%	
Yo	Your Advisory Services	
		Yes No
17.	17. (a) Are you a subadviser to this <i>private fund</i> ?	O O
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave No Information Filed	e this question blank.
		Yes No
18.	18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	○ ●
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no blank.	o," leave this question
	No Information Filed	
		Yes No
19.	19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0 0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20.	20. Approximately what percentage of your clients has invested in the private fund?	
	0%	
<u>Pri</u>	Private Offering	
21	21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes No
۷1.	21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1755:	⊙ ≎
22.	22. If yes, provide the <i>private fund's</i> Form D file number (if any):	
	Form D file number 021-289135	
B. S	. SERVICE PROVIDERS	
٥		
Au	<u>Auditors</u>	Yes No
23.	23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	⊙○
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ○
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete quest separately for each auditing firm.	ions (b) through (f)
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete que through (f) separately for each auditing firm.	estions (b)
	(b) Name of the auditing firm: DELOITTE & TOUCHE, LLP	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	NEW YORK New York United States	Vac Na
	(d) Is the auditing firm an independent public accountant?	Yes No
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	• o
	If yes, Public Company Accounting Oversight Board-Assigned Number:	

(i) ii yes to (e) abov	=, is the additing firm subject to regular	inspection by the Public Company	Accounting Oversight Board in accordance with its rules?	<u> </u>
				Yes
g) Are the <i>private fund's</i> aud	ited financial statements for the most red	ecently completed fiscal year distr	buted to the private fund's investors?	•
n) Do all of the reports prepa	ared by the auditing firm for the <i>private t</i>	fund since your last annual updati	ng amendment contain unqualified opinions?	
⊙ Yes O No O Report N	Not Yet Received			
If you check "Report Not Y	et Received," you must promptly file an ar	mendment to your Form ADV to up	date your response when the report is available.	
<u>Broker</u>				
				Yes
If the answer to question	one or more prime brokers? 24. (a) is "yes," respond to questions (b) through (e) separately for each prim		ne broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than	O one prime broker, you
		No Informatio	on Filed	
			THE CONTRACTOR OF THE CONTRACT	
dian				
				Yes
•	any custodians (including the prime brol			•
	25.(a) is "yes," respond to questions (bureauthous) rough (g) separately for each custodian.		odian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one	e custodian, you must
Additional Custodian In	formation : 6 Record(s) Filed.			
· ·	on 25.(a) is "yes," respond to questions through (g) separately for each custodia		stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or	ne custodian, you must
(b) Legal name of custon BANGKOK BANK PUI	odian: BLIC COMPANY LIMITED			
(c) Primary business na BANGKOK BANK PUI	ame of custodian: BLIC COMPANY LIMITED			
(d) The location of the	custodian's office responsible for custody	y of the <i>private fund's</i> assets (city	, state and country):	
City:		State:	Country:	
HONG KONG			Hong Kong	Yes N
(e) Is the custodian a <i>i</i>	related person of your firm?			0 6
(f) If the custodian is a	a broker-dealer, provide its SEC registrati	tion number (if any):		
-		.e. nemzer (ii eng)		
CRD Number (if any):			
(g) If the custodian is r	not a broker-dealer, or is a broker-dealer	er but does not have an SEC regis	tration number, provide its legal entity identifier (if any)	
11	on 25.(a) is "yes," respond to questions through (g) separately for each custodia		stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or	ne custodian, you must
destribute questions (b)	sag.r (g) separately for each custouts	···		
(b) Legal name of custon BUALUANG SECURIT	odian: TIES PUBLIC COMPANY LIMITED			
(c) Primary business na BUALUANG SECURIT	ame of custodian: TIES PUBLIC COMPANY LIMITED			
(d) The location of the	custodian's office responsible for <i>custod</i> y	y of the <i>private fund's</i> assets (city	, state and country):	
City:		State:	Country:	

to the custodian is a broker-dealer, provide its SEC registration number (if any): Comparison of the custodian is a broker-dealer, provide its SEC registration number (if any): Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comparison of the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) Comp	(e) Is the custodian a <i>related person</i> of your firm?			Yes No
Continuous Content of the controller of any service of the controller of any service of the controller of any service of the controller of	(f) If the custodian is a broker-dealer, provide its S	SEC registration number (if any):		
If the answer to question 35 (a) is "yes" "respond to cuestions (a) through (a) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (a) through (a) separately for each custodian controlled (b) through (a) separately for each custodian custodian controlled (b) through (a) separately for each custodian and separately experience of pour firm? If the answer to question 25 (b) is "yes" respond to questions (b) through (a) below for each custodian number; provide its lager outly stockford it any) If the answer to question 25 (b) is "yes" respond to questions (b) through (a) below for each custodian the private fund uses more than one custodian, you must complete questions (b) include (c) separately for each custodian controlled (c) through (c) separately for each custo				
If the answer to question 35 (a) is "yes" "respond to cuestions (a) through (a) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (a) through (a) separately for each custodian controlled (b) through (a) separately for each custodian custodian controlled (b) through (a) separately for each custodian and separately experience of pour firm? If the answer to question 25 (b) is "yes" respond to questions (b) through (a) below for each custodian number; provide its lager outly stockford it any) If the answer to question 25 (b) is "yes" respond to questions (b) through (a) below for each custodian the private fund uses more than one custodian, you must complete questions (b) include (c) separately for each custodian controlled (c) through (c) separately for each custo				
Complete questions (a) Enough (g) separately for each custodian. (b) Tap Inspatiance of sustainables name of sustainables (g) Innead sustainabl	(g) If the custodian is not a broker-dealer, or is a c	oroker-dealer but does not have all SEC registr	ation number, provide its <i>legal entity identifie</i> r (if any)	
CHIMANK If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomass mane of custodian's effice responsible for custodian's (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one custodian's effice responsible for custodian's effice responsible for custodian and exceed person of your firm? (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, or is a broken-decler but does not have an SEC registration number, provide its legal entity biomatifier (if any) (g) If the custodian is not a broken-decler, provide its SEC registration number (if any): (g) If the custodian is not a broken-decler, provide its SEC registration number (if any):			todian the <i>private fund</i> uses. If the <i>private fund</i> uses n	nore than one custodian, you must
CITISANK (20) The location of the outstodian's office responsible for custody of the private fund's assets (alty, state and country) (21) It the custodian a related person of your time? (22) It the custodian is a broken-dealer, provide its SEC registration number (if any): (23) If the custodian is not a broken-dealer, provide its SEC registration number (if any): (24) If the custodian is not a broken-dealer, provide its SEC registration number (if any): (25) If the custodian is not a broken-dealer, provide its SEC registration number (if any): (26) If the custodian is not a broken-dealer, not is a broken-dealer but does not have an SEC registration number, provide its legal antity leaveling (if any) (27) If the custodian is not a broken-dealer, not is a broken-dealer but does not have an SEC registration number, provide its legal antity leaveling (if any) (28) If the custodian is not a broken-dealer, not is a broken-dealer but does not have an SEC registration number, provide its legal antity leaveling (if any) (29) If the custodian is not a broken-dealer, not is a broken-dealer but does not have an SEC registration number, provide its legal antity leaveling (if any): (30) If the custodian is not a broken-dealer, not is a broken-dealer but does not have an SEC registration number, provide its legal antity leaveling (if any): (40) Legal name of custodian (if any) is reparately for each custodian. (41) Legal name of custodian: (41) City (42) Privacy business name of custodian: (43) Privacy business name of custodian (if any): (43) Rumber (if any):				
Country NEW YORK New York United States Country United States Very New York New York United States Very New York New York Very New Yor				
Now York New York United States 1			-	
(c) Is the custodian a related person of your firm? (d) If the custodian is not a broker-dealer, provide its SEC registration number (if any): (e) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) (f) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) (f) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) (f) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) (g) If the custodian is not a broker-dealer, or is a broker-dealer, or is a broker-dealer, or is a broker-dealer, or is a broker-dealer and custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (g) Primary tusiness name of custodian: (g) If the custodian of the custodians office responsible for custody of the private fund's assets (city, state and country): (g) City: (g) Primary tusiness name of custodians office responsible for custody of the private fund's assets (city, state and country): (g) If the custodian a related person of your firm? (g) If the custodian a related person of your firm? (g) If the custodian is a broker-dealer, provide its SEC registration number (if any):			•	
CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK CAMBODIA (c) Primary business name of custodian: CITIBANK CAMBODIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: PHNOM PENH Cambodia Yes No (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	(e) Is the custodian a <i>related person</i> of your firm?			Yes No ○ •
CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	(f) If the custodian is a broker-dealer, provide its S	SEC registration number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK CAMBODIA (c) Primary business name of custodian: CITIBANK CAMBODIA (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City. PHNOM PENH Cambodia Yes No (c) Is the custodian a related person of your firm? (d) If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any):				
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK CAMBODIA (c) Primary business name of custodian: CITIBANK CAMBODIA (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: PHNOM PENH State: Country: PHNOM PENH Cambodia Yes No (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any):	, ,,			
complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: CITIBANK CAMBODIA (c) Primary business name of custodian: CITIBANK CAMBODIA (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: PHNOM PENH State: Country: Cambodia Yes No (e) Is the custodian a related person of your firm? O CRD Number (if any):	(g) If the custodian is not a broker-dealer, or is a b	proker-dealer but does not have an SEC registr	ation number, provide its legal entity identifier (if any)	
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PHNOM PENH Cambodia Yes No (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any):	(d) The location of the custodian's office responsible	e for <i>custody</i> of the <i>private fund's</i> assets (city,	state and country):	
(e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any):		State:	•	
- CRD Number (if any):	(e) Is the custodian a <i>related person</i> of your firm?			Yes No ○ •
	(f) If the custodian is a broker-dealer, provide its S	SEC registration number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	- CRD Number (if any):			
	(g) If the custodian is not a broker-dealer, or is a b	proker-dealer but does not have an SEC registr	ation number, provide its legal entity identifier (if any)	

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Thailand

BANGKOK

(c) Trimary business name of custodians office responsible for <i>custody</i> of the <i>private funds</i> assets (city, state and country): City RANKOX State: City RANKOX Tholland (a) It the custodian is attacked person of your fam? (b) It the custodian is a broker-deciler, provide its Setting stration number (if any):	CITIBANK THAILAND (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: BANKOK: Thailand (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian shabally custodian: (b) Logal name of custodian: STANDARD CHARTERED BANK (c) Primary business name of custodian: STANDARD CHARTERED BANK (d) Ine location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: Singapore (e) Is the custodian a related person of your firm?	Yes
City State: Country. The distribution of the custodian is not a broker-dealer, provide its SEC registration number (if any): (if the custodian is not a broker-dealer, provide its SEC registration number (if any): (if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal cality identifier (if any) If the answer to question 25 (a) is "yes," respond to questions (b) through (j) below for each custodian (to private fund uses. If the private fund uses more than one pastedian, a complete questions (b) through (j) separately for each custodian. (b) Legal name of castedian: STANDARD CHARTERED BANK (c) Primary business name of custodian: STANDARD CHARTERED BANK (ii) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country). City: STANDARD CHARTERED BANK (iv) The location of the custodian's office responsible for custody of the private fund's assets, (city, state and country). City: STANDARD CHARTERED BANK (iv) The custodian is not a broker-dealer, provide its SEC registration number (if any): CRD Number (if any). (iv) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any).	City: State: Country: BANGKOK Thailand (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
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(c) Is the costedian a related person of your firm? (firm): (Rand): (Ran	(e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian (b) Legal name of custodian: STANDARD CHARTERED BANK (c) Primary business name of custodian: STANDARD CHARTERED BANK (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: STANDARD CHARTERED BANK (e) Is the custodian a related person of your firm?	
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STANDARD CHARTERED BANK (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: Singapore (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) trator Does the private fund use an administrator other than your firm?	STANDARD CHARTERED BANK (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: SINGAPORE Singapore (e) Is the custodian a <i>related person</i> of your firm?	
City: State: Country: Singapore (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) trator Does the private fund use an administrator other than your firm?	City: State: Country: SINGAPORE Singapore (e) Is the custodian a related person of your firm?	
Singapore (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) trator Does the private fund use an administrator other than your firm?	SINGAPORE Singapore (e) Is the custodian a <i>related person</i> of your firm?	
(e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any) strator Does the private fund use an administrator other than your firm?	(e) Is the custodian a <i>related person</i> of your firm?	
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) trator Does the <i>private fund</i> use an administrator other than your firm?		Ye
CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) trator Does the <i>private fund</i> use an administrator other than your firm?	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	0
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) trator Does the <i>private fund</i> use an administrator other than your firm?		
trator Does the <i>private fund</i> use an administrator other than your firm?	CRD Number (if any):	
Does the <i>private fund</i> use an administrator other than your firm?	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	
Does the <i>private fund</i> use an administrator other than your firm?		
	<u>rrator</u>	
	Does the <i>private fund</i> use an administrator other than your firm?	Υ
separately for each administrator.	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) the	
Additional Administrator Information : 1 Record(s) Filed.	Additional Administrator Information : 1 Record(s) Filed.	rough (f)

Country:

United States

(b) Name of administrator:

City:

NEW YORK

APEX FUND AND CORPORATE SERVICES NEW YORK LLC

State:

New York

(c) Location of administrator (city, state and country):

	(d) Is the administrator a related person of your firm?	0	\odot
	(e) Does the administrator prepare and send investor account statements to the private fund's investors?		
	CYes (provided to all investors) CSome (provided to some but not all investors) No (provided to no investors)		
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements sent to the (rest of the) private fund's investors, respond "not applicable." SEI INVESTOR SERVICES GROUP	ts are n	ot
09	uring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ? 6 6 6 clude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation	n usad fo	or
	rposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i> .	i useu ic	ול
Market	<u>ters</u>		
28. (a)) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	,	Yes N
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to que "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions		
	separately for each marketer.		
	Additional Marketer Information: 3 Record(s) Filed.		
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (g) separately for each marketer.		
	(b) Is the marketer a related person of your firm?	Yes ⊙	No O
	(c) Name of the marketer: MORGAN STANLEY		
	(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): 801 - 70103		
	and CRD Number (if any): 149777		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: Country:		
	PURCHASE New York United States	Yes	: No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0	•
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to questions (a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (g) separately for each marketer.		
		V	NI-
	(b) Is the marketer a related person of your firm?	•	No O
	(c) Name of the marketer: MORGAN STANLEY ASIA INTERNATIONAL LIMITED		
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		

Yes No

(e) Location of the marketer's office used principally	by the private fund (city state and country)		
City:	by the <i>private runa</i> (city, state and country, State:	: Country:	
KOWLOON	State.	Hong Kong	
			Ye
(f) Does the marketer market the <i>private fund</i> through	gh one or more websites?		0
(g) If the answer to question 28.(f) is "yes," list the	website address(es):		
	No Informa	tion Filed	
	-	ducer, municipal advisor or other solicitor, or similar <i>pe</i> I uses. If the <i>private fund</i> uses more than one marketer	
			Ye
(b) Is the marketer a <i>related person</i> of your firm?			•
(c) Name of the marketer: MORGAN STANLEY ASIA INTERNATIONAL LIMITED,	SINGAPORE BRANCH		
(d) If the marketer is registered with the SEC, its file	number (<i>e.g.</i> , 801-, 8-, or 866-):		
and CRD Number (if any):			
(e) Location of the marketer's office used principally	by the <i>private fund</i> (city, state and country)	v:	
City:	State:	Country:	
SINGAPORE		Singapore	v
(f) Does the marketer market the <i>private fund</i> through	ah one or more websites?		Ye
(i) Boes the marketer market the private rand through	gn one or more websites:		0
(g) If the answer to question 28.(f) is "yes," list the	website address(es):		
	No Informa	tion Filed	
	Funds per Page: 15	Total Funds: 12	
.(2) Private Fund Reporting			
	No Information F	filed	
ipation or Interest in <i>Client</i> Transactions			

Ite

Like Item 7, Item 8 requires you to provide information about you and your *related persons*, including foreign affiliates.

Proprietary Interest in *Client* Transactions

- (1) buy securities for yourself from advisory *clients*, or sell securities you own to advisory *clients* (principal transactions)?
- (2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory *clients*?
- (3) recommend securities (or other investment products) to advisory *clients* in which you or any *related person* has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?

Yes No

⊙ ⊙

⊙ ⊙

 \odot \circ

A. Do you or any *related person*:

	(1) as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from brokerage customer (agency cross transactions)?							
	(2) recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	•	0					
	(3) recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker registered representative of a broker-dealer)?	keror 👩	0					
Inv	restment or Brokerage Discretion							
C.	Do you or any related person have discretionary authority to determine the:	Yes	No					
	(1) securities to be bought or sold for a <i>client's</i> account?	•	0					
	(2) amount of securities to be bought or sold for a client's account?	•	0					
	(3) broker or dealer to be used for a purchase or sale of securities for a client's account?	•	0					
	(4) commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0					
D.	If you answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	•	0					
E.	Do you or any related person recommend brokers or dealers to clients?	0	•					
F.	If you answer "yes" to E. above, are any of the brokers or dealers related persons?	0	0					
G.	(1) Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>clie</i> securities transactions?	ent o	•					
	(2) If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange 1934?	Act of O	0					
Н.	(1) Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	0					
	(2) Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	o	•					
I.	Do you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related person) for client referrals?	0	•					
	In your response to Item 8.1., do not include the regular salary you pay to an employee.							
	In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any pexchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.	person in						
Iter	n 9 Custody							
	his Item, we ask you whether you or a related person has custody of client (other than clients that are investment companies registered under the Investment Company Act of 1940) assert custodial practices.	ets and abou	ıt					
A.	(1) Do you have <i>custody</i> of any advisory <i>clients</i> ':	Yes	No					
	(a) cash or bank accounts?	0	\odot					
	(b) securities?	0	•					
	If you are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person.							
	(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of client funds and securities and total number of clients for which you have custody:							

Yes No

⊙ ⊙

⊙ ⊙

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).

B. (1) In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients': Yes No

(a) cash or bank accounts?

(b)

Total Number of Clients

You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).

B. Do you or any related person:

U.S. Dollar Amount

(b) securities?

(a) \$

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of client funds and securities and total number of clients for which your related persons have custody:

	(a) \$ 3,767,336,337 (b) 12		
С.	 (2) An <i>independent public accountant</i> audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools. (3) An <i>independent public accountant</i> conducts an annual surprise examination of <i>client</i> funds and securities. 		
	If you checked Item 9.C.(2), C.(3) or C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal control report. (If you checked 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the private funds you advise in Section 7.B.(1) of Schedule D).	d Item	
D.	Do you or your <i>related person(s)</i> act as qualified custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (1) you act as a qualified custodian	Yes	
	(2) your related person(s) act as qualified custodian(s)	0	•
	If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.	of	
Ξ.	If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your last fiscal year, provide the date (MM/YYYY) the examination commenced:	ıe	
₹.	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities, how many <i>persons</i> , including, but not limited to, you and your <i>related persons</i> , act as qualified custodians for your <i>clients</i> connection with advisory services you provide to <i>clients</i> ? 24	s in	
EC	TION 9.C. Independent Public Accountant		
	No Information Filed		
	n 10 Control Persons		
	this Item, we ask you to identify every person that, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Item 10 should be provided for the filing adviser of		
nfc	you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B ask ormation about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial applicati ort, you must complete Schedule C.		
•		Yes	
Ч.	Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?	0	⊙
	If yes, complete Section 10.A. of Schedule D.		
3.	If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete 10.B. of Schedule D.	Sectio	on
EC	CTION 10.A. Control Persons		
	No Information Filed		
EC	CTION 10.B. <i>Control Person</i> Public Reporting Companies		
	If any <i>person</i> named in Schedules A, B, or C, or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please provide	the	
_ .	following information (you must complete a separate Schedule D Section 10.B. for each public reporting company):		
	(1) Full legal name of the public reporting company: (2) The public reporting company's CIK number (Central Index Key number that the SEC assigns to each reporting company): 895421	Y	

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to grant your application for

Total Number of *Clients*

U.S. Dollar Amount

Item 11 Disclosure Information

registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers under an umbrella registration. Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are. If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A. (2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed. You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11. Yes No Do any of the events below involve you or any of your supervised persons? For "yes" answers to the following questions, complete a Criminal Action DRP: A. In the past ten years, have you or any advisory affiliate: Yes No (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony? (2) been charged with any felony? If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending. In the past ten years, have you or any advisory affiliate: (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: investments or an investment-related business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? (2) been charged with a misdemeanor listed in Item 11.B.(1)? If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending For "yes" answers to the following questions, complete a Regulatory Action DRP: Has the SEC or the Commodity Futures Trading Commission (CFTC) ever: Yes No (1) found you or any advisory affiliate to have made a false statement or omission? \odot (2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes? (3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? \odot (4) entered an order against you or any advisory affiliate in connection with investment-related activity? \circ \odot (5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity? 0 \odot Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority: (1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical? \circ (2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes? (3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity? \circ (5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an \circ investment-related business or restricted your or any advisory affiliate's activity? Has any *self-regulatory organization* or commodities exchange ever: (1) found you or any advisory affiliate to have made a false statement or omission? (2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)? (3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with \circ other members, or otherwise restricting your or the advisory affiliate's activities? Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended? Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.? \circ For "yes" answers to the following questions, complete a Civil Judicial Action DRP: H. (1) Has any domestic or foreign court: Yes No (a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity? • (b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations? \odot \circ

(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authors.	ority? 💍	0)
(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•	ð
Item 12 Small Businesses			
The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "sometimes or "small organization" under rule 0-7.	mall busin	ess"	,
Answer this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$ are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.	325 million.	. You	L
For purposes of this Item 12 only:			
 Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of <i>clients</i>. In determining your or another <i>person's</i> total assets, you may use the total asset current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger). Control means the power to direct or cause the direction of the management or policies of a <i>person</i>, whether through ownership of securities, by contract, or otherwise. Any <i>person</i> the indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i>. 	at directly		
A. Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?		s N	
If "yes," you do not need to answer Items 12.B. and 12.C.			
B. Do you:			
(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of it most recent fiscal year?	is C	C)
(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0)
C. Are you:			
(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	ō O	C)
(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0		5
Schedule A			
Direct Owners and Executive Officers			
1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend information.	this		
2. Direct Owners and Executive Officers. List below the names of: (a) each Chief Everythin Officer. Chief Compiliance Officer. Chief Compiliance Officer (Chief Compiliance Officer) of the Compiliance (Chief Compiliance Officer) of	nalidaa far		
(a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you are registered or a registration and cannot be more than one individual), director, and any other individuals with similar status or functions;	pprying for		
(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company)	ny subject t	to	
Section 12 or 15(d) of the Exchange Act);		- c	
Direct owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For this Schedule, a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant,	w, son-in-la	aw,	
purchase the security.	or right to	,	
(c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital in the case of a trust that directly owns 5% or more of your voting securities, or that has the right to receive upon dissolution.	•		d
each trustee; and (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) i elected managers, all elected managers.	f managed	by	
3. Do you have any indirect owners to be reported on Schedule B?			
4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive office.	er is an		
individual.5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or	· members,	, the	ž
class of securities owned (if more than one is issued). 6. Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%			
A - 5% but less than 10% C - 25% but less than 50% E - 75% or more 7. (a) In the <i>Control Person</i> column, enter "Yes" if the <i>person</i> has <i>control</i> as defined in the Glossary of Terms to Form ADV, and enter "No" if the <i>person</i> does not have <i>control</i> . Note that unde	r this defin	iition	1
most executive officers and all 25% owners, general partners, elected managers, and trustees are <i>control persons</i> . (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.	, ans acmi		• ,
(c) Complete each column.			_
FULL LEGAL NAME (Individuals: Last Name, DE/FE/I Title or Status Date Title or Status Ownership Control PR CRD No. If None: S.S. No. and D	ate of Birt	h,	

Acquired MM/YYYY

02/2005

02/2005

07/2010

10/2015

Code

NA

NA

NA

Person

N 1729084

N 1875161

N 1719867

Υ

N

N

IRS Tax No. or Employer ID No.

First Name, Middle Name)

MOON, JOHN, JOOHAHN

SIMKOWITZ, DANIEL, AARON

DE

SOLE SHAREHOLDER

DIRECTOR

EQUITY ASIA AND DIRECTOR

CHIEF EXECUTIVE OFFICER OF PRIVATE

HEAD OF MORGAN STANLEY INVESTMENT

MORGAN STANLEY

CHOU, H, CHIN

	MANAGEMENT					
Miller, David, Nathan	I PRESIDENT, DIRECTOR AND HEAD OF	08/2016	NA	Υ	N	3106150
	PRIVATE CREDIT AND EQUITY					
FRICKE, KARA, SCHIFFMAN	I CHIEF LEGAL OFFICER	12/2016	NA	N	N	6525109
YEUNG, AMY	I VICE PRESIDENT	06/2017	NA	N	N	3126143
Pal, Gauranga	I CHIEF COMPLIANCE OFFICER	05/2023	NA	N	N	4916233

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, grandchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

No Information Filed

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

SECTION 1.I. Website Addresses: http://www.morganstanley.com/im/en-us/institutional-investor/strategies/private-credit-and-equity/asia-pacific-private-equity.html PART 1A, ITEM 11.H(2). THE REGISTRANT HAS ANSWERED "NO" TO ITEM 11.H.(2) AND IS NOT NOW THE SUBJECT OF ANY CIVIL PROCEEDINGS THAT COULD RESULT IN A "YES" ANSWER TO ANY PART OF ITEM 11.H.(1). HOWEVER, REGISTRANT'S ADVISORY AFFILIATE, MORGAN STANLEY & CO. LLC("MORGAN STANLEY"), HAS BEEN NAMED IN CIVIL PROCEEDINGS THAT HAVE THE POSSIBILITY TO, BUT LIKELY WILL NOT, RESULT IN A "YES" ANSWER. CIVIL ACTION DRPS FOR PROCEEDINGS THAT NAME MORGAN STANLEY ARE CONTAINED IN, AND ARE AVAILABLE THROUGH, THE FORM ADV OF REGISTRANT'S RELATED PERSON, MORGAN STANLEY (SEC FILE NO. 801-11727, CRD NO. 8209). ITEMS 5.A AND 5.B: ALL INVESTMENT TEAM MEMBERS INCLUDED IN RESPONSE TO ITEMS 5.A AND 5.B ARE EMPLOYEES OF AN AFFILIATE OF THE REGISTRANT WHO DEVOTE 100% OF THEIR TIME TO PROVIDING SERVICES TO THE REGISTRANT, OR AN AFFILIATE OF THE REGISTRANT WHICH PROVIDES SERVICES TO THE REGISTRANT, IN RELATION TO THE REGISTRANT'S INVESTMENT ADVISORY BUSINESS. ON SCHEDULE D, SECTION 7B, NOTE THAT CERTAIN AMOUNTS LESS THAN 0.5% HAVE BEEN ROUNDED TO 0%.

Schedule R

No Information Filed

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

GENERAL INSTRUCTIONS

		Regulatory Act	ion	
Check item(s) being respon	nded to:			
□ 11.C(1)	■ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
☑ 11.D(1)	☑ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
□ 11.E(1)	□ 11.E(2)	□ 11.E(3)	□ 11.E(4)	
□ 11.F.	□ 11.G.			
	ch event or <i>proceeding</i> . The same event	t or <i>proceeding</i> may be reported for more	than one <i>person</i> or entity using one DRF	P. File with a completed Execution Page.
•	ore than one affirmative answer to Item provide details for each action on a sepa		only one DRP to report details related to	the same event. If an event gives rise to actions by
PART I				
A. The <i>person(s)</i> or entit	y(ies) for whom this DRP is being filed is	s (are):		
O You (the advisory f				
O You and one or mo	ore of your <i>advisory affiliates</i>			
One or more of yo	our advisory affiliates			
•	· ·	ame of the <i>advisory affiliate</i> below (for index. If not, indicate "non-registered" by ch		name).
ADV DRP - ADVISORY	' AFFILIATE			
CRD Number:	TI	his <i>advisory affiliate</i> is 🌀 a Firm 🤼 an II	ndividual	
Registered:	Yes • No			
	RGAN STANLEY			
	r individuals, Last, First, Middle)			
\square This DRP should be	e removed from the ADV record because	e the advisory affiliate(s) is no longer asso e: (1) the event or proceeding occurred me and the event was resolved in the advise	ore than ten years ago or (2) the advise	r is registered or applying for registration with the SEC
	<u> </u>	thority, you may remove a DRP for an even		m 11.D(4), and only if that event occurred more than an ten years ago.
\square This DRP should be	e removed from the ADV record because	e it was filed in error, such as due to a cle	rical or data-entry mistake. Explain the	circumstances:
_	e is registered through the IARD system on this DRP must be provided.	or <i>CRD</i> system, has the <i>advisory affiliate</i> s	submitted a DRP (with Form ADV, BD or U	1-4) to the IARD or <i>CRD</i> for the event? If the answer is
O Yes O No				
NOTE: The completion	n of this form does not relieve the <i>adviso</i>	ory affiliate of its obligation to update its L	ARD or <i>CRD</i> records.	
PART II				
1. Regulatory Action initi				
OSEC OOther Fede	eral 🁩 State O <i>SRO</i> O Foreign			
	or, <i>foreign financial regulatory authority</i> , fe RNEY GENERAL OF THE STATE OF ILLINOI			
2. Principal Sanction:				
Civil and Administrativ Other Sanctions:	ve Penalt(ies) /Fine(s)			
other danctions.				
3. Date Initiated (MM/DD)/YYYY):			
02/11/2016 © Exact				
If not exact, provide	•			
4. Docket/Case Number:	:			
5. Advisory Affiliate Emple	oying Firm when activity occurred which	led to the regulatory action (if applicable):	
J	J 3			

6.	o. Principal Product Type: Other				
	Other Product Types: RMBS				
7.	7. Describe the allegations related to this regulatory action (your ITHE ILLINOIS ATTORNEY GENERAL'S OFFICE ("ILAG") ALLEGED TRESIDENTIAL MORTGAGE-BACKED SECURITIES BETWEEN 2002 A	HAT MORGAN STANLEY VIOLATED ILLI		RKETING, SALE, AND ISSUANCE OF CERTAIN	
8.	B. Current Status? O Pending O On Appeal o Final				
9.	P. If on appeal, regulatory action appealed to (SEC, SRO, Federal of	or State Court) and Date Appeal Filed			
If F	f Final or On Appeal, complete all items below. For Pending Actions,	complete Item 13 only.			
10.	O. How was matter resolved: Settled				
11.	Resolution Date (MM/DD/YYYY):				
	02/11/2016 © Exact C Explanation				
	If not exact, provide explanation:				
12.	2. Resolution Detail:				
	A. Were any of the following Sanctions Ordered (check all app	propriate items)?			
	✓ Monetary/Fine Amount: \$ 22,500,000.00				
	Revocation/Expulsion/Denial		Disgorgement/Restitution		
	☐ Censure ☐ Bar		☐ Cease and Desist/Injunction☐ Suspension		
	B. Other Sanctions <i>Ordered:</i>				
	Sanction detail: if suspended, <i>enjoined</i> or barred, provide of requalification by exam/retraining was a condition of the satisfaction resulted in a fine, penalty, restitution, disgorge portion of penalty was waived: MORGAN STANLEY MADE PAYMENT OF \$22,500,000 BY WIR	anction, provide length of time given to the sement or monetary compensation, pro	o requalify/retrain, type of exam require	d and whether condition has been satisfied. I	
13.	3. Provide a brief summary of details related to the action status at ACTION STATUS AND (OR) DISPOSITION AND INCLUDE RELEVANT AGREEMENT") WITH THE ILLINOIS ATTORNEY GENERAL TO PAY \$ CERTAIN RESIDENTIAL MORTGAGE-BACKED SECURITIES. THE ILLY OF THE PRESIDENT'S RMBS WORKING GROUP OF THE FINANCIAL CONSTITUTES A FINAL DEPOSITION OF THE POTENTIAL CLAIMS	T TERMS, CONDITIONS AND DATES: O 22.5 MILLION TO RESOLVE CERTAIN F AG SETTLEMENT AGREEMENT WAS MAI FRAUD ENFORCEMENT TASK FORCE.	N FEBRUARY 11, 2016, MORGAN STANLEY OTENTIAL CLAIMS RELATED TO MORGAN DE IN CONJUNCTION WITH AN AGREEMEN	ENTERED INTO AN AGREEMENT (THE "ILAG SE STANLEY'S MARKETING, SALE AND ISSUANCE I BETWEEN MORGAN STANLEY AND CERTAIN N	OF
		GENERAL INSTRU			
This	nis Disclosure Reporting Page (DRP ADV) is an 👩 INITIAL $_{\emph{OR}}$. AM	ENDED response used to report deta	Is for affirmative responses to Items 11.C	., 11.D., 11.E., 11.F. or 11.G. of Form ADV.	
Cho	neck item(s) being responded to:	Regulatory Ad	tion		
	11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)	
	☐ 11.D(1) ☐ 11.D(2)	☐ 11.D(3)	☑ 11.D(4)	□ 11.D(5)	
	11.E(1) 11.E(2)	□ 11.E(3)	□ 11.E(4)		
	□ 11.F. □ 11.G.				
Use	se a separate DRP for each event or <i>proceeding</i> . The same event or	r proceeding may be reported for more	e than one <i>person</i> or entity using one DRF	P. File with a completed Execution Page.	
	ne event may result in more than one affirmative answer to Items 1 ore than one regulator, provide details for each action on a separa		only one DRP to report details related to	the same event. If an event gives rise to act	ions by

A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):

O You (the advisory firm)

	O You and one or more of your advisory affiliates				
	One or more of your advisory affiliates				
	If this DRP is being filed for an <i>advisory affiliate</i> , give the full name of the <i>advisory affiliate</i> below (for individuals, Last name, First name, Middle name). If the <i>advisory affiliate</i> has a <i>CRD</i> number, provide that number. If not, indicate "non-registered" by checking the appropriate box.				
	ADV DRP - ADVISORY AFFILIATE				
	CRD Number: This advisory affiliate is • a Firm • an Individual				
	Registered: O Yes O No				
	Name: MORGAN STANLEY (For individuals, Last, First, Middle)				
	(ref. marviduals) East, First, imagie)				
	This DRP should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SE or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the adviser's or <i>advisory affiliate's</i> favor.				
	If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.				
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:				
B.	If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer i "Yes," no other information on this DRP must be provided.				
	C Yes				
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.				
PAR	T II				
1.	Regulatory Action initiated by: O SEC O Other Federal O State O SRO Foreign				
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) HELLENIC CAPITAL MARKET COMMISSION				
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:				
3.	Date Initiated (MM/DD/YYYY):				
	08/21/2014 Exact Explanation If not exact, provide explanation: ON AUGUST 21, 2014, A FOREIGN SUBSIDIARY OF MORGAN STANLEY (MS) WAS INFORMED OF A DECISION OF THE BOARD OF DIRECTORS OF THE HELLENIC CAPITAL MARKET COMMISSION (HCMC) THAT COMMENCED A PROCEEDING TO SUMMARILY FINE MS.				
4.	Docket/Case Number: NO. F.092.22/3408				
_					
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):				
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:				
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): HCMC ALLEGED THAT MS FAILED TO PROPERLY OR TIMELY REPORT WHEN MS HOLDINGS IN CERTAIN COMPANIES INCREASED OR DECREASED BY MORE THAN 3%, OR EXCEEDED OR FELL BELOW 5% 10% AND 15% THRESHOLDS, AS REQUIRED BY GREEK PRESIDENTIAL DECREE PD 51/1992 AND LAW 3556/2007.				
8.	Current Status? O Pending O On Appeal o Final				
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:				
lf [Final or On Anneal complete all items below. For Pending Actions, complete Item 13 only				

De						
	ecision					
11. Re	esolution Date (l	[MM/DD/YYYY):				
1	1/28/2014 © F	Exact C Expla	ination			
		vide explanation:				
12. Re	esolution Detail	l:				
Α	A. Were any of	f the following S	anctions <i>Ordered</i> (check a	III appropriate items)?		
	_	ry/Fine Amount:				
		tion/Expulsion/D			Disgorgement/Restitution	
	Censure	·			Cease and Desist/Injunction	
	☐ Bar			Г	Suspension	
Е	3. Other Sanct	tions <i>Ordered:</i>				
	requalification of p	on by exam/retr resulted in a fine penalty was waiv	aining was a condition of te, penalty, restitution, disc	gorgement or monetary compensation, provi	requalify/retrain, type of exam require	oal, Financial Operations Principal, etc.). If d and whether condition has been satisfied. If you or an <i>advisory affiliate</i> , date paid and if any
OI PF BI	N AUGUST 21, 2 ROCEEDING ASS ELOW 5%, 10%	2014, MORGAN S SERTING THAT A AND 15% THRE	TANLEY (MS) WAS INFORM) MS FAILED TO PROPERLY SHOLDS, AS REQUIRED BY	OR TIMELY REPORT WHEN MS HOLDINGS IN	E HELLENIC CAPITAL MARKET COMMISSI I CERTAIN COMPANIES INCREASED OR D AND LAW 3556/2007, AND B) MS SHOUL	ponse must fit within the space provided). ON (HCMC) ISSUED A DECISION THAT COMMENDED A DECREASED MORE BY THAN 3%, OR EXCEEDED OR FELL LD BE SUMMARILY FINED 71,000 EUROS. MS DID NOT
				GENERAL INSTRUC		
This Dis	sclosure Reporti	ing Page (DRP A	DV) is an O INITIAL OR	AMENDED response used to report details	s for affirmative responses to Items 11.0	c., 11.D., 11.E., 11.F. or 11.G. of Form ADV.
				Regulatory Acti	ion	
Check i	item(s) being re	esponded to:		ega.ato.j /.e		
	C(1)		□ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
1 11.0			☑ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
□ 11.0 □ 11.0	D(1)			□ 11.E(3)	■ 11.E(4)	
			□ 11.E(2)	L 11.E(3)	. ,	
☑ 11.[E(1)		□ 11.E(2) □ 11.G.	LITTE(3)	· ,	
☑ 11.E☐ 11.E☐ 11.E☐ substitute	E(1) F. separate DRP for ent may result	in more than on	☐ 11.G. proceeding . The same even	ent or <i>proceeding</i> may be reported for more t ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use o	than one <i>person</i> or entity using one DRI	P. File with a completed Execution Page. o the same event. If an event gives rise to actions by
▼ 11.E □ 11.E □ 11.E □ 11.F Jse a s One ev nore the PART I A. The	E(1) F. separate DRP for ent may result han one regulat	in more than one tor, provide deta entity(ies) for wi	☐ 11.G. proceeding. The same even	ent or <i>proceeding</i> may be reported for more t ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use o eparate DRP.	than one <i>person</i> or entity using one DRI	
In 11.E In 11.	separate DRP for the may result than one regulations are person(s) or You (the advisers).	in more than one tor, provide deta entity(ies) for what sory firm)	proceeding. The same even affirmative answer to Ite sils for each action on a second this DRP is being filed	ent or <i>proceeding</i> may be reported for more t ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use o eparate DRP.	than one <i>person</i> or entity using one DRI	
☑ 11.E☐ 11.	separate DRP for ent may result han one regulat the person(s) or You (the advist) You and one of	in more than one tor, provide deta entity(ies) for what sory firm)	proceeding. The same even affirmative answer to Ite ails for each action on a second this DRP is being filed advisory affiliates	ent or <i>proceeding</i> may be reported for more t ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use o eparate DRP.	than one <i>person</i> or entity using one DRI	
If	separate DRP for the may result than one regulate the person(s) or You (the advistance of You and one of this DRP is being the person of this DRP is being the person of t	in more than one tor, provide detained tor, provide detained entity(ies) for whose of your advisory and filed for an according filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and your advisory advisory and your advisory advisory and your advisory advisory and your advisory advisory and your advisory a	proceeding. The same even affirmative answer to Itemils for each action on a semble hom this DRP is being filed advisory affiliates.	ent or <i>proceeding</i> may be reported for more t ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use o eparate DRP.	than one <i>person</i> or entity using one DRI only one DRP to report details related to include the control of the	the same event. If an event gives rise to actions by
If	separate DRP for the may result than one regulate the person(s) or any You (the advision of You and one of this DRP is being the advisory afficial the adv	in more than one tor, provide detained tor, provide detained entity(ies) for whose of your advisory and filed for an according filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and filed for an according to the torus of your advisory and your advisory advisory and your advisory advisory and your advisory advisory and your advisory advisory and your advisory a	proceeding. The same even affirmative answer to Itemils for each action on a semble hom this DRP is being filed advisory affiliates.	ent or <i>proceeding</i> may be reported for more to the ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use of the parate DRP. If it is a continuous conti	than one <i>person</i> or entity using one DRI only one DRP to report details related to include the control of the	the same event. If an event gives rise to actions by
Jse a son a	separate DRP for the may result than one regulate the person(s) or any You (the advision of You and one of this DRP is being the advisory afficial the adv	in more than one tor, provide detains entity(ies) for whose of your advisory and filled for an additiliate has a CRD	proceeding. The same even affirmative answer to Itemils for each action on a semble hom this DRP is being filed advisory affiliates.	ent or <i>proceeding</i> may be reported for more to the ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use of the parate DRP. If it is a continuous conti	than one <i>person</i> or entity using one DRI only one DRP to report details related to entity one DRP to report details related to exclude the ecking the appropriate box.	the same event. If an event gives rise to actions by
Jse a sone evenore the A. The A. The A.	separate DRP for the person(s) or You (the advisory aff	in more than one tor, provide detains entity(ies) for whom sory firm) or more of your advisory advisory advisory of giled for an additional action of the sory of the sory are actional action.	proceeding. The same ever e affirmative answer to Ite ails for each action on a second them this DRP is being filed advisory affiliates affiliates Wisory affiliate, give the full number, provide that num	ent or <i>proceeding</i> may be reported for more to the ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use of the parate DRP. Il name of the <i>advisory affiliate</i> below (for indicate "non-registered" by che	than one <i>person</i> or entity using one DRI only one DRP to report details related to entity one DRP to report details related to exclude the ecking the appropriate box.	the same event. If an event gives rise to actions by
Jse a sone ever a sone ever the control of the cont	separate DRP for the may result than one regulate than one regulate the person(s) or you (the advistion). You and one of this DRP is being the advisory afford the adv	in more than one tor, provide detains tor, provide detains and the entity (ies) for which sory firm) or more of your advisory and filled for an additional and filliate has a CRD SORY AFFILIATE O Yes O No MORGAN STANL	proceeding. The same ever affirmative answer to Ite alls for each action on a second them this DRP is being filed advisory affiliates Wisory affiliate, give the full number, provide that number	ent or <i>proceeding</i> may be reported for more to the ems 11.C., 11.D., 11.E., 11.F. or 11.G. Use of the parate DRP. Il name of the <i>advisory affiliate</i> below (for indicate "non-registered" by che	than one <i>person</i> or entity using one DRI only one DRP to report details related to entity one DRP to report details related to exclude the ecking the appropriate box.	the same event. If an event gives rise to actions by

	ten years ago. If you are registered or registering with the SEC, you may remove a DRP	of for any event listed in Item 11 that occurred more than ten years ago.
	lacksquare This DRP should be removed from the ADV record because it was filed in error, such a	as due to a clerical or data-entry mistake. Explain the circumstances:
3.	. If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>adv</i> "Yes," no other information on this DRP must be provided.	visory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer i
	O Yes O No	
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to	to update its IARD or <i>CRD</i> records.
٩R	RT II	
۱.	Regulatory Action initiated by:	
	SEC Other Federal State SRO Foreign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) ATTORNEY GENERAL OF THE STATE OF NEW YORK	
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:	
3.	. Date Initiated (MM/DD/YYYY):	
	02/11/2016 © Exact © Explanation If not exact, provide explanation:	
1.	. Docket/Case Number:	
5.	. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action	ı (if applicable):
).	. Principal Product Type:	
	Other Other Product Types: RMBS	
7.	Describe the allegations related to this regulatory action (your response must fit within the NEW YORK ATTORNEY GENERAL'S OFFICE ("NYAG") ALLEGED THAT MORGAN STANLEY RESIDENTIAL MORTGAGE-BACKED SECURITIES BETWEEN 2006 AND 2007.	the space provided): Y VIOLATED NEW YORK LAW IN CONNECTION WITH THE MARKETING, SALE, AND ISSUANCE OF CERTAIN
3.	. Current Status? O Pending O On Appeal Final	
9.	. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date	Appeal Filed:
f F	Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.	
10.	O. How was matter resolved: Settled	
11.	1. Resolution Date (MM/DD/YYYY):	
	02/11/2016 © Exact © Explanation If not exact, provide explanation:	
12.	2. Resolution Detail:	
	A. Were any of the following Sanctions Ordered (check all appropriate items)?	
	Monetary/Fine Amount: \$ 150,000,000.00	
	Revocation/Expulsion/Denial	☐ Disgorgement/Restitution
	☐ Censure ☐ Bar	☐ Cease and Desist/Injunction ☐ Suspension
	B. Other Sanctions <i>Ordered:</i>	— эаэрспэюн
	Sanction detail: it suspended, <i>enjoined</i> or barred, provide duration including start d	date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If

requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If

disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an advisory affiliate, date paid and if any portion of penalty was waived: MORGAN STANLEY MADE PAYMENT OF \$150,000,000 BY WIRE TRANSFER ON 2/24/2016. 13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided). ACTION STATUS AND (OR) DISPOSITION AND INCLUDE RELEVANT TERMS, CONDITIONS AND DATES: ON FEBRUARY 11, 2016, MORGAN STANLEY ENTERED INTO AN AGREEMENT (THE "NYAG SETTLEMENT AGREEMENT") WITH THE NEW YORK ATTORNEY GENERAL TO PAY \$150 MILLION TO RESOLVE CERTAIN POTENTIAL CLAIMS RELATED TO MORGAN STANLEY'S MARKETING, SALE AND ISSUANCE OF CERTAIN RESIDENTIAL-MORTGAGE BACKED SECURITIES. MORGAN STANLEY ALSO AGREED TO PROVIDE \$400 MILLION OF CONSUMER RELIEF, ANDTHE REQUIREMENT OF AN INDEPENDENT MONITOR TO OVERSEE MORGAN STANLEY'S PROVISION OF THE CONSUMER RELIEF. THE NYAG SETTLEMENT AGREEMENT WAS MADE IN CONJUNCTION WITH AN AGREEMENT BETWEEN MORGAN STANLEY AND CERTAIN MEMBERS OF THE PRESIDENT'S RMBS WORKING GROUP OF THE FINANCIAL FRAUD ENFORCEMENT TASK FORCE. THIS SETTLEMENT DOES NOT RESULT IN ANY FINDING OF VIOLATIONS OF LAW, AND CONSTITUTES A FINAL DEPOSITION OF THE POTENTIAL CLAIMS REFERENCED ABOVE. GENERAL INSTRUCTIONS This Disclosure Reporting Page (DRP ADV) is an 💍 INITIAL OR 💿 AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV. Regulatory Action Check item(s) being responded to: □ 11.C(2) □ 11.C(3) □ 11.C(4) 11.C(5) □ 11.C(1) **☑** 11.D(4) □ 11.D(1) **☑** 11.D(2) □ 11.D(3) □ 11.D(5) □ 11.E(1) □ 11.E(2) **1**11.E(3) □ 11.E(4) □ 11.F. □ 11.G. Use a separate DRP for each event or proceeding. The same event or proceeding may be reported for more than one person or entity using one DRP. File with a completed Execution Page. One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP. A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are): You (the advisory firm) C You and one or more of your advisory affiliates • One or more of your advisory affiliates If this DRP is being filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name). If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box. ADV DRP - ADVISORY AFFILIATE CRD Number: This advisory affiliate is • a Firm • an Individual Registered: O yes O No MORGAN STANLEY Name: (For individuals, Last, First, Middle) This DRP should be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor. If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago. This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances: If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. O Yes O No NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records. PART II 1. Regulatory Action initiated by: $_{
m C}$ SEC $_{
m C}$ Other Federal $_{
m C}$ State $_{
m C}$ $_{
m SRO}$ $_{
m C}$ Foreign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO)

	PORTUGUESE SECURITIES MARKET COMMISSION	
2.	2. Principal Sanction:	
	Other Other Sanctions:	
	CAUTION	
3.	3. Date Initiated (MM/DD/YYYY):	
	03/22/2016 © Exact C Explanation	
	If not exact, provide explanation:	
4.	4. Docket/Case Number: ADMINISTRATIVE OFFENSE PROCEEDINGS NO. 20/2014	
5	5. <i>Advisory Affiliate</i> Employing Firm when activity occurred which led to the regulatory action (if applicable):	
Ο.	5. Advisory Animate Employing Firm when detivity occurred which led to the regulatory detion (if applicable).	
6.	6. Principal Product Type: Equity Listed (Common & Preferred Stock)	
	Other Product Types:	
7.	7. Describe the allegations related to this regulatory action (your response must fit within the space provide	led):
	THE PORTUGUESE SECURITIES MARKET COMMISSION (CMVM) ALLEGED THAT THE FIRM DID NOT NOTIFY WITHIN THE REQUIRED TIME PERIOD, IN VIOLATION OF ARTICLE 16 OF THE PORTUGUESE SECURITIES C	
8.	8. Current Status? C Pending C On Appeal C Final	
9.	9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:	
lf F	If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.	
10	10. How was matter resolved:	
10.	Decision Decision	
11.	11. Resolution Date (MM/DD/YYYY):	
	03/05/2018 © Exact C Explanation	
	If not exact, provide explanation:	
12.	12. Resolution Detail:	
	A. Were any of the following Sanctions Ordered (check all appropriate items)?	
	☐ Monetary/Fine Amount: \$	
	Revocation/Expulsion/Denial	Disgorgement/Restitution
	☐ Censure ☐	Cease and Desist/Injunction
	□ Bar	Suspension
	B. Other Sanctions <i>Ordered:</i>	
	CAUTION Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacit	es affected (General Securities Principal, Financial Operations Principal, etc.). If
	requalification by exam/retraining was a condition of the sanction, provide length of time given to	
	disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provice portion of penalty was waived: CAUTION	e total amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any
13.	13. Provide a brief summary of details related to the action status and (or) disposition and include relevant	terms, conditions and dates (your response must fit within the space provided).
	ON MARCH 5, 2018, MORGAN STANLEY BECAME SUBJECT TO A DECISION BY THE PORTUGUESE SECURITII	
	WILLFUL BREACH OF (I) THE DUTY TO NOTIFY THE CMVM OF ITS QUALIFYING HOLDING, AND (II) THE DUT REMINDED THE FIRM THAT IT IS UNDER STRICT LEGAL OBLIGATIONS THAT MUST BE COMPLIED WITH. TH MISCONDUCT, THIS DOES NOT MEAN THAT THE FIRM (SPECIFICALLY) ACTED WITH THE GOAL OF BREACH	Y TO NOTIFY THE ISSUING COMPANY OF ITS QUALIFYING HOLDING. THE CMVM ALSO E CMVM SPECIFICALLY NOTED THAT, IN QUALIFYING THE FIRM'S CONDUCT AS WILLFUL

GENERAL INSTRUCTIONS

11.C(1)	☐ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
□ 11.D(1)	✓ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
□ 11.E(1)	☐ 11.E(2)	□ 11.E(3)	□ 11.E(4)	L 11.D(3)
□ 11.F.	□ 11.G.	E 11.E(3)	L 11.L(4)	
L 11.F.	LITI.G.			
Use a separate DRP	for each event or <i>proceeding</i> . The same ev	ent or <i>proceeding</i> may be reported for more	than one <i>person</i> or entity using one DRI	P. File with a completed Execution Page.
_			only one DRP to report details related to	the same event. If an event gives rise to actions by
more than one regu	lator, provide details for each action on a s	eparate DRP.		
PART I				
A. The person(s) of You (the ad	or entity(ies) for whom this DRP is being file visory firm)	d is (are):		
	e or more of your advisory affiliates			
⊙ One or mor	e of your advisory affiliates			
		Il name of the <i>advisory affiliate</i> below (for incomplete. If not, indicate "non-registered" by ch		name).
ADV DRP - AD	VISORY AFFILIATE			
CRD Number:		This <i>advisory affiliate</i> is © a Firm C an I	ndividual	
Registered:	C Yes ⊙ No			
Name:	MORGAN STANLEY			
	(For individuals, Last, First, Middle)			
B. If the advisory	affiliate is registered through the IARD syster information on this DRP must be provided.			circumstances: J-4) to the IARD or <i>CRD</i> for the event? If the answer is
NOTE: The con	npletion of this form does not relieve the ad	visory affiliate of its obligation to update its I	ARD or <i>CRD</i> records.	
PART II				
1. Regulatory Act	ion initiated by:			
OSEC OOth	ner Federal O State O SRO Foreign			
· ·	egulator, foreign financial regulatory authorit TAL MARKET COMMISSION	y, federal, state, or <i>SRO</i>)		
Principal Sanct Civil and Admir Other Sanction	nistrative Penalt(ies) /Fine(s)			
3. Date Initiated (MM/DD/YYYY):			
If not exact, pr	Exact © Explanation rovide explanation: DIRECTORS OF THE HCMC ISSUED A DECIS	ION ON JANUARY 21, 2016 TO SUMMARILY F	INE MORGAN STANLEY, BUT THE DECISION	ON DID NOT BECOME EFFECTIVE UNTIL MARCH 6, 2017.
4. Docket/Case N 1/746/01.21.2				
5. Advisory Affiliat	e Employing Firm when activity occurred wh	ich led to the regulatory action (if applicable)):	
6. Principal Produ	ct Type:			
t in the second of the second	•			

	CRS Type(s)	Affiliate Info	Retire
art	3		
	nd, retire or file new brochures:		
	you exempt from delivering a brochure to all of your clients under these rule o, complete the ADV Part 2 filing below.	ies?	○ ◎
hav	re to prepare a brochure.		Yes No
		ome kinds of clients. If these exemptions excuse you from delivering a brochur	re to all of your advisory clients, you do not
art Exe	2 emption from brochure delivery requirements for SEC-registered advisers	r'S	
	nformation Filed		
CIVI	IL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)		
13.		sposition and include relevant terms, conditions and dates (your response mus PEALED ON MARCH 6, 2017, BUT THE APPEAL WAS REJECTED AND THE SUMMAR	
	requalification by exam/retraining was a condition of the sanction, pro	ncluding start date and capacities affected (General Securities Principal, Financi rovide length of time given to requalify/retrain, type of exam required and whe nonetary compensation, provide total amount, portion levied against you or an AS PAID ON JUNE 28, 2017.	ether condition has been satisfied. If
	B. Other Sanctions <i>Ordered:</i>		
	☐ Bar	☐ Suspension	
	☐ Revocation/Expulsion/Denial ☐ Censure	☐ Disgorgement/Restitution☐ Cease and Desist/Injunction	
	Monetary/Fine Amount: \$ 52,960.00		
12.	Resolution Detail: A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate ite	ems)?	
	04/24/2019 © Exact © Explanation If not exact, provide explanation:		
11.	Resolution Date (MM/DD/YYYY):		
10.	How was matter resolved: Decision		
If F	Final or On Appeal, complete all items below. For Pending Actions, complete I	Item 13 only.	
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Co	ourt) and Date Appeal Filed:	
8.	Current Status? C Pending C On Appeal G Final		
7.	· · · · · · · · · · · · · · · · · · ·	must fit within the space provided): RGAN STANLEY COMMITTED MARKET MANIPULATION PURSUANT TO ARTICLE 7, P THAN 5% OF ALPHA BANK, HOWEVER 4.16% OF THE HOLDING WAS HELD BY A	• •
	Other Product Types:		

There are no CRS filings to display.

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your principal office and place of business and any other state in which you are submitting a notice filing, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, order instituting proceedings, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative proceeding or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, proceeding, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is founded, directly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your principal office and place of business or of any state in which you are submitting a notice filing.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any person having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: **GAURANGA PAL**

Printed Name:

GAURANGA PAL

Adviser CRD Number:

134366

Date: MM/DD/YYYY 05/15/2023

Title:

CHIEF COMPLIANCE OFFICER

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a notice filing, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, order instituting proceedings, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative proceeding or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a notice filing.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any person subject to your written irrevocable consents or powers of attorney or any of your general partners and managing agents.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the non-resident investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any person having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY Printed Name: Title:

Adviser *CRD* Number:

134366